

# Santa Fe County Treasurer

## 2015 2<sup>nd</sup> Quarter Report



COUNTY of SANTA FE

# Santa Fe County Treasurer's Challenges

- 🌐 Volatile market conditions
- 🌐 Predicted slow upward market
- 🌐 Fed Funds.....STALE
- 🌐 Volatile Treasury rates
- 🌐 Banking Service Change Over



COUNTY of SANTA FE

# Fixed Income Portfolio

|            |                 |
|------------|-----------------|
| Operations | \$73,771,117.36 |
| GOB 2011   | \$9,882,766.36  |
| CORE       | \$31,445,444.93 |
| GOB 2013   | \$9,096,523.18  |

**TOTAL FIFTH THIRD**  
**\$124,195,851.83**



COUNTY of SANTA FE

# Fixed Income Portfolio

\$31,445,444.93

**TOTAL**

**\$124,195,851.83**

■ Operations #2601

■ GOB 2011 #0920

■ GOB 2013 #8520

■ Core Account

\$9,882,766.36

\$73,771,117.36

\$9,096,523.18

**Fifth Third Totals**



**COUNTY of SANTA FE**

# Other Account Balances

|             |                 |
|-------------|-----------------|
| LANB Accts. | \$8,662,193.85  |
| LANB Var.   | \$22,630,028.93 |
| FNSF        | \$13,038,307.31 |
| Wells MM    | \$30,045,394.76 |
| UBS         | \$6,014,465.94  |
| LGIP        | \$26,920.78     |

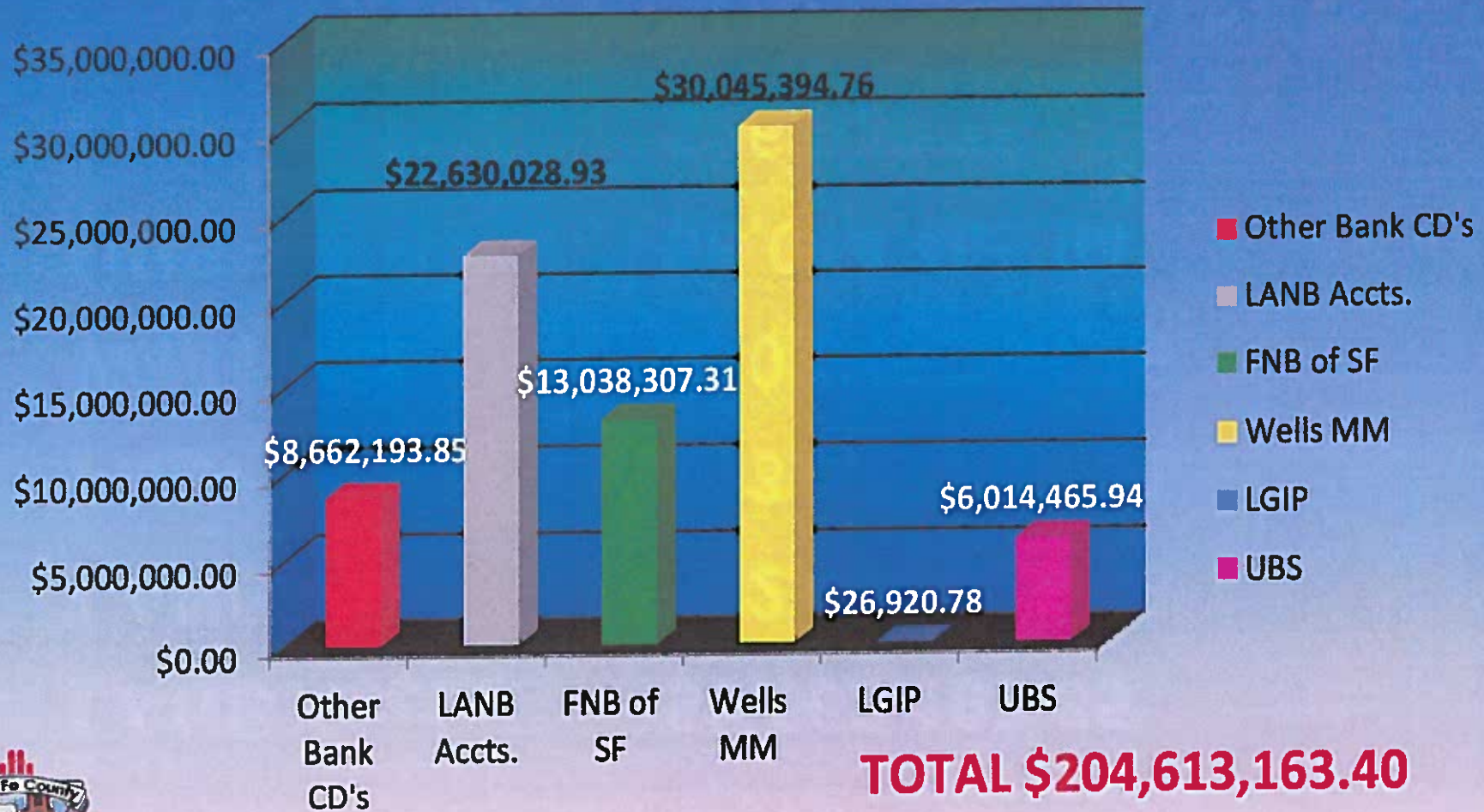
**TOTAL ACCOUNT BALANCES**

**\$80,417,311.57**

COUNTY of SANTA FE



# Other Account Balances



COUNTY of SANTA FE

# Liquid Earnings

- LANB                      10bps      9bps credit for FDIC
- FNBS                      10bps
- UBS                        12bps
- Wells                      21bps



COUNTY of SANTA FE

Ending Balance

**TOTAL BALANCE**  
**\$204,613,163.40**



COUNTY of SANTA FE

# Treasuries

**\$UST3Y 3-Year US Treasury Yield (EOD) INDX**

20-Oct-2015

▲ RSI(14) 48.54

© StockCharts.com  
Open 0.930 High 0.930 Low 0.930 Close 0.930 Chg +0.040 (+4.49%) ▲



COUNTY of SANTA FE

# Bond Market

**AGG** iShares Barclays Aggregate Bond Fund NYSE

© StockCharts.com

21-Oct-2015

Open 109.73 High 109.95 Low 109.73 Close 109.92 Volume 1.3M Chg +0.26 (+0.24%) ▲

▲ RSI(14) 59.46



COUNTY of SANTA FE

# Investment Plan

- Investing in short term Treasury Bills & Notes\*
- Investing in short term Bullet Government Agencies\*
- Investing in collateralized money markets\*
- Only invest in the above that out performs for that particular window of time.
- Maintaining a liquidity cushion\*

All maturities will be into 2016-2020\*

Additional moneys will be invested for 2015 lean months\*



COUNTY of SANTA FE

# Delinquent Report RP

- YTD \$3,234,930.46
- September Total \$357,540.15
- YTD Accounts Worked 2131



COUNTY of SANTA FE

# Delinquent Report PP

## Mobile Home Red Tagging Program

🌐 YTD \$117,134.48

🌐 September Total \$10,270.30

🌐 YTD Accounts Worked 223



COUNTY of SANTA FE

# Treasurer's Upcoming Projects

- Property Tax Collection Outreach
- Extend Payments Online
- Legislative Items per Affiliate



COUNTY of SANTA FE

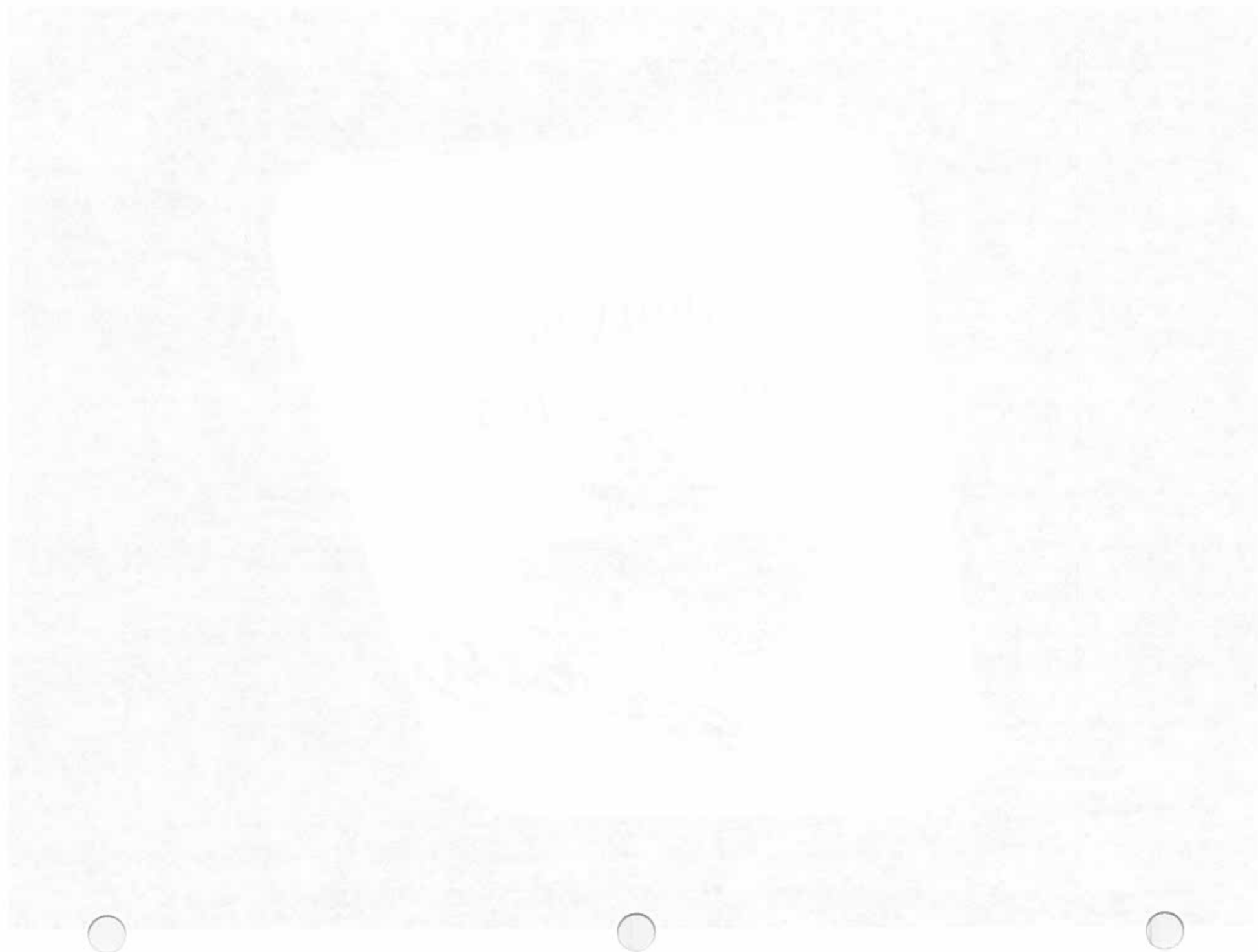
*Progress*



*With Traditions  
In Mind*



COUNTY of SANTA FE



**SANTA FE COUNTY**  
**TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>                   | <b>ITEMS</b> | <b>YIELDS</b> | <b>PRINCIPAL</b>        |
|-----------------------------------------------|--------------|---------------|-------------------------|
| <b><u>OPERATIONS ACCOUNT #40260610</u></b>    |              |               |                         |
| FEDERAL FARM CREDIT BONDS                     | 3            | 0.47%-1.12%   | \$2,929,362.95          |
| FEDERAL NATIONAL MORTGAGE                     | 5            | 0.75-2.02%    | \$7,179,197.47          |
| FEDERAL HOME LOAN BANK                        | 9            | 0.06%-4.00%   | \$21,050,438.97         |
| FANNIE MAE BONDS                              | 6            | 0.70%-1.57%   | \$11,007,787.50         |
| FREDDIE MAC BOND                              | 6            | 0.65%-1.53%   | \$7,649,497.85          |
| NMFA MUNI                                     | 2            | 0.62-1.16%    | \$1,020,000.00          |
| FINANCING CORP.PRIN.                          | 1            | 0.079%        | \$2,893,344.00          |
| FING CORP. FICO                               | 1            | 0.055%        | \$1,960,372.00          |
| TENNESSEE VALLEY AUTH.                        | 3            | 99.36-1.48%   | \$6,571,919.25          |
| ABQ. NM GEN. OBL. BOND                        | 2            | 1.052%-1.35   | \$1,735,607.22          |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$8,718,447.45          |
| NM STATE UNIVERSITY MUNI                      | 1            | 1.90%         | \$571,556.81            |
| SANDOVAL COUNTY AUTH. BOND                    | 1            | 1.50%         | \$483,585.89            |
| SUB-TOTAL FOR OPERATIONS                      | 41           |               | \$73,771,117.36         |
| <b><u>GOB 2011 REFUNDING #40260630</u></b>    |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,882,766.36          |
| SUB-TOTAL FOR GOB 2011 SERIES                 | 1            |               | \$9,882,766.36          |
| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |              |               |                         |
| US TREASURY BILL                              | 1            | 0.14%         | \$50,108.61             |
| FEDERAL HOME LOAN BANK                        | 18           | 1.25-3.24%    | \$17,106,569.83         |
| FEDERAL NATIONAL MORTGAGE                     | 4            | 2.25%-3.00%   | \$3,986,063.89          |
| FEDERAL FARM CREDIT BONDS                     | 5            | 2.07%-2.92%   | \$5,000,881.11          |
| FANNIE MAE BONDS                              | 2            | 2.00%-2.33%   | \$1,492,194.44          |
| FREDDIE MAC BONDS                             | 1            | 2.55%         | \$500,000.00            |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$3,309,627.05          |
| SUB-TOTAL FOR CORE ACCOUNT HOLDINGS           | 32           |               | \$31,445,444.93         |
| <b><u>GOB 2013 SERIES #40260640</u></b>       |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,096,523.18          |
| SUB-TOTAL FOR GOB 2013 SERIES                 | 1            |               | \$9,096,523.18          |
| <b>GRAND TOTAL FIFTH THIRD</b>                |              |               | <b>\$124,195,851.83</b> |

