

**SANTA FE COUNTY  
AMENDMENT NO. 1  
TO THE AGREEMENT BETWEEN SANTA FE COUNTY AND  
RBC CAPITAL MARKETS, LLC**

This Amendment is made and entered into as of this \_\_\_ day of \_\_\_\_\_, 2014 by and between **Santa Fe County**, hereinafter referred to as "the County", a New Mexico political subdivision, and **RBC CAPITAL MARKETS, LLC**, hereafter referred to as "the Contractor".

**WHEREAS**, pursuant to NMSA 1978, Section 13-1-12 and 13-1-153 of the Procurement Code competitive sealed proposals were solicited for financial advisor services via a formal request for proposals (RFP), RFP No. 2014-0011-FI/IC; and

**WHEREAS**, on December 10, 2013 the County and the Contractor entered into Agreement No. 2014-0011-FI/IC for the Contractor to provide financial advisor services and such Agreement is due to expire on December 10, 2014; and

**WHEREAS**, Article 14, 'NO ORAL MODIFICATIONS; WRITTEN AMENDMENTS REQUIRED,' of Agreement No. 2014-0011-FI/IC allows the parties to amend the Agreement by an instrument in writing executed by the parties; and

**WHEREAS**, by this Amendment No. 1, the County wishes to extend the term of this Agreement from December 10, 2014 to December 10, 2015; and

**NOW, THEREFORE**, in consideration of the mutual covenants and conditions contained herein, the parties hereto mutually agree as follows:

1. Article 3 "EFFECTIVE DATE AND TERM" a new subparagraph (a) is inserted to read as follows:

(a) By Amendment No. 1, the County notifies Contractor and exercised the County's option to extend the term of this Agreement for one (1) year from December 10, 2014 to December 10, 2015 on the same terms and conditions as stated herein.

2. All other provisions of the original Agreement not specifically amended or modified by and this Amendment No. 1, shall remain in full force and effect.

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first written above.

**SANTA FE COUNTY:**

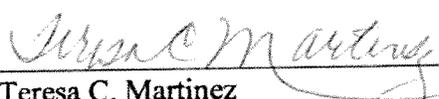
  
\_\_\_\_\_  
Katherine Miller  
Santa Fe County Manager

**APPROVED AS TO FORM:**

  
\_\_\_\_\_  
Gregory S. Shaffer  
Santa Fe County Attorney

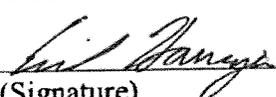
10-8-14  
Date

**FINANCE DEPARTMENT APPROVAL:**

  
\_\_\_\_\_  
Teresa C. Martinez  
Santa Fe County Finance Director

10/10/14  
Date

**CONTRACTOR:**

  
\_\_\_\_\_  
(Signature)  
  
Erik Harrigan  
\_\_\_\_\_  
(Print Name)  
  
Director  
\_\_\_\_\_  
(Print Title)

11/11/14  
Date

**FEDERAL IDENTIFICATION NO.**

41-1416330  
\_\_\_\_\_