

**SANTA FE COUNTY
AMENDMENT NO. 2 TO THE AGREEMENT
WITH RBC CAPITAL MARKETS, LLC**

This Amendment is made and entered into as of this 16 day of November, 2015, by and between **Santa Fe County**, hereinafter referred to as the "County", a political subdivision of the State of New Mexico, and **RBC Capital Markets, LLC**, hereafter referred to as the "Contractor".

WHEREAS, in accordance with Section 13-1-112 NMSA 1978, and 13-1-117 NMSA 1978, competitive sealed proposals were solicited via a formal request for proposals (RFP #2014-0011-FI/IC) for financial advisor services; and

WHEREAS, the County and Contractor entered into Agreement No. 2014-0011-FI/IC on December 10, 2013 (the "Agreement") to provide for financial advisor services to be provided by the Contractor; and

WHEREAS, Amendment No. 1 extended the term of the Agreement from December 10, 2014 to December 10, 2015; and

WHEREAS, the term of the Agreement is due to expire December 10, 2015, and the County wishes to extend the term for one year; and

WHEREAS, Article 15 (No Oral Modifications; Written Amendments Required) of the Agreement allows the parties to amend the Agreement by an instrument in writing executed by the parties; and

WHEREAS, by this Amendment No. 2 the County wishes to amend the Agreement to allow for term extensions not to exceed four years, and extend the term of the Agreement from December 10, 2015 to December 10, 2016.

NOW, THEREFORE, in consideration of the mutual covenants and conditions contained herein, the parties hereto mutually agree as follows:

1. Article 3 (Effective Date and Term) of the Agreement is deleted in its entirety and replaced with:

3. EFFECTIVE DATE AND TERM

This Agreement shall upon execution by the parties become effective as of the date written above and shall terminate one year later, unless earlier terminated pursuant to Section 4 (Termination) or Section 5 (Appropriations and Authorizations). The County has

the option to extend the term of this Agreement in one-year increments not to exceed a total of four years. Any extension is dependent on the Contractor's performance of services. The County will exercise its option by 30 days' notice to the Contractor and by written amendment.

2. Article 3 (Effective Date and Term) of the Agreement, a new subparagraph b) is inserted to read as follows:

b) By Amendment No. 2, the County notifies the Contractor and exercised the County's option to extend the term of this Agreement from December 10, 2015 to December 10, 2016.

3. All other provisions of the Agreement not specifically amended or modified by Amendment No. 1 and this Amendment No. 2 shall remain in full force and effect.

IN WITNESS WHEREOF, the parties have executed this Amendment as of the date first written above.

SANTA FE COUNTY:

Katherine Miller
Katherine Miller
Santa Fe County Manager

11-16-15
Date

Approved as to form:

Gregory S. Shaffer
Gregory S. Shaffer
Santa Fe County Attorney

11/10/15
Date

Finance Department:

Carole H. Jaramillo 0130: CT
Carole H. Jaramillo
Santa Fe County Finance Director

11-13-2015
Date

CONTRACTOR:

Erik Harrigan
(Signature)

11/16/15
Date

Erik Harrigan
(Print Name)

Director
(Print Title)