**SANTA FE COUNTY  
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| <b>LOS ALAMOS NATIONAL BANK</b>          |              |                |                         |
| BANK & CREDIT UNION CD'S                 | 4            | 0.30%-1.14%    | \$998,000.00            |
| LANB ACCOUNTS WITH A YIELD OF .01%       | 2            | 0.01%          | \$1,605,463.47          |
| LANB-SANTA FE STUDIOS 2.50%              | 1            | 2.50%          | \$6,058,730.38          |
| <b>TOTAL CD'S &amp; SAVINGS ACCOUNTS</b> | <b>7</b>     |                | <b>\$8,662,193.85</b>   |
| <br>                                     |              |                |                         |
| LANB VARIOUS OPERATIONS ACCOUNTS         | 1            | 0.10%          | \$9,896,334.99          |
| COUNTY MANAGER'S ACCOUNT                 | 1            | 0.00%          | \$1,000.00              |
| SFC REGION III HIDTA ACCOUNT             | 1            | 0.00%          | \$6,666.14              |
| SFC REGION III JAG ACCOUNT               | 1            | 0.00%          | \$10,000.00             |
| SFC FLEXIBLE SPENDING TRUST ACCT.        | 1            | 0.00%          | \$8,393.57              |
| OTHER LANB CASH BALANCES                 | 13           | 0.10%          | \$12,707,634.23         |
| <b>TOTAL OTHER ACCOUNTS</b>              | <b>18</b>    |                | <b>\$22,630,028.93</b>  |
| <br>                                     |              |                |                         |
| <b>FIRST NATIONAL BANK SANTA FE</b>      |              |                |                         |
| SFC CORRECTIONS MAINTENANCE              | 1            | 0.00%          | \$2,000.00              |
| SFC FIRE DEPARTMENT                      | 1            | 0.00%          | \$2,000.00              |
| SFC GOB 2009 SERIES                      | 1            | 0.20%          | \$832,365.69            |
| SFC GOB 2011 REFUNDING SERIES            | 1            | 0.20%          | \$28,244.14             |
| SFC GOB 2013 SERIES A                    | 1            | 0.20%          | \$513.82                |
| SFC GOB 2015 SERIES REFUND BOND          | 1            | 0.20%          | \$9,057,864.52          |
| SFC ROAD PROJECTS                        | 1            | 0.20%          | \$95,438.65             |
| LIQUID MONEY MARKET ACCT.                | 1            | .1000%/Var.    | \$3,019,188.41          |
| GOB 2013 SERIES MMSA                     | 1            | .1000%/Var.    | \$692.08                |
| <b>TOTAL FIRST NATIONAL ACCOUNTS</b>     | <b>9</b>     |                | <b>\$13,038,307.31</b>  |
| <br>                                     |              |                |                         |
| <b>WELLS FARGO</b>                       |              |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1            | 0.21%          | \$30,045,394.76         |
| <br>                                     |              |                |                         |
| <b>UBS</b>                               |              |                |                         |
| MONEY MARKET                             | 1            | 0.12%          | \$6,014,465.94          |
| <br>                                     |              |                |                         |
| STATE TREASURER LGIP ACCOUNTS            | 12           | VARIOUS        | \$26,920.78             |
| <br>                                     |              |                |                         |
| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b>    | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
| <br>                                     |              |                |                         |
| <b>GRAND TOTAL ALL ACCOUNTS</b>          |              |                | <b>\$204,613,163.40</b> |
| <br>                                     |              |                |                         |
| <b>DATE: SEPTEMBER 2015</b>              |              |                |                         |

**SANTA FE COUNTY  
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| SUB-TOTAL FOR GOB 2011 SERIES                 | 1            |               | \$9,882,766.36          |
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| <br>                                     |               |                    |                             |
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**SANTA FE COUNTY**

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| GOB 2013 SERIES MMSA                     | 1            | .1000%/Var.    | \$692.08                |
| <b>TOTAL FIRST NATIONAL ACCOUNTS</b>     | <b>9</b>     |                | <b>\$13,038,307.31</b>  |
| <br>                                     |              |                |                         |
| <b>WELLS FARGO</b>                       |              |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1            | 0.21%          | \$30,045,394.76         |
| <br>                                     |              |                |                         |
| <b>UBS</b>                               |              |                |                         |
| MONEY MARKET                             | 1            | 0.12%          | \$6,014,465.94          |
| STATE TREASURER LGIP ACCOUNTS            | 12           | VARIOUS        | \$26,920.78             |
| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b>    | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
| <br>                                     |              |                |                         |
| <b>GRAND TOTAL ALL ACCOUNTS</b>          |              |                | <b>\$204,613,163.40</b> |
| <b>DATE: SEPTEMBER 2015</b>              |              |                |                         |

**SANTA FE COUNTY**  
**TREASURER'S INVESTMENT PORTFOLIO**

| ASSET CLASSIFICATION                          | ITEMS | YIELDS      | PRINCIPAL               |
|-----------------------------------------------|-------|-------------|-------------------------|
| <b><u>OPERATIONS ACCOUNT #40260610</u></b>    |       |             |                         |
| FEDERAL FARM CREDIT BONDS                     | 3     | 0.47%-1.12% | \$2,929,362.95          |
| FEDERAL NATIONAL MORTGAGE                     | 5     | 0.75-2.02%  | \$7,179,197.47          |
| FEDERAL HOME LOAN BANK                        | 9     | 0.06%-4.00% | \$21,050,438.97         |
| FANNIE MAE BONDS                              | 6     | 0.70%-1.57% | \$11,007,787.50         |
| FREDDIE MAC BOND                              | 6     | 0.65%-1.53% | \$7,649,497.85          |
| NMFA MUNI                                     | 2     | 0.62-1.16%  | \$1,020,000.00          |
| FINANCING CORP.PRIN.                          | 1     | 0.079%      | \$2,893,344.00          |
| FING CORP. FICO                               | 1     | 0.055%      | \$1,960,372.00          |
| TENNESSEE VALLEY AUTH.                        | 3     | 99.36-1.48% | \$6,571,919.25          |
| ABQ. NM GEN. OBL. BOND                        | 2     | 1.052%-1.35 | \$1,735,607.22          |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1     | 0.01%       | \$8,718,447.45          |
| NM STATE UNIVERSITY MUNI                      | 1     | 1.90%       | \$571,556.81            |
| SANDOVAL COUNTY AUTH. BOND                    | 1     | 1.50%       | \$483,585.89            |
| SUB-TOTAL FOR OPERATIONS                      | 41    |             | \$73,771,117.36         |
| <b><u>GOB 2011 REFUNDING #40260630</u></b>    |       |             |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1     | 0.01%       | \$9,882,766.36          |
| SUB-TOTAL FOR GOB 2011 SERIES                 | 1     |             | \$9,882,766.36          |
| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |       |             |                         |
| US TREASURY BILL                              | 1     | 0.14%       | \$50,108.61             |
| FEDERAL HOME LOAN BANK                        | 18    | 1.25-3.24%  | \$17,106,569.83         |
| FEDERAL NATIONAL MORTGAGE                     | 4     | 2.25%-3.00% | \$3,986,063.89          |
| FEDERAL FARM CREDIT BONDS                     | 5     | 2.07%-2.92% | \$5,000,881.11          |
| FANNIE MAE BONDS                              | 2     | 2.00%-2.33% | \$1,492,194.44          |
| FREDDIE MAC BONDS                             | 1     | 2.55%       | \$500,000.00            |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1     | 0.01%       | \$3,309,627.05          |
| SUB-TOTAL FOR CORE ACCOUNT HOLDINGS           | 32    |             | \$31,445,444.93         |
| <b><u>GOB 2013 SERIES #40260640</u></b>       |       |             |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1     | 0.01%       | \$9,096,523.18          |
| SUB-TOTAL FOR GOB 2013 SERIES                 | 1     |             | \$9,096,523.18          |
| <b>GRAND TOTAL FIFTH THIRD</b>                |       |             | <b>\$124,195,851.83</b> |

**SANTA FE COUNTY**  
**TREASURER'S INVESTMENT PORTFOLIO**

| ASSET CLASSIFICATION                     | ITEMS     | YIELDS         | PRINCIPAL               |
|------------------------------------------|-----------|----------------|-------------------------|
| <b>LOS ALAMOS NATIONAL BANK</b>          |           |                |                         |
| BANK & CREDIT UNION CD'S                 | 4         | 0.30%-1.14%    | \$998,000.00            |
| LANB ACCOUNTS WITH A YIELD OF .01%       | 2         | 0.01%          | \$1,605,463.47          |
| LANB-SANTA FE STUDIOS 2.50%              | 1         | 2.50%          | \$6,058,730.38          |
| <b>TOTAL CD'S &amp; SAVINGS ACCOUNTS</b> | <b>7</b>  |                | <b>\$8,662,193.85</b>   |
| <br>                                     |           |                |                         |
| LANB VARIOUS OPERATIONS ACCOUNTS         | 1         | 0.10%          | \$9,896,334.99          |
| COUNTY MANAGER'S ACCOUNT                 | 1         | 0.00%          | \$1,000.00              |
| SFC REGION III HIDTA ACCOUNT             | 1         | 0.00%          | \$6,666.14              |
| SFC REGION III JAG ACCOUNT               | 1         | 0.00%          | \$10,000.00             |
| SFC FLEXIBLE SPENDING TRUST ACCT.        | 1         | 0.00%          | \$8,393.57              |
| OTHER LANB CASH BALANCES                 | 13        | 0.10%          | \$12,707,634.23         |
| <b>TOTAL OTHER ACCOUNTS</b>              | <b>18</b> |                | <b>\$22,630,028.93</b>  |
| <br>                                     |           |                |                         |
| <b>FIRST NATIONAL BANK SANTA FE</b>      |           |                |                         |
| SFC CORRECTIONS MAINTENANCE              | 1         | 0.00%          | \$2,000.00              |
| SFC FIRE DEPARTMENT                      | 1         | 0.00%          | \$2,000.00              |
| SFC GOB 2009 SERIES                      | 1         | 0.20%          | \$832,365.69            |
| SFC GOB 2011 REFUNDING SERIES            | 1         | 0.20%          | \$28,244.14             |
| SFC GOB 2013 SERIES A                    | 1         | 0.20%          | \$513.82                |
| SFC GOB 2015 SERIES REFUND BOND          | 1         | 0.20%          | \$9,057,864.52          |
| SFC ROAD PROJECTS                        | 1         | 0.20%          | \$95,438.65             |
| LIQUID MONEY MARKET ACCT.                | 1         | .1000%/Var.    | \$3,019,188.41          |
| GOB 2013 SERIES MMSA                     | 1         | .1000%/Var.    | \$692.08                |
| <b>TOTAL FIRST NATIONAL ACCOUNTS</b>     | <b>9</b>  |                | <b>\$13,038,307.31</b>  |
| <br>                                     |           |                |                         |
| <b>WELLS FARGO</b>                       |           |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1         | 0.21%          | \$30,045,394.76         |
| <br>                                     |           |                |                         |
| <b>UBS</b>                               |           |                |                         |
| MONEY MARKET                             | 1         | 0.12%          | \$6,014,465.94          |
| <br>                                     |           |                |                         |
| STATE TREASURER LGIP ACCOUNTS            | 12        | VARIOUS        | \$26,920.78             |
| <br>                                     |           |                |                         |
| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b> | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
| <br>                                     |           |                |                         |
| <b>GRAND TOTAL ALL ACCOUNTS</b>          |           |                | <b>\$204,613,163.40</b> |

DATE: SEPTEMBER 2015

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>                   | <b>ITEMS</b> | <b>YIELDS</b> | <b>PRINCIPAL</b>        |
|-----------------------------------------------|--------------|---------------|-------------------------|
| <b><u>OPERATIONS ACCOUNT #40260610</u></b>    |              |               |                         |
| FEDERAL FARM CREDIT BONDS                     | 3            | 0.47%-1.12%   | \$2,929,362.95          |
| FEDERAL NATIONAL MORTGAGE                     | 5            | 0.75-2.02%    | \$7,179,197.47          |
| FEDERAL HOME LOAN BANK                        | 9            | 0.06%-4.00%   | \$21,050,438.97         |
| FANNIE MAE BONDS                              | 6            | 0.70%-1.57%   | \$11,007,787.50         |
| FREDDIE MAC BOND                              | 6            | 0.65%-1.53%   | \$7,649,497.85          |
| NMFA MUNI                                     | 2            | 0.62-1.16%    | \$1,020,000.00          |
| FINANCING CORP.PRIN.                          | 1            | 0.079%        | \$2,893,344.00          |
| FING CORP. FICO                               | 1            | 0.055%        | \$1,960,372.00          |
| TENNESSEE VALLEY AUTH.                        | 3            | 99.36-1.48%   | \$6,571,919.25          |
| ABQ. NM GEN. OBL. BOND                        | 2            | 1.052%-1.35   | \$1,735,607.22          |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$8,718,447.45          |
| NM STATE UNIVERSITY MUNI                      | 1            | 1.90%         | \$571,556.81            |
| SANDOVAL COUNTY AUTH. BOND                    | 1            | 1.50%         | \$483,585.89            |
| SUB-TOTAL FOR OPERATIONS                      | 41           |               | \$73,771,117.36         |
| <b><u>GOB 2011 REFUNDING #40260630</u></b>    |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,882,766.36          |
| SUB-TOTAL FOR GOB 2011 SERIES                 | 1            |               | \$9,882,766.36          |
| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |              |               |                         |
| US TREASURY BILL                              | 1            | 0.14%         | \$50,108.61             |
| FEDERAL HOME LOAN BANK                        | 18           | 1.25-3.24%    | \$17,106,569.83         |
| FEDERAL NATIONAL MORTGAGE                     | 4            | 2.25%-3.00%   | \$3,986,063.89          |
| FEDERAL FARM CREDIT BONDS                     | 5            | 2.07%-2.92%   | \$5,000,881.11          |
| FANNIE MAE BONDS                              | 2            | 2.00%-2.33%   | \$1,492,194.44          |
| FREDDIE MAC BONDS                             | 1            | 2.55%         | \$500,000.00            |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$3,309,627.05          |
| SUB-TOTAL FOR CORE ACCOUNT HOLDINGS           | 32           |               | \$31,445,444.93         |
| <b><u>GOB 2013 SERIES #40260640</u></b>       |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,096,523.18          |
| SUB-TOTAL FOR GOB 2013 SERIES                 | 1            |               | \$9,096,523.18          |
| <b>GRAND TOTAL FIFTH THIRD</b>                |              |               | <b>\$124,195,851.83</b> |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>              | <b>ITEMS</b> | <b>YIELDS</b>  | <b>PRINCIPAL</b>        |
|------------------------------------------|--------------|----------------|-------------------------|
| <b>LOS ALAMOS NATIONAL BANK</b>          |              |                |                         |
| BANK & CREDIT UNION CD'S                 | 4            | 0.30%-1.14%    | \$998,000.00            |
| LANB ACCOUNTS WITH A YIELD OF .01%       | 2            | 0.01%          | \$1,605,463.47          |
| LANB-SANTA FE STUDIOS 2.50%              | 1            | 2.50%          | \$6,058,730.38          |
| <b>TOTAL CD'S &amp; SAVINGS ACCOUNTS</b> | <b>7</b>     |                | <b>\$8,662,193.85</b>   |
| <br>                                     |              |                |                         |
| LANB VARIOUS OPERATIONS ACCOUNTS         | 1            | 0.10%          | \$9,896,334.99          |
| COUNTY MANAGER'S ACCOUNT                 | 1            | 0.00%          | \$1,000.00              |
| SFC REGION III HIDTA ACCOUNT             | 1            | 0.00%          | \$6,666.14              |
| SFC REGION III JAG ACCOUNT               | 1            | 0.00%          | \$10,000.00             |
| SFC FLEXIBLE SPENDING TRUST ACCT.        | 1            | 0.00%          | \$8,393.57              |
| OTHER LANB CASH BALANCES                 | 13           | 0.10%          | \$12,707,634.23         |
| <b>TOTAL OTHER ACCOUNTS</b>              | <b>18</b>    |                | <b>\$22,630,028.93</b>  |
| <br>                                     |              |                |                         |
| <b>FIRST NATIONAL BANK SANTA FE</b>      |              |                |                         |
| SFC CORRECTIONS MAINTENANCE              | 1            | 0.00%          | \$2,000.00              |
| SFC FIRE DEPARTMENT                      | 1            | 0.00%          | \$2,000.00              |
| SFC GOB 2009 SERIES                      | 1            | 0.20%          | \$832,365.69            |
| SFC GOB 2011 REFUNDING SERIES            | 1            | 0.20%          | \$28,244.14             |
| SFC GOB 2013 SERIES A                    | 1            | 0.20%          | \$513.82                |
| SFC GOB 2015 SERIES REFUND BOND          | 1            | 0.20%          | \$9,057,864.52          |
| SFC ROAD PROJECTS                        | 1            | 0.20%          | \$95,438.65             |
| LIQUID MONEY MARKET ACCT.                | 1            | .1000%/Var.    | \$3,019,188.41          |
| GOB 2013 SERIES MMSA                     | 1            | .1000%/Var.    | \$692.08                |
| <b>TOTAL FIRST NATIONAL ACCOUNTS</b>     | <b>9</b>     |                | <b>\$13,038,307.31</b>  |
| <br>                                     |              |                |                         |
| <b>WELLS FARGO</b>                       |              |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1            | 0.21%          | \$30,045,394.76         |
| <br>                                     |              |                |                         |
| <b>UBS</b>                               |              |                |                         |
| MONEY MARKET                             | 1            | 0.12%          | \$6,014,465.94          |
| <br>                                     |              |                |                         |
| STATE TREASURER LGIP ACCOUNTS            | 12           | VARIOUS        | \$26,920.78             |
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| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b>    | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
| <br>                                     |              |                |                         |
| <b>GRAND TOTAL ALL ACCOUNTS</b>          |              |                | <b>\$204,613,163.40</b> |
| <br>                                     |              |                |                         |
| DATE: SEPTEMBER 2015                     |              |                |                         |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>                   | <b>ITEMS</b> | <b>YIELDS</b> | <b>PRINCIPAL</b>        |
|-----------------------------------------------|--------------|---------------|-------------------------|
| <b><u>OPERATIONS ACCOUNT #40260610</u></b>    |              |               |                         |
| FEDERAL FARM CREDIT BONDS                     | 3            | 0.47%-1.12%   | \$2,929,362.95          |
| FEDERAL NATIONAL MORTGAGE                     | 5            | 0.75-2.02%    | \$7,179,197.47          |
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| <b><u>GOB 2011 REFUNDING #40260630</u></b>    |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,882,766.36          |
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| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |              |               |                         |
| US TREASURY BILL                              | 1            | 0.14%         | \$50,108.61             |
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| <b>GRAND TOTAL FIFTH THIRD</b>                |              |               | <b>\$124,195,851.83</b> |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

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|------------------------------------------|--------------|----------------|-------------------------|
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| <br>                                     |              |                |                         |
| <b>DATE: SEPTEMBER 2015</b>              |              |                |                         |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

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|-----------------------------------------------|--------------|---------------|-------------------------|
| <b><u>OPERATIONS ACCOUNT #40260610</u></b>    |              |               |                         |
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| SUB-TOTAL FOR OPERATIONS                      | 41           |               | \$73,771,117.36         |
| <b><u>GOB 2011 REFUNDING #40260630</u></b>    |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,882,766.36          |
| SUB-TOTAL FOR GOB 2011 SERIES                 | 1            |               | \$9,882,766.36          |
| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |              |               |                         |
| US TREASURY BILL                              | 1            | 0.14%         | \$50,108.61             |
| FEDERAL HOME LOAN BANK                        | 18           | 1.25-3.24%    | \$17,106,569.83         |
| FEDERAL NATIONAL MORTGAGE                     | 4            | 2.25%-3.00%   | \$3,986,063.89          |
| FEDERAL FARM CREDIT BONDS                     | 5            | 2.07%-2.92%   | \$5,000,881.11          |
| FANNIE MAE BONDS                              | 2            | 2.00%-2.33%   | \$1,492,194.44          |
| FREDDIE MAC BONDS                             | 1            | 2.55%         | \$500,000.00            |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$3,309,627.05          |
| SUB-TOTAL FOR CORE ACCOUNT HOLDINGS           | 32           |               | \$31,445,444.93         |
| <b><u>GOB 2013 SERIES #40260640</u></b>       |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,096,523.18          |
| SUB-TOTAL FOR GOB 2013 SERIES                 | 1            |               | \$9,096,523.18          |
| <b>GRAND TOTAL FIFTH THIRD</b>                |              |               | <b>\$124,195,851.83</b> |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>              | <b>ITEMS</b> | <b>YIELDS</b>  | <b>PRINCIPAL</b>        |
|------------------------------------------|--------------|----------------|-------------------------|
| <b>LOS ALAMOS NATIONAL BANK</b>          |              |                |                         |
| BANK & CREDIT UNION CD'S                 | 4            | 0.30%-1.14%    | \$998,000.00            |
| LANB ACCOUNTS WITH A YIELD OF .01%       | 2            | 0.01%          | \$1,605,463.47          |
| LANB-SANTA FE STUDIOS 2.50%              | 1            | 2.50%          | \$6,058,730.38          |
| <b>TOTAL CD'S &amp; SAVINGS ACCOUNTS</b> | <b>7</b>     |                | <b>\$8,662,193.85</b>   |
| <br>                                     |              |                |                         |
| LANB VARIOUS OPERATIONS ACCOUNTS         | 1            | 0.10%          | \$9,896,334.99          |
| COUNTY MANAGER'S ACCOUNT                 | 1            | 0.00%          | \$1,000.00              |
| SFC REGION III HIDTA ACCOUNT             | 1            | 0.00%          | \$6,666.14              |
| SFC REGION III JAG ACCOUNT               | 1            | 0.00%          | \$10,000.00             |
| SFC FLEXIBLE SPENDING TRUST ACCT.        | 1            | 0.00%          | \$8,393.57              |
| OTHER LANB CASH BALANCES                 | 13           | 0.10%          | \$12,707,634.23         |
| <b>TOTAL OTHER ACCOUNTS</b>              | <b>18</b>    |                | <b>\$22,630,028.93</b>  |
| <br>                                     |              |                |                         |
| <b>FIRST NATIONAL BANK SANTA FE</b>      |              |                |                         |
| SFC CORRECTIONS MAINTENANCE              | 1            | 0.00%          | \$2,000.00              |
| SFC FIRE DEPARTMENT                      | 1            | 0.00%          | \$2,000.00              |
| SFC GOB 2009 SERIES                      | 1            | 0.20%          | \$832,365.69            |
| SFC GOB 2011 REFUNDING SERIES            | 1            | 0.20%          | \$28,244.14             |
| SFC GOB 2013 SERIES A                    | 1            | 0.20%          | \$513.82                |
| SFC GOB 2015 SERIES REFUND BOND          | 1            | 0.20%          | \$9,057,864.52          |
| SFC ROAD PROJECTS                        | 1            | 0.20%          | \$95,438.65             |
| LIQUID MONEY MARKET ACCT.                | 1            | .1000%/Var.    | \$3,019,188.41          |
| GOB 2013 SERIES MMSA                     | 1            | .1000%/Var.    | \$692.08                |
| <b>TOTAL FIRST NATIONAL ACCOUNTS</b>     | <b>9</b>     |                | <b>\$13,038,307.31</b>  |
| <br>                                     |              |                |                         |
| <b>WELLS FARGO</b>                       |              |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1            | 0.21%          | \$30,045,394.76         |
| <br>                                     |              |                |                         |
| <b>UBS</b>                               |              |                |                         |
| MONEY MARKET                             | 1            | 0.12%          | \$6,014,465.94          |
| <br>                                     |              |                |                         |
| STATE TREASURER LGIP ACCOUNTS            | 12           | VARIOUS        | \$26,920.78             |
| <br>                                     |              |                |                         |
| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b>    | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
| <br>                                     |              |                |                         |
| <b>GRAND TOTAL ALL ACCOUNTS</b>          |              |                | <b>\$204,613,163.40</b> |

DATE: SEPTEMBER 2015

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>                   | <b>ITEMS</b> | <b>YIELDS</b> | <b>PRINCIPAL</b>        |
|-----------------------------------------------|--------------|---------------|-------------------------|
| <b><u>OPERATIONS ACCOUNT #40260610</u></b>    |              |               |                         |
| FEDERAL FARM CREDIT BONDS                     | 3            | 0.47%-1.12%   | \$2,929,362.95          |
| FEDERAL NATIONAL MORTGAGE                     | 5            | 0.75-2.02%    | \$7,179,197.47          |
| FEDERAL HOME LOAN BANK                        | 9            | 0.06%-4.00%   | \$21,050,438.97         |
| FANNIE MAE BONDS                              | 6            | 0.70%-1.57%   | \$11,007,787.50         |
| FREDDIE MAC BOND                              | 6            | 0.65%-1.53%   | \$7,649,497.85          |
| NMFA MUNI                                     | 2            | 0.62-1.16%    | \$1,020,000.00          |
| FINANCING CORP.PRIN.                          | 1            | 0.079%        | \$2,893,344.00          |
| FING CORP. FICO                               | 1            | 0.055%        | \$1,960,372.00          |
| TENNESSEE VALLEY AUTH.                        | 3            | 99.36-1.48%   | \$6,571,919.25          |
| ABQ. NM GEN. OBL. BOND                        | 2            | 1.052%-1.35   | \$1,735,607.22          |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$8,718,447.45          |
| NM STATE UNIVERSITY MUNI                      | 1            | 1.90%         | \$571,556.81            |
| SANDOVAL COUNTY AUTH. BOND                    | 1            | 1.50%         | \$483,585.89            |
| SUB-TOTAL FOR OPERATIONS                      | 41           |               | \$73,771,117.36         |
| <b><u>GOB 2011 REFUNDING #40260630</u></b>    |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,882,766.36          |
| SUB-TOTAL FOR GOB 2011 SERIES                 | 1            |               | \$9,882,766.36          |
| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |              |               |                         |
| US TREASURY BILL                              | 1            | 0.14%         | \$50,108.61             |
| FEDERAL HOME LOAN BANK                        | 18           | 1.25-3.24%    | \$17,106,569.83         |
| FEDERAL NATIONAL MORTGAGE                     | 4            | 2.25%-3.00%   | \$3,986,063.89          |
| FEDERAL FARM CREDIT BONDS                     | 5            | 2.07%-2.92%   | \$5,000,881.11          |
| FANNIE MAE BONDS                              | 2            | 2.00%-2.33%   | \$1,492,194.44          |
| FREDDIE MAC BONDS                             | 1            | 2.55%         | \$500,000.00            |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$3,309,627.05          |
| SUB-TOTAL FOR CORE ACCOUNT HOLDINGS           | 32           |               | \$31,445,444.93         |
| <b><u>GOB 2013 SERIES #40260640</u></b>       |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,096,523.18          |
| SUB-TOTAL FOR GOB 2013 SERIES                 | 1            |               | \$9,096,523.18          |
| <b>GRAND TOTAL FIFTH THIRD</b>                |              |               | <b>\$124,195,851.83</b> |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>              | <b>ITEMS</b> | <b>YIELDS</b>  | <b>PRINCIPAL</b>        |
|------------------------------------------|--------------|----------------|-------------------------|
| <b>LOS ALAMOS NATIONAL BANK</b>          |              |                |                         |
| BANK & CREDIT UNION CD'S                 | 4            | 0.30%-1.14%    | \$998,000.00            |
| LANB ACCOUNTS WITH A YIELD OF .01%       | 2            | 0.01%          | \$1,605,463.47          |
| LANB-SANTA FE STUDIOS 2.50%              | 1            | 2.50%          | \$6,058,730.38          |
| <b>TOTAL CD'S &amp; SAVINGS ACCOUNTS</b> | <b>7</b>     |                | <b>\$8,662,193.85</b>   |
| <br>                                     |              |                |                         |
| LANB VARIOUS OPERATIONS ACCOUNTS         | 1            | 0.10%          | \$9,896,334.99          |
| COUNTY MANAGER'S ACCOUNT                 | 1            | 0.00%          | \$1,000.00              |
| SFC REGION III HIDTA ACCOUNT             | 1            | 0.00%          | \$6,666.14              |
| SFC REGION III JAG ACCOUNT               | 1            | 0.00%          | \$10,000.00             |
| SFC FLEXIBLE SPENDING TRUST ACCT.        | 1            | 0.00%          | \$8,393.57              |
| OTHER LANB CASH BALANCES                 | 13           | 0.10%          | \$12,707,634.23         |
| <b>TOTAL OTHER ACCOUNTS</b>              | <b>18</b>    |                | <b>\$22,630,028.93</b>  |
| <br>                                     |              |                |                         |
| <b>FIRST NATIONAL BANK SANTA FE</b>      |              |                |                         |
| SFC CORRECTIONS MAINTENANCE              | 1            | 0.00%          | \$2,000.00              |
| SFC FIRE DEPARTMENT                      | 1            | 0.00%          | \$2,000.00              |
| SFC GOB 2009 SERIES                      | 1            | 0.20%          | \$832,365.69            |
| SFC GOB 2011 REFUNDING SERIES            | 1            | 0.20%          | \$28,244.14             |
| SFC GOB 2013 SERIES A                    | 1            | 0.20%          | \$513.82                |
| SFC GOB 2015 SERIES REFUND BOND          | 1            | 0.20%          | \$9,057,864.52          |
| SFC ROAD PROJECTS                        | 1            | 0.20%          | \$95,438.65             |
| LIQUID MONEY MARKET ACCT.                | 1            | .1000%/Var.    | \$3,019,188.41          |
| GOB 2013 SERIES MMSA                     | 1            | .1000%/Var.    | \$692.08                |
| <b>TOTAL FIRST NATIONAL ACCOUNTS</b>     | <b>9</b>     |                | <b>\$13,038,307.31</b>  |
| <br>                                     |              |                |                         |
| <b>WELLS FARGO</b>                       |              |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1            | 0.21%          | \$30,045,394.76         |
| <br>                                     |              |                |                         |
| <b>UBS</b>                               |              |                |                         |
| MONEY MARKET                             | 1            | 0.12%          | \$6,014,465.94          |
| STATE TREASURER LGIP ACCOUNTS            | 12           | VARIOUS        | \$26,920.78             |
| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b>    | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
| <br>                                     |              |                |                         |
| <b>GRAND TOTAL ALL ACCOUNTS</b>          |              |                | <b>\$204,613,163.40</b> |

DATE: SEPTEMBER 2015

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>                   | <b>ITEMS</b> | <b>YIELDS</b> | <b>PRINCIPAL</b>        |
|-----------------------------------------------|--------------|---------------|-------------------------|
| <b><u>OPERATIONS ACCOUNT #40260610</u></b>    |              |               |                         |
| FEDERAL FARM CREDIT BONDS                     | 3            | 0.47%-1.12%   | \$2,929,362.95          |
| FEDERAL NATIONAL MORTGAGE                     | 5            | 0.75-2.02%    | \$7,179,197.47          |
| FEDERAL HOME LOAN BANK                        | 9            | 0.06%-4.00%   | \$21,050,438.97         |
| FANNIE MAE BONDS                              | 6            | 0.70%-1.57%   | \$11,007,787.50         |
| FREDDIE MAC BOND                              | 6            | 0.65%-1.53%   | \$7,649,497.85          |
| NMFA MUNI                                     | 2            | 0.62-1.16%    | \$1,020,000.00          |
| FINANCING CORP.PRIN.                          | 1            | 0.079%        | \$2,893,344.00          |
| FING CORP. FICO                               | 1            | 0.055%        | \$1,960,372.00          |
| TENNESSEE VALLEY AUTH.                        | 3            | 99.36-1.48%   | \$6,571,919.25          |
| ABQ. NM GEN. OBL. BOND                        | 2            | 1.052%-1.35   | \$1,735,607.22          |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$8,718,447.45          |
| NM STATE UNIVERSITY MUNI                      | 1            | 1.90%         | \$571,556.81            |
| SANDOVAL COUNTY AUTH. BOND                    | 1            | 1.50%         | \$483,585.89            |
| SUB-TOTAL FOR OPERATIONS                      | 41           |               | \$73,771,117.36         |
| <b><u>GOB 2011 REFUNDING #40260630</u></b>    |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,882,766.36          |
| SUB-TOTAL FOR GOB 2011 SERIES                 | 1            |               | \$9,882,766.36          |
| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |              |               |                         |
| US TREASURY BILL                              | 1            | 0.14%         | \$50,108.61             |
| FEDERAL HOME LOAN BANK                        | 18           | 1.25-3.24%    | \$17,106,569.83         |
| FEDERAL NATIONAL MORTGAGE                     | 4            | 2.25%-3.00%   | \$3,986,063.89          |
| FEDERAL FARM CREDIT BONDS                     | 5            | 2.07%-2.92%   | \$5,000,881.11          |
| FANNIE MAE BONDS                              | 2            | 2.00%-2.33%   | \$1,492,194.44          |
| FREDDIE MAC BONDS                             | 1            | 2.55%         | \$500,000.00            |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$3,309,627.05          |
| SUB-TOTAL FOR CORE ACCOUNT HOLDINGS           | 32           |               | \$31,445,444.93         |
| <b><u>GOB 2013 SERIES #40260640</u></b>       |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,096,523.18          |
| SUB-TOTAL FOR GOB 2013 SERIES                 | 1            |               | \$9,096,523.18          |
| <b>GRAND TOTAL FIFTH THIRD</b>                |              |               | <b>\$124,195,851.83</b> |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>              | <b>ITEMS</b> | <b>YIELDS</b>  | <b>PRINCIPAL</b>        |
|------------------------------------------|--------------|----------------|-------------------------|
| <b>LOS ALAMOS NATIONAL BANK</b>          |              |                |                         |
| BANK & CREDIT UNION CD'S                 | 4            | 0.30%-1.14%    | \$998,000.00            |
| LANB ACCOUNTS WITH A YIELD OF .01%       | 2            | 0.01%          | \$1,605,463.47          |
| LANB-SANTA FE STUDIOS 2.50%              | 1            | 2.50%          | \$6,058,730.38          |
| <b>TOTAL CD'S &amp; SAVINGS ACCOUNTS</b> | <b>7</b>     |                | <b>\$8,662,193.85</b>   |
| <br>                                     |              |                |                         |
| LANB VARIOUS OPERATIONS ACCOUNTS         | 1            | 0.10%          | \$9,896,334.99          |
| COUNTY MANAGER'S ACCOUNT                 | 1            | 0.00%          | \$1,000.00              |
| SFC REGION III HIDTA ACCOUNT             | 1            | 0.00%          | \$6,666.14              |
| SFC REGION III JAG ACCOUNT               | 1            | 0.00%          | \$10,000.00             |
| SFC FLEXIBLE SPENDING TRUST ACCT.        | 1            | 0.00%          | \$8,393.57              |
| OTHER LANB CASH BALANCES                 | 13           | 0.10%          | \$12,707,634.23         |
| <b>TOTAL OTHER ACCOUNTS</b>              | <b>18</b>    |                | <b>\$22,630,028.93</b>  |
| <br>                                     |              |                |                         |
| <b>FIRST NATIONAL BANK SANTA FE</b>      |              |                |                         |
| SFC CORRECTIONS MAINTENANCE              | 1            | 0.00%          | \$2,000.00              |
| SFC FIRE DEPARTMENT                      | 1            | 0.00%          | \$2,000.00              |
| SFC GOB 2009 SERIES                      | 1            | 0.20%          | \$832,365.69            |
| SFC GOB 2011 REFUNDING SERIES            | 1            | 0.20%          | \$28,244.14             |
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| <br>                                     |              |                |                         |
| <b>WELLS FARGO</b>                       |              |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1            | 0.21%          | \$30,045,394.76         |
| <br>                                     |              |                |                         |
| <b>UBS</b>                               |              |                |                         |
| MONEY MARKET                             | 1            | 0.12%          | \$6,014,465.94          |
| STATE TREASURER LGIP ACCOUNTS            | 12           | VARIOUS        | \$26,920.78             |
| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b>    | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
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| <b>GRAND TOTAL ALL ACCOUNTS</b>          |              |                | <b>\$204,613,163.40</b> |

DATE: SEPTEMBER 2015

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>                   | <b>ITEMS</b> | <b>YIELDS</b> | <b>PRINCIPAL</b>        |
|-----------------------------------------------|--------------|---------------|-------------------------|
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| FREDDIE MAC BOND                              | 6            | 0.65%-1.53%   | \$7,649,497.85          |
| NMFA MUNI                                     | 2            | 0.62-1.16%    | \$1,020,000.00          |
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| TENNESSEE VALLEY AUTH.                        | 3            | 99.36-1.48%   | \$6,571,919.25          |
| ABQ. NM GEN. OBL. BOND                        | 2            | 1.052%-1.35   | \$1,735,607.22          |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$8,718,447.45          |
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| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |              |               |                         |
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| <b><u>GOB 2013 SERIES #40260640</u></b>       |              |               |                         |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,096,523.18          |
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| <b>GRAND TOTAL FIFTH THIRD</b>                |              |               | <b>\$124,195,851.83</b> |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>              | <b>ITEMS</b> | <b>YIELDS</b>  | <b>PRINCIPAL</b>        |
|------------------------------------------|--------------|----------------|-------------------------|
| <b>LOS ALAMOS NATIONAL BANK</b>          |              |                |                         |
| BANK & CREDIT UNION CD'S                 | 4            | 0.30%-1.14%    | \$998,000.00            |
| LANB ACCOUNTS WITH A YIELD OF .01%       | 2            | 0.01%          | \$1,605,463.47          |
| LANB-SANTA FE STUDIOS 2.50%              | 1            | 2.50%          | \$6,058,730.38          |
| <b>TOTAL CD'S &amp; SAVINGS ACCOUNTS</b> | <b>7</b>     |                | <b>\$8,662,193.85</b>   |
| <br>                                     |              |                |                         |
| LANB VARIOUS OPERATIONS ACCOUNTS         | 1            | 0.10%          | \$9,896,334.99          |
| COUNTY MANAGER'S ACCOUNT                 | 1            | 0.00%          | \$1,000.00              |
| SFC REGION III HIDTA ACCOUNT             | 1            | 0.00%          | \$6,666.14              |
| SFC REGION III JAG ACCOUNT               | 1            | 0.00%          | \$10,000.00             |
| SFC FLEXIBLE SPENDING TRUST ACCT.        | 1            | 0.00%          | \$8,393.57              |
| OTHER LANB CASH BALANCES                 | 13           | 0.10%          | \$12,707,634.23         |
| <b>TOTAL OTHER ACCOUNTS</b>              | <b>18</b>    |                | <b>\$22,630,028.93</b>  |
| <br>                                     |              |                |                         |
| <b>FIRST NATIONAL BANK SANTA FE</b>      |              |                |                         |
| SFC CORRECTIONS MAINTENANCE              | 1            | 0.00%          | \$2,000.00              |
| SFC FIRE DEPARTMENT                      | 1            | 0.00%          | \$2,000.00              |
| SFC GOB 2009 SERIES                      | 1            | 0.20%          | \$832,365.69            |
| SFC GOB 2011 REFUNDING SERIES            | 1            | 0.20%          | \$28,244.14             |
| SFC GOB 2013 SERIES A                    | 1            | 0.20%          | \$513.82                |
| SFC GOB 2015 SERIES REFUND BOND          | 1            | 0.20%          | \$9,057,864.52          |
| SFC ROAD PROJECTS                        | 1            | 0.20%          | \$95,438.65             |
| LIQUID MONEY MARKET ACCT.                | 1            | .1000%/Var.    | \$3,019,188.41          |
| GOB 2013 SERIES MMSA                     | 1            | .1000%/Var.    | \$692.08                |
| <b>TOTAL FIRST NATIONAL ACCOUNTS</b>     | <b>9</b>     |                | <b>\$13,038,307.31</b>  |
| <br>                                     |              |                |                         |
| <b>WELLS FARGO</b>                       |              |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1            | 0.21%          | \$30,045,394.76         |
| <br>                                     |              |                |                         |
| <b>UBS</b>                               |              |                |                         |
| MONEY MARKET                             | 1            | 0.12%          | \$6,014,465.94          |
| <br>                                     |              |                |                         |
| STATE TREASURER LGIP ACCOUNTS            | 12           | VARIOUS        | \$26,920.78             |
| <br>                                     |              |                |                         |
| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b>    | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
| <br>                                     |              |                |                         |
| <b>GRAND TOTAL ALL ACCOUNTS</b>          |              |                | <b>\$204,613,163.40</b> |

DATE: SEPTEMBER 2015

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>                   | <b>ITEMS</b> | <b>YIELDS</b> | <b>PRINCIPAL</b>               |
|-----------------------------------------------|--------------|---------------|--------------------------------|
| <b><u>OPERATIONS ACCOUNT #40260610</u></b>    |              |               |                                |
| FEDERAL FARM CREDIT BONDS                     | 3            | 0.47%-1.12%   | \$2,929,362.95                 |
| FEDERAL NATIONAL MORTGAGE                     | 5            | 0.75-2.02%    | \$7,179,197.47                 |
| FEDERAL HOME LOAN BANK                        | 9            | 0.06%-4.00%   | \$21,050,438.97                |
| FANNIE MAE BONDS                              | 6            | 0.70%-1.57%   | \$11,007,787.50                |
| FREDDIE MAC BOND                              | 6            | 0.65%-1.53%   | \$7,649,497.85                 |
| NMFA MUNI                                     | 2            | 0.62-1.16%    | \$1,020,000.00                 |
| FINANCING CORP.PRIN.                          | 1            | 0.079%        | \$2,893,344.00                 |
| FING CORP. FICO                               | 1            | 0.055%        | \$1,960,372.00                 |
| TENNESSEE VALLEY AUTH.                        | 3            | 99.36-1.48%   | \$6,571,919.25                 |
| ABQ. NM GEN. OBL. BOND                        | 2            | 1.052%-1.35   | \$1,735,607.22                 |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$8,718,447.45                 |
| NM STATE UNIVERSITY MUNI                      | 1            | 1.90%         | \$571,556.81                   |
| SANDOVAL COUNTY AUTH. BOND                    | 1            | 1.50%         | \$483,585.89                   |
| SUB-TOTAL FOR OPERATIONS                      | 41           |               | <b>\$73,771,117.36</b>         |
| <b><u>GOB 2011 REFUNDING #40260630</u></b>    |              |               |                                |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,882,766.36                 |
| SUB-TOTAL FOR GOB 2011 SERIES                 | 1            |               | <b>\$9,882,766.36</b>          |
| <b><u>CORE ACCOUNT HOLDINGS #40260620</u></b> |              |               |                                |
| US TREASURY BILL                              | 1            | 0.14%         | \$50,108.61                    |
| FEDERAL HOME LOAN BANK                        | 18           | 1.25-3.24%    | \$17,106,569.83                |
| FEDERAL NATIONAL MORTGAGE                     | 4            | 2.25%-3.00%   | \$3,986,063.89                 |
| FEDERAL FARM CREDIT BONDS                     | 5            | 2.07%-2.92%   | \$5,000,881.11                 |
| FANNIE MAE BONDS                              | 2            | 2.00%-2.33%   | \$1,492,194.44                 |
| FREDDIE MAC BONDS                             | 1            | 2.55%         | \$500,000.00                   |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$3,309,627.05                 |
| SUB-TOTAL FOR CORE ACCOUNT HOLDINGS           | 32           |               | <b>\$31,445,444.93</b>         |
| <b><u>GOB 2013 SERIES #40260640</u></b>       |              |               |                                |
| FIFTH THIRD U.S. TREASURY MONEY MARKET        | 1            | 0.01%         | \$9,096,523.18                 |
| SUB-TOTAL FOR GOB 2013 SERIES                 | 1            |               | <b>\$9,096,523.18</b>          |
| <b>GRAND TOTAL FIFTH THIRD</b>                |              |               | <b><u>\$124,195,851.83</u></b> |

**SANTA FE COUNTY  
TREASURER'S INVESTMENT PORTFOLIO**

| <b>ASSET CLASSIFICATION</b>              | <b>ITEMS</b> | <b>YIELDS</b>  | <b>PRINCIPAL</b>        |
|------------------------------------------|--------------|----------------|-------------------------|
| <b>LOS ALAMOS NATIONAL BANK</b>          |              |                |                         |
| BANK & CREDIT UNION CD'S                 | 4            | 0.30%-1.14%    | \$998,000.00            |
| LANB ACCOUNTS WITH A YIELD OF .01%       | 2            | 0.01%          | \$1,605,463.47          |
| LANB-SANTA FE STUDIOS 2.50%              | 1            | 2.50%          | \$6,058,730.38          |
| <b>TOTAL CD'S &amp; SAVINGS ACCOUNTS</b> | <b>7</b>     |                | <b>\$8,662,193.85</b>   |
| <br>                                     |              |                |                         |
| LANB VARIOUS OPERATIONS ACCOUNTS         | 1            | 0.10%          | \$9,896,334.99          |
| COUNTY MANAGER'S ACCOUNT                 | 1            | 0.00%          | \$1,000.00              |
| SFC REGION III HIDTA ACCOUNT             | 1            | 0.00%          | \$6,666.14              |
| SFC REGION III JAG ACCOUNT               | 1            | 0.00%          | \$10,000.00             |
| SFC FLEXIBLE SPENDING TRUST ACCT.        | 1            | 0.00%          | \$8,393.57              |
| OTHER LANB CASH BALANCES                 | 13           | 0.10%          | \$12,707,634.23         |
| <b>TOTAL OTHER ACCOUNTS</b>              | <b>18</b>    |                | <b>\$22,630,028.93</b>  |
| <br>                                     |              |                |                         |
| <b>FIRST NATIONAL BANK SANTA FE</b>      |              |                |                         |
| SFC CORRECTIONS MAINTENANCE              | 1            | 0.00%          | \$2,000.00              |
| SFC FIRE DEPARTMENT                      | 1            | 0.00%          | \$2,000.00              |
| SFC GOB 2009 SERIES                      | 1            | 0.20%          | \$832,365.69            |
| SFC GOB 2011 REFUNDING SERIES            | 1            | 0.20%          | \$28,244.14             |
| SFC GOB 2013 SERIES A                    | 1            | 0.20%          | \$513.82                |
| SFC GOB 2015 SERIES REFUND BOND          | 1            | 0.20%          | \$9,057,864.52          |
| SFC ROAD PROJECTS                        | 1            | 0.20%          | \$95,438.65             |
| LIQUID MONEY MARKET ACCT.                | 1            | .1000%/Var.    | \$3,019,188.41          |
| GOB 2013 SERIES MMSA                     | 1            | .1000%/Var.    | \$692.08                |
| <b>TOTAL FIRST NATIONAL ACCOUNTS</b>     | <b>9</b>     |                | <b>\$13,038,307.31</b>  |
| <br>                                     |              |                |                         |
| <b>WELLS FARGO</b>                       |              |                |                         |
| LIQUID MONEY MARKET ACCT.                | 1            | 0.21%          | \$30,045,394.76         |
| <br>                                     |              |                |                         |
| <b>UBS</b>                               |              |                |                         |
| MONEY MARKET                             | 1            | 0.12%          | \$6,014,465.94          |
| <br>                                     |              |                |                         |
| STATE TREASURER LGIP ACCOUNTS            | 12           | VARIOUS        | \$26,920.78             |
| <br>                                     |              |                |                         |
| <b>TOTAL OF VARIOUS ACCOUNTS</b>         | <b>27</b>    | <b>VARIOUS</b> | <b>\$80,417,311.57</b>  |
| <br>                                     |              |                |                         |
| <b>GRAND TOTAL ALL ACCOUNTS</b>          |              |                | <b>\$204,613,163.40</b> |

DATE: SEPTEMBER 2015



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|        | Security Description                                                        | Purchase Date | Invested Amount         | Effective Annual Interest Rate | Term      | Maturity Date | Interest Check to be Paid |
|--------|-----------------------------------------------------------------------------|---------------|-------------------------|--------------------------------|-----------|---------------|---------------------------|
|        | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015      | \$ 250,000.00           | 1.00%                          | 36 Months | 8/1/2018      | Monthly                   |
| Closed | Community Bank - CD # 701477-Santa Fe                                       | 2/1/2015      | \$ -                    | 0.45%                          | 7 Months  | 9/1/2015      | Monthly                   |
|        | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe                | 4/2/2014      | \$ 250,000.00           | 0.75%                          | 2 Years   | 4/4/2016      | Monthly                   |
|        | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015     | \$ 248,000.00           | 0.35%                          | 24 Months | 4/18/2017     | Semi-Annual               |
| New    | Century Bank-CD #38009932                                                   | 9/16/2015     | \$ 250,000.00           | 0.50%                          | 24 Months | 9/16/2017     | Monthly                   |
|        | <b>SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT</b>                      |               | <b>\$ 998,000.00</b>    |                                |           |               |                           |
|        | <b>LOS ALAMOS NATIONAL BANK</b>                                             |               |                         |                                |           |               |                           |
|        | Los Alamos National Bank-Acct #0111883820 Universal Savings Acct. Pool Cash | 5/4/2009      | \$ 17.17                | 0.01%                          | N/A       | N/A           | N/A                       |
|        | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009     | \$ 1,605,446.30         | 0.01%                          | N/A       | N/A           | N/A                       |
|        | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010    | \$ 6,058,730.38         | 2.50%                          | 318 Mo.   | 4/26/2037     | Quarterly                 |
|        | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011    | \$ 1,000.00             | 0.00%                          | N/A       | N/A           | N/A                       |
|        | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011    | \$ 6,666.14             | 0.00%                          | N/A       | N/A           | N/A                       |
|        | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011    | \$ 10,000.00            | 0.00%                          | N/A       | N/A           | N/A                       |
|        | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013     | \$ 8,393.57             | 0.00%                          | N/A       | N/A           | N/A                       |
|        | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012      | \$ 9,898,334.99         | 0.10%                          | N/A       | N/A           | N/A                       |
|        | <b>FIRST NATIONAL BANK SANTA FE</b>                                         |               |                         |                                |           |               |                           |
| New    | FNB-Acct #8100311439 Corrections Maintenance                                | 9/24/2015     | \$ 2,000.00             | 0.00%                          | N/A       | N/A           | N/A                       |
| New    | FNB-Acct #100103610 Fire Department                                         | 9/24/2015     | \$ 2,000.00             | 0.00%                          | N/A       | N/A           | N/A                       |
| New    | FNB-Acct #8100312060 GOB 2009 Series                                        | 9/24/2015     | \$ 832,365.69           | 0.20%                          | N/A       | N/A           | N/A                       |
| New    | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015     | \$ 28,244.14            | 0.20%                          | N/A       | N/A           | N/A                       |
| New    | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015     | \$ 513.82               | 0.20%                          | N/A       | N/A           | N/A                       |
| New    | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015     | \$ 9,057,864.52         | 0.20%                          | N/A       | N/A           | N/A                       |
| New    | FNB-Acct #8100312346 Road Projects                                          | 9/24/2015     | \$ 95,438.85            | 0.20%                          | N/A       | N/A           | N/A                       |
|        | <b>LANB CERTIFICATES OF DEPOSIT &amp; SAVINGS ACCOUNTS</b>                  |               | <b>\$ 27,605,015.37</b> |                                |           |               |                           |
|        | <b>FIRST NATIONAL BANK OF SANTA FE</b>                                      |               |                         |                                |           |               |                           |
|        | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013     | \$ 692.08               | 0.1%/Var.                      | N/A       | N/A           | N/A                       |
|        | Liquid Money Market Account #106543421                                      | 1/2/2013      | \$ 3,019,188.41         | 0.1%/Var.                      | N/A       | N/A           | N/A                       |
|        | <b>UBS</b>                                                                  |               |                         |                                |           |               |                           |
| New    | GOB 2013 Series Money Market KK-04168                                       | 9/29/2015     | \$ 6,014,465.94         | 0.12%                          | N/A       | N/A           | N/A                       |
|        | <b>WELLS FARGO</b>                                                          |               |                         |                                |           |               |                           |
|        | Liquid Money Market Account #5664507489                                     | 10/24/2014    | \$ 30,045,394.76        | 0.21%                          | N/A       | N/A           | N/A                       |
|        | <b>TOTAL CERTIFICATES OF DEPOSIT &amp; SAVINGS ACCOUNTS</b>                 |               | <b>\$ 67,682,756.56</b> |                                |           |               |                           |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>       | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|-------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                   |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                   |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XMB Wells Fargo Securities | 8/28/2012  | \$ | 998,563.89   | 2.30% | 10 Years       | 8/26/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip # 3133EC6X7                      | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 6/18/2012  | \$ | 613,864.00   | 3.24% | 15 Years       | 6/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/26/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL

\$

28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

Patrick "Pat" Varela Treasurer



## FIXED INCOME HOLDINGS

|         |                                                  |            |                      |             |                |            |               |
|---------|--------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called  | Federal Home Loan Bank Bond-Cusip #313379T82     | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/18/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3XG0                | 6/28/2012  | \$ 1,496,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0         | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3F54                | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0PU1                 | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECAM6        | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G16Y0                 | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G13V9                 | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #31358BAA6         | 1/4/2013   | \$ 754,602.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G1AQ2                 | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECLB8        | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|         | Financing Corp. Prin. Bond-Cusip #31771EAK7      | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|         | Fing Corp Fico Bond-Cusip #31771JMK3             | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO         | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G44N5     | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8         | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3         | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0WD1                 | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133EDKP6        | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|         | Tennessee Valley Auth. Note- Cusip #880591EQ1    | 7/21/2014  | \$ 2,031,386.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3133XFGT7     | 7/31/2014  | \$ 550,381.39        | 0.39        | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G38F2                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 8/18/2015  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G3178                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 8/14/2015  | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A1P69     | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|         | Freddie Mac Bond-Cusip #3137EADS5                | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|         | Fannie Mae Bond-Cusip #3135G0QK2                 | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7     | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #880591CU4 | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 9 Mo.   | 12/15/2017 | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3137EADK2     | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A52P3     | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|         | Freddie Mac Bond-Cusip #3134G6XL2                | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5AN9     | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #88059E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5TQ2     | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|         | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9    | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



### MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9                                           | 4 Yrs 7. Mo.   | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo.   | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

### AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 60,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.99 |

**GRAND TOTAL FIFTH THIRD** \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,880.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account#7908-12101 Phase II GOB Buckman Proj.            | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|        | Security Description                                         | Purchase Date | Invested Amount | Effective Annual Interest Rate | Term      | Maturity Date | Interest Check to be Paid |
|--------|--------------------------------------------------------------|---------------|-----------------|--------------------------------|-----------|---------------|---------------------------|
|        | Guadalupe Credit Union - CD, #11034009                       | 8/1/2015      | \$ 250,000.00   | 1.00%                          | 36 Months | 8/1/2018      | Monthly                   |
| Closed | Community Bank - CD # 701477 Santa Fe                        | 2/1/2015      | \$ -            | 0.45%                          | 7 Months  | 9/1/2015      | Monthly                   |
|        | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe | 4/2/2014      | \$ 250,000.00   | 0.75%                          | 2 Years   | 4/4/2016      | Monthly                   |
|        | New Mexico Bank & Trust - CD # 132001340                     | 4/18/2015     | \$ 248,000.00   | 0.35%                          | 24 Months | 4/18/2017     | Semi-Annual               |
| New    | Century Bank-CD #38009932                                    | 9/16/2015     | \$ 250,000.00   | 0.50%                          | 24 Months | 9/16/2017     | Monthly                   |

**SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT****\$ 998,000.00****LOS ALAMOS NATIONAL BANK**

|  |                                                                             |            |                 |       |         |           |           |
|--|-----------------------------------------------------------------------------|------------|-----------------|-------|---------|-----------|-----------|
|  | Los Alamos National Bank-Acct #0111883820 Universal Savings Acct. Pool Cash | 5/4/2009   | \$ 17.17        | 0.01% | N/A     | N/A       | N/A       |
|  | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009  | \$ 1,605,446.30 | 0.01% | N/A     | N/A       | N/A       |
|  | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010 | \$ 6,058,730.38 | 2.50% | 318 Mo. | 4/26/2037 | Quarterly |
|  | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011 | \$ 1,000.00     | 0.00% | N/A     | N/A       | N/A       |
|  | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011 | \$ 6,666.14     | 0.00% | N/A     | N/A       | N/A       |
|  | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011 | \$ 10,000.00    | 0.00% | N/A     | N/A       | N/A       |
|  | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013  | \$ 8,393.57     | 0.00% | N/A     | N/A       | N/A       |
|  | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012   | \$ 9,696,334.99 | 0.10% | N/A     | N/A       | N/A       |

**FIRST NATIONAL BANK SANTA FE**

|     |                                                  |           |                 |       |     |     |     |
|-----|--------------------------------------------------|-----------|-----------------|-------|-----|-----|-----|
| New | FNB-Acct #8100311439 Corrections Maintenance     | 9/24/2015 | \$ 2,000.00     | 0.00% | N/A | N/A | N/A |
| New | FNB-Acct #100163610 Fire Department              | 9/24/2015 | \$ 2,000.00     | 0.00% | N/A | N/A | N/A |
| New | FNB-Acct #8100312060 GOB 2009 Series             | 9/24/2015 | \$ 832,365.69   | 0.20% | N/A | N/A | N/A |
| New | FNB-Acct #8100312486 GOB 2011 Refunding Series   | 9/24/2015 | \$ 28,244.14    | 0.20% | N/A | N/A | N/A |
| New | FNB-Acct #8100312559 GOB 2013 Series A           | 9/24/2015 | \$ 513.82       | 0.20% | N/A | N/A | N/A |
| New | FNB-Acct #8100312974 GOB 2015 Series Refund Bond | 9/24/2015 | \$ 9,057,864.52 | 0.20% | N/A | N/A | N/A |
| New | FNB-Acct #8100312346 Road Projects               | 9/24/2015 | \$ 95,438.85    | 0.20% | N/A | N/A | N/A |

**LANB CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS****\$ 27,605,015.37****FIRST NATIONAL BANK OF SANTA FE**

|  |                                        |           |                 |           |     |     |     |
|--|----------------------------------------|-----------|-----------------|-----------|-----|-----|-----|
|  | GOB 2013 Series MMSA # 107035055       | 9/25/2013 | \$ 692.08       | 0.1%/Var. | N/A | N/A | N/A |
|  | Liquid Money Market Account #106543421 | 1/2/2013  | \$ 3,019,188.41 | 0.1%/Var. | N/A | N/A | N/A |

**UBS**

|     |                                       |           |                 |       |     |     |     |
|-----|---------------------------------------|-----------|-----------------|-------|-----|-----|-----|
| New | GOB 2013 Series Money Market KK 04168 | 9/29/2015 | \$ 6,014,465.94 | 0.12% | N/A | N/A | N/A |
|-----|---------------------------------------|-----------|-----------------|-------|-----|-----|-----|

**WELLS FARGO**

|  |                                         |            |                  |       |     |     |     |
|--|-----------------------------------------|------------|------------------|-------|-----|-----|-----|
|  | Liquid Money Market Account #5664507489 | 10/24/2014 | \$ 30,045,394.76 | 0.21% | N/A | N/A | N/A |
|--|-----------------------------------------|------------|------------------|-------|-----|-----|-----|

**TOTAL CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS****\$ 67,682,756.56**



# Santa Fe County Investment Schedule - Treasuries

## Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                          | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| <b>FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS</b> |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                           | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| <b>Treasury Totals</b>                                                   |                          | <b>\$ 50,108.61</b>        |                                               |                    |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,583.89   | 2.30% | 10 Years       | 8/26/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.18% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6X7                       | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 8/18/2012  | \$ | 613,884.00   | 3.24% | 15 Years       | 6/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/28/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL

\$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

Patrick "Pat" Varela Treasurer



## FIXED INCOME HOLDINGS

|         |                                                  |            |                      |             |                |            |               |
|---------|--------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called  | Federal Home Loan Bank Bond-Cusip #313379T82     | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3XG0                | 6/28/2012  | \$ 1,496,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0         | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3F54                | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0PU1                 | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECAM6        | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G16Y0                 | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G13V9                 | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #31358BAA6         | 1/4/2013   | \$ 754,802.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G1AQ2                 | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECLB8        | 4/18/2013  | \$ 929,382.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|         | Financing Corp. Prin. Bond-Cusip #31771EAK7      | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|         | Fing Corp Fico Bond-Cusip #31771JMK3             | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO         | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G44N5     | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8         | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3         | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0WD1                 | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133EDKP6        | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|         | Tennessee Valley Auth. Note- Cusip #880591EQ1    | 7/21/2014  | \$ 2,031,396.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3133XFGT7     | 7/31/2014  | \$ 550,381.39        | 0.39%       | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Matures | Freddie Mac Bond-Cusip #3134G36F2                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 8/18/2015  | Semi-Annual   |
| Matures | Freddie Mac Bond-Cusip #3134G3J76                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 8/4/2015   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A1P69     | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|         | Freddie Mac Bond-Cusip #3137EADS5                | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|         | Fannie Mae Bond-Cusip #3135G0QK2                 | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7     | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #880591CU4 | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 8 Mo.   | 12/15/2017 | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3137EADK2     | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A52P3     | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|         | Freddie Mac Bond-Cusip #3134G6XL2                | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5AN9     | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #88059E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5TQ2     | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00%    | 5 Years        | 7/22/2020  | Maturity Date |
|         | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9    | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9                                           | 4 Yrs 7. Mo.   | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M5      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,780.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 50,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.99 |

GRAND TOTAL FIFTH THIRD \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account #7908-12101 Phase II GOB Buckman Proj.           | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|        | Security<br>Description                                                     | Purchase<br>Date | Invested<br>Amount      | Effective<br>Annual<br>Interest Rate | Term      | Maturity<br>Date | Interest Check<br>to be Paid |
|--------|-----------------------------------------------------------------------------|------------------|-------------------------|--------------------------------------|-----------|------------------|------------------------------|
|        | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015         | \$ 250,000.00           | 1.00%                                | 36 Months | 8/1/2018         | Monthly                      |
| Closed | Community Bank - CD # 701477-Santa Fe                                       | 2/1/2015         | \$ -                    | 0.45%                                | 7 Months  | 9/1/2015         | Monthly                      |
|        | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe                | 4/2/2014         | \$ 250,000.00           | 0.75%                                | 2 Years   | 4/4/2016         | Monthly                      |
|        | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015        | \$ 248,000.00           | 0.35%                                | 24 Months | 4/18/2017        | Semi-Annual                  |
| New    | Century Bank-CD #39009932                                                   | 9/16/2015        | \$ 250,000.00           | 0.50%                                | 24 Months | 9/16/2017        | Monthly                      |
|        | <b>SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT</b>                      |                  | <b>\$ 998,000.00</b>    |                                      |           |                  |                              |
|        | <b>LOS ALAMOS NATIONAL BANK</b>                                             |                  |                         |                                      |           |                  |                              |
|        | Los Alamos National Bank-Acct #0111883820 Universal Savings Acct. Pool Cash | 5/4/2009         | \$ 17.17                | 0.01%                                | N/A       | N/A              | N/A                          |
|        | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009        | \$ 1,605,446.30         | 0.01%                                | N/A       | N/A              | N/A                          |
|        | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010       | \$ 6,058,730.36         | 2.50%                                | 318 Mo.   | 4/26/2037        | Quarterly                    |
|        | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011       | \$ 1,000.00             | 0.00%                                | N/A       | N/A              | N/A                          |
|        | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011       | \$ 6,666.14             | 0.00%                                | N/A       | N/A              | N/A                          |
|        | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011       | \$ 10,000.00            | 0.00%                                | N/A       | N/A              | N/A                          |
|        | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013        | \$ 8,393.57             | 0.00%                                | N/A       | N/A              | N/A                          |
|        | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012         | \$ 9,896,334.99         | 0.10%                                | N/A       | N/A              | N/A                          |
|        | <b>FIRST NATIONAL BANK SANTA FE</b>                                         |                  |                         |                                      |           |                  |                              |
| New    | FNB-Acct #8100311439 Corrections Maintenance                                | 9/24/2015        | \$ 2,000.00             | 0.00%                                | N/A       | N/A              | N/A                          |
| New    | FNB-Acct #100163610 Fire Department                                         | 9/24/2015        | \$ 2,000.00             | 0.00%                                | N/A       | N/A              | N/A                          |
| New    | FNB-Acct #8100312060 GOB 2009 Series                                        | 9/24/2015        | \$ 832,365.69           | 0.20%                                | N/A       | N/A              | N/A                          |
| New    | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015        | \$ 28,244.14            | 0.20%                                | N/A       | N/A              | N/A                          |
| New    | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015        | \$ 513.82               | 0.20%                                | N/A       | N/A              | N/A                          |
| New    | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015        | \$ 9,057,864.52         | 0.20%                                | N/A       | N/A              | N/A                          |
| New    | FNB-Acct #8100312346 Road Projects                                          | 9/24/2015        | \$ 95,438.65            | 0.20%                                | N/A       | N/A              | N/A                          |
|        | <b>LANB CERTIFICATES OF DEPOSIT &amp; SAVINGS ACCOUNTS</b>                  |                  | <b>\$ 27,605,015.37</b> |                                      |           |                  |                              |
|        | <b>FIRST NATIONAL BANK OF SANTA FE</b>                                      |                  |                         |                                      |           |                  |                              |
|        | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013        | \$ 692.08               | 0.1%/Var.                            | N/A       | N/A              | N/A                          |
|        | Liquid Money Market Account #106543421                                      | 1/2/2013         | \$ 3,019,188.41         | 0.1%/Var.                            | N/A       | N/A              | N/A                          |
|        | <b>UBS</b>                                                                  |                  |                         |                                      |           |                  |                              |
| New    | GOB 2013 Series Money Market KK-04168                                       | 9/29/2015        | \$ 6,014,465.94         | 0.12%                                | N/A       | N/A              | N/A                          |
|        | <b>WELLS FARGO</b>                                                          |                  |                         |                                      |           |                  |                              |
|        | Liquid Money Market Account #5664507489                                     | 10/24/2014       | \$ 30,045,394.76        | 0.21%                                | N/A       | N/A              | N/A                          |
|        | <b>TOTAL CERTIFICATES OF DEPOSIT &amp; SAVINGS ACCOUNTS</b>                 |                  | <b>\$ 67,682,756.56</b> |                                      |           |                  |                              |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                    |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,563.89   | 2.30% | 10 Years       | 8/26/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip # 3133EC6X7                      | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 8/18/2012  | \$ | 613,864.00   | 3.24% | 15 Years       | 6/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/26/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL \$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

## Patrick "Pat" Varela Treasurer



### FIXED INCOME HOLDINGS

|         |                                                  |            |                      |             |                |            |               |
|---------|--------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called  | Federal Home Loan Bank Bond-Cusip #313379T82     | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3XG0                | 8/28/2012  | \$ 1,496,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0         | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3F54                | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0PU1                 | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECAM6        | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G16Y0                 | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G13V9                 | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #31358BAA6         | 1/4/2013   | \$ 754,802.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G1AQ2                 | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECLB8        | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|         | Financing Corp. Prin. Bond-Cusip #31771EAK7      | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|         | Fing Corp Fico Bond-Cusip #31771JMK3             | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO         | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G44N5     | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8         | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3         | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0WD1                 | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133EDKP6        | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|         | Tennessee Valley Auth. Note-Cusip #880591EQ1     | 7/21/2014  | \$ 2,031,396.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3133XFGT7     | 7/31/2014  | \$ 550,381.39        | 0.39%       | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G38F2                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 8/18/2015  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G3475                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/4/2015   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A1P69     | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|         | Freddie Mac Bond-Cusip #3137EADS5                | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|         | Fannie Mae Bond-Cusip #3135G0QK2                 | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7     | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #880591CU4 | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs 9 Mo.    | 12/15/2017 | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3137EADK2     | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A52P3     | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|         | Freddie Mac Bond-Cusip #3134G6XL2                | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5AN9     | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #88059E4G6 | 5/22/2015  | \$ 2,440,008.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5TQ2     | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|         | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9    | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,558.81              | 1.9                                           | 4 Yrs 7. Mo.   | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 50,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.92 |

GRAND TOTAL FIFTH THIRD \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,980.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account #7908-12101 Phase II GOB Buckman Proj.           | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|                                                  | Security Description                                                        | Purchase Date | Invested Amount  | Effective Annual Interest Rate | Term      | Maturity Date | Interest Check to be Paid |
|--------------------------------------------------|-----------------------------------------------------------------------------|---------------|------------------|--------------------------------|-----------|---------------|---------------------------|
|                                                  | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015      | \$ 250,000.00    | 1.00%                          | 36 Months | 8/1/2018      | Monthly                   |
| Closed                                           | Community Bank - CD # 701477 Santa Fe                                       | 2/1/2015      | \$ -             | 0.45%                          | 7 Months  | 9/1/2015      | Monthly                   |
|                                                  | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe                | 4/2/2014      | \$ 250,000.00    | 0.75%                          | 2 Years   | 4/4/2016      | Monthly                   |
|                                                  | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015     | \$ 240,000.00    | 0.35%                          | 24 Months | 4/18/2017     | Semi-Annual               |
| New                                              | Century Bank-CD #38009932                                                   | 9/16/2015     | \$ 250,000.00    | 0.50%                          | 24 Months | 9/10/2017     | Monthly                   |
| SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT  |                                                                             |               | \$ 998,000.00    |                                |           |               |                           |
| LOS ALAMOS NATIONAL BANK                         |                                                                             |               |                  |                                |           |               |                           |
|                                                  | Los Alamos National Bank-Acct #0111883820 Universal Savings Acct. Pool Cash | 5/4/2009      | \$ 17.17         | 0.01%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009     | \$ 1,605,446.30  | 0.01%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010    | \$ 6,058,730.38  | 2.50%                          | 318 Mo.   | 4/26/2037     | Quarterly                 |
|                                                  | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011    | \$ 1,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDA               | 10/17/2011    | \$ 6,666.14      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011    | \$ 10,000.00     | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013     | \$ 8,393.57      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012      | \$ 9,896,334.99  | 0.10%                          | N/A       | N/A           | N/A                       |
| FIRST NATIONAL BANK SANTA FE                     |                                                                             |               |                  |                                |           |               |                           |
| New                                              | FNB-Acct #8100311439 Corrections Maintenance                                | 9/24/2015     | \$ 2,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #100163610 Fire Department                                         | 9/24/2015     | \$ 2,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312060 GOB 2009 Series                                        | 9/24/2015     | \$ 832,365.69    | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015     | \$ 28,244.14     | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015     | \$ 513.82        | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015     | \$ 9,057,864.52  | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312346 Road Projects                                          | 9/24/2015     | \$ 95,438.65     | 0.20%                          | N/A       | N/A           | N/A                       |
| LANB CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS  |                                                                             |               | \$ 27,605,015.37 |                                |           |               |                           |
| FIRST NATIONAL BANK OF SANTA FE                  |                                                                             |               |                  |                                |           |               |                           |
|                                                  | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013     | \$ 692.08        | 0.1%/Var.                      | N/A       | N/A           | N/A                       |
|                                                  | Liquid Money Market Account #106543421                                      | 1/2/2013      | \$ 3,019,188.41  | 0.1%/Var.                      | N/A       | N/A           | N/A                       |
| UBS                                              |                                                                             |               |                  |                                |           |               |                           |
| New                                              | GOB 2013 Series Money Market KK-04108                                       | 9/29/2015     | \$ 6,014,465.94  | 0.12%                          | N/A       | N/A           | N/A                       |
| WELLS FARGO                                      |                                                                             |               |                  |                                |           |               |                           |
|                                                  | Liquid Money Market Account #5664507489                                     | 10/24/2014    | \$ 30,045,394.76 | 0.21%                          | N/A       | N/A           | N/A                       |
| TOTAL CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS |                                                                             |               | \$ 67,682,756.56 |                                |           |               |                           |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                    |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/28/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,563.89   | 2.30% | 10 Years       | 8/26/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip # 3133EC6X7                      | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 6/18/2012  | \$ | 813,864.00   | 3.24% | 15 Years       | 6/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/28/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC8T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL \$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

Patrick "Pat" Varela Treasurer



## FIXED INCOME HOLDINGS

|          |                                                  |            |                      |             |                |            |               |
|----------|--------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called   | Federal Home Loan Bank Bond-Cusip #313370T82     | 8/26/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|          | Freddie Mac Bond-Cusip #3134G3XG0                | 8/28/2012  | \$ 1,496,250.00      | 1.50%       | 7 Years        | 8/28/2019  | Semi-Annual   |
|          | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0         | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|          | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|          | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|          | Freddie Mac Bond-Cusip #3134G3F54                | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called   | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called   | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|          | Fannie Mae Bond-Cusip #3135G0PU1                 | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|          | Federal Farm Credit Bond-Cusip #3133ECAM6        | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|          | Fannie Mae Bond-Cusip #3136G16Y0                 | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|          | Fannie Mae Bond-Cusip #3136G13V9                 | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|          | Federal Nat'l Mtg. Bond-Cusip #31358BAA8         | 1/4/2013   | \$ 754,602.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|          | Fannie Mae Bond-Cusip #3136G1AQ2                 | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|          | Federal Farm Credit Bond-Cusip #3133ECLB8        | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|          | Financing Corp. Prin. Bond-Cusip #31771EAK7      | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|          | Fing Corp Fico Bond-Cusip #31771JMK3             | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|          | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO         | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|          | Federal Home Loan Mtg. Bond-Cusip #3134G44N5     | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|          | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8         | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|          | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3         | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|          | Fannie Mae Bond-Cusip #3135G0WD1                 | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|          | Federal Farm Credit Bond-Cusip #3133EDKP6        | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|          | Tennessee Valley Auth. Note-Cusip #880591EQ1     | 7/21/2014  | \$ 2,031,396.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|          | Federal Home Loan Bank Bond-Cusip #3133XFGT7     | 7/31/2014  | \$ 550,381.39        | 0.39        | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Called   | Freddie Mac Bond-Cusip #3134G3H72                | 8/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/18/2015  | Semi-Annual   |
| Maturity | Freddie Mac Bond-Cusip #3134G3H72                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/4/2015   | Semi-Annual   |
|          | Federal Home Loan Bank Bond-Cusip #3130A1P69     | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|          | Freddie Mac Bond-Cusip #3137EADS5                | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|          | Fannie Mae Bond-Cusip #3135G0QK2                 | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|          | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7     | 2/12/2015  | \$ 2,898,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|          | Tennessee Valley Authority Bond-Cusip #880591CU4 | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 9 Mo.   | 12/15/2017 | Maturity Date |
|          | Federal Home Loan Mtg. Bond-Cusip #3137EADK2     | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|          | Federal Home Loan Bank Bond-Cusip #3130A52P3     | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|          | Freddie Mac Bond-Cusip #3134G8XL2                | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|          | Federal Home Loan Bank Bond-Cusip #3130A5AN9     | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|          | Tennessee Valley Authority Bond-Cusip #88059E4G6 | 5/22/2015  | \$ 2,440,008.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|          | Federal Home Loan Bank Bond-Cusip #3130A5TQ2     | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|          | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9    | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9                                           | 4 Yrs 7. Mo.   | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 50,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.93 |

GRAND TOTAL FIFTH THIRD \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account #7908-12101 Phase II GOB Buckman Proj.           | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|                                                  | Security<br>Description                                                     | Purchase<br>Date | Invested<br>Amount | Effective<br>Annual<br>Interest Rate | Term      | Maturity<br>Date | Interest Check<br>to be Paid |
|--------------------------------------------------|-----------------------------------------------------------------------------|------------------|--------------------|--------------------------------------|-----------|------------------|------------------------------|
|                                                  | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015         | \$ 250,000.00      | 1.00%                                | 36 Months | 8/1/2018         | Monthly                      |
| Closed                                           | Community Bank - CD # 701477-Santa Fe                                       | 2/1/2015         | \$ -               | 0.45%                                | 7 Months  | 9/1/2015         | Monthly                      |
|                                                  | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe                | 4/2/2014         | \$ 250,000.00      | 0.75%                                | 2 Years   | 4/4/2016         | Monthly                      |
|                                                  | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015        | \$ 248,000.00      | 0.35%                                | 24 Months | 4/18/2017        | Semi-Annual                  |
| New                                              | Century Bank-CD #38009932                                                   | 9/16/2015        | \$ 250,000.00      | 0.50%                                | 24 Months | 9/16/2017        | Monthly                      |
| SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT  |                                                                             |                  | \$ 998,000.00      |                                      |           |                  |                              |
|                                                  |                                                                             |                  |                    |                                      |           |                  |                              |
| LOS ALAMOS NATIONAL BANK                         |                                                                             |                  |                    |                                      |           |                  |                              |
|                                                  | Los Alamos National Bank-Acct #0111883620 Universal Savings Acct. Pool Cash | 5/4/2009         | \$ 17.17           | 0.01%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009        | \$ 1,605,446.30    | 0.01%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010       | \$ 6,058,730.38    | 2.50%                                | 318 Mo.   | 4/26/2037        | Quarterly                    |
|                                                  | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011       | \$ 1,000.00        | 0.00%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011       | \$ 6,666.14        | 0.00%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011       | \$ 10,000.00       | 0.00%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013        | \$ 8,393.57        | 0.00%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012         | \$ 9,896,334.99    | 0.10%                                | N/A       | N/A              | N/A                          |
|                                                  |                                                                             |                  |                    |                                      |           |                  |                              |
| FIRST NATIONAL BANK SANTA FE                     |                                                                             |                  |                    |                                      |           |                  |                              |
| New                                              | FNB-Acct #8100311439 Corrections Maintenance                                | 9/24/2015        | \$ 2,000.00        | 0.00%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #100163610 Fire Department                                         | 9/24/2015        | \$ 2,000.00        | 0.00%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312060 GOB 2009 Series                                        | 9/24/2015        | \$ 832,365.69      | 0.20%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015        | \$ 28,244.14       | 0.20%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015        | \$ 513.82          | 0.20%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015        | \$ 9,057,864.52    | 0.20%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312346 Road Projects                                          | 9/24/2015        | \$ 95,438.65       | 0.20%                                | N/A       | N/A              | N/A                          |
|                                                  |                                                                             |                  |                    |                                      |           |                  |                              |
| LANB CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS  |                                                                             |                  | \$ 27,605,015.37   |                                      |           |                  |                              |
|                                                  |                                                                             |                  |                    |                                      |           |                  |                              |
| FIRST NATIONAL BANK OF SANTA FE                  |                                                                             |                  |                    |                                      |           |                  |                              |
|                                                  | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013        | \$ 692.08          | 0.1%/Var.                            | N/A       | N/A              | N/A                          |
|                                                  | Liquid Money Market Account #106543421                                      | 1/2/2013         | \$ 3,019,188.41    | 0.1%/Var.                            | N/A       | N/A              | N/A                          |
|                                                  |                                                                             |                  |                    |                                      |           |                  |                              |
| UBS                                              |                                                                             |                  |                    |                                      |           |                  |                              |
| New                                              | GOB 2013 Series Money Market KK-04168                                       | 9/29/2015        | \$ 6,014,465.94    | 0.12%                                | N/A       | N/A              | N/A                          |
|                                                  |                                                                             |                  |                    |                                      |           |                  |                              |
| WELLS FARGO                                      |                                                                             |                  |                    |                                      |           |                  |                              |
|                                                  | Liquid Money Market Account #5664507489                                     | 10/24/2014       | \$ 30,045,394.76   | 0.21%                                | N/A       | N/A              | N/A                          |
| TOTAL CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS |                                                                             |                  | \$ 67,682,756.56   |                                      |           |                  |                              |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                    |                          |                                      |



## Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,583.89   | 2.30% | 10 Years       | 8/28/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6X7                       | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 8/18/2012  | \$ | 613,864.00   | 3.24% | 15 Years       | 6/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/28/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.89% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL

\$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

## Patrick "Pat" Varela Treasurer



### FIXED INCOME HOLDINGS

|        |                                                  |            |                      |             |                |            |               |
|--------|--------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called | Federal Home Loan Bank Bond-Cusip #313379T82     | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3XG0                | 6/28/2012  | \$ 1,496,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0         | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3F54                | 8/27/2012  | \$ 854,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3135G0PU1                 | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133ECAM6        | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G16Y0                 | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G13V9                 | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #31358BAA6         | 1/4/2013   | \$ 754,602.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G1A02                 | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133ECLB8        | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2018  | Semi-Annual   |
|        | Financing Corp. Prin. Bond-Cusip #31771EAK7      | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|        | Fing Corp Fico Bond-Cusip #31771JMK3             | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|        | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO         | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|        | Federal Home Loan Mtg. Bond-Cusip #3134G44N5     | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8         | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3         | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3135G0WD1                 | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133EDKP6        | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|        | Tennessee Valley Auth. Note- Cusip #880591EQ1    | 7/21/2014  | \$ 2,031,386.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3133XFGT7     | 7/31/2014  | \$ 550,381.39        | 0.39%       | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3AP3                | 8/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/18/2015  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3AP3                | 8/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/18/2015  | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3130A1P69     | 8/1/2014   | \$ 500,220.89        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|        | Freddie Mac Bond-Cusip #3137EAD55                | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|        | Fannie Mae Bond-Cusip #3135G0QK2                 | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|        | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7     | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|        | Tennessee Valley Authority Bond-Cusip #880591CU4 | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 9 Mo.   | 12/15/2017 | Maturity Date |
|        | Federal Home Loan Mtg. Bond-Cusip #3137EADK2     | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3130A52P3     | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|        | Freddie Mac Bond-Cusip #3134G6XL2                | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|        | Federal Home Loan Bank Bond-Cusip #3130A5AN9     | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|        | Tennessee Valley Authority Bond-Cusip #88059E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|        | Federal Home Loan Bank Bond-Cusip #3130A5TQ2     | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|        | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9    | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9                                           | 4 Yrs 7. Mo.   | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                                |                         |
|--------------------------------|-------------------------|
| TREASURY TOTAL                 | \$ 50,108.61            |
| CORE TOTAL                     | \$ 28,085,709.27        |
| MUNI TOTAL                     | \$ 3,810,749.92         |
| OPERATIONAL TOTAL              | \$ 61,241,919.99        |
| <b>GRAND TOTAL FIFTH THIRD</b> | <b>\$ 93,188,487.79</b> |



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7884-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11808 SFC Affordable Housing Fund          | 9/30/2015       | \$ 484.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account#7908-12101 Phase II GOB Buckman Proj.            | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|        | <u>Security Description</u>                                                 | <u>Purchase Date</u> | <u>Invested Amount</u>  | <u>Effective Annual Interest Rate</u> | <u>Term</u> | <u>Maturity Date</u> | <u>Interest Check to be Paid</u> |
|--------|-----------------------------------------------------------------------------|----------------------|-------------------------|---------------------------------------|-------------|----------------------|----------------------------------|
|        | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015             | \$ 250,000.00           | 1.00%                                 | 36 Months   | 8/1/2018             | Monthly                          |
| Closed | Community Bank - CD # 701477-Santa Fe                                       | 2/1/2015             | \$ -                    | 0.45%                                 | 7 Months    | 9/1/2015             | Monthly                          |
|        | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe                | 4/2/2014             | \$ 250,000.00           | 0.75%                                 | 2 Years     | 4/4/2016             | Monthly                          |
|        | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015            | \$ 248,000.00           | 0.35%                                 | 24 Months   | 4/18/2017            | Semi-Annual                      |
| New    | Century Bank CD #38009932                                                   | 9/16/2015            | \$ 250,000.00           | 0.50%                                 | 24 Months   | 9/16/2017            | Monthly                          |
|        | <b>SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT</b>                      |                      | <b>\$ 998,000.00</b>    |                                       |             |                      |                                  |
|        | <b>LOS ALAMOS NATIONAL BANK</b>                                             |                      |                         |                                       |             |                      |                                  |
|        | Los Alamos National Bank-Acct #0111883820 Universal Savings Acct. Pool Cash | 5/4/2009             | \$ 17.17                | 0.01%                                 | N/A         | N/A                  | N/A                              |
|        | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009            | \$ 1,605,446.30         | 0.01%                                 | N/A         | N/A                  | N/A                              |
|        | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010           | \$ 6,058,730.38         | 2.50%                                 | 318 Mo.     | 4/26/2037            | Quarterly                        |
|        | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011           | \$ 1,000.00             | 0.00%                                 | N/A         | N/A                  | N/A                              |
|        | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011           | \$ 6,666.14             | 0.00%                                 | N/A         | N/A                  | N/A                              |
|        | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011           | \$ 10,000.00            | 0.00%                                 | N/A         | N/A                  | N/A                              |
|        | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013            | \$ 8,393.57             | 0.00%                                 | N/A         | N/A                  | N/A                              |
|        | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012             | \$ 9,896,334.99         | 0.10%                                 | N/A         | N/A                  | N/A                              |
|        | <b>FIRST NATIONAL BANK SANTA FE</b>                                         |                      |                         |                                       |             |                      |                                  |
| New    | FNB-Acct #8100311439 Corrections Maintenance                                | 9/24/2015            | \$ 2,000.00             | 0.00%                                 | N/A         | N/A                  | N/A                              |
| New    | FNB-Acct #100163610 Fire Department                                         | 9/24/2015            | \$ 2,000.00             | 0.00%                                 | N/A         | N/A                  | N/A                              |
| New    | FNB-Acct #8100312060 GOB 2009 Series                                        | 9/24/2015            | \$ 832,365.69           | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New    | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015            | \$ 28,244.14            | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New    | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015            | \$ 513.82               | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New    | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015            | \$ 9,057,864.52         | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New    | FNB-Acct #8100312345 Road Projects                                          | 9/24/2015            | \$ 95,438.65            | 0.20%                                 | N/A         | N/A                  | N/A                              |
|        | <b>LANB CERTIFICATES OF DEPOSIT &amp; SAVINGS ACCOUNTS</b>                  |                      | <b>\$ 27,605,015.37</b> |                                       |             |                      |                                  |
|        | <b>FIRST NATIONAL BANK OF SANTA FE</b>                                      |                      |                         |                                       |             |                      |                                  |
|        | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013            | \$ 692.08               | 0.1%/Var.                             | N/A         | N/A                  | N/A                              |
|        | Liquid Money Market Account #106543421                                      | 1/2/2013             | \$ 3,019,188.41         | 0.1%/Var.                             | N/A         | N/A                  | N/A                              |
|        | <b>UBS</b>                                                                  |                      |                         |                                       |             |                      |                                  |
| New    | GOB 2013 Series Money Market KK-04168                                       | 9/29/2015            | \$ 6,014,465.94         | 0.12%                                 | N/A         | N/A                  | N/A                              |
|        | <b>WELLS FARGO</b>                                                          |                      |                         |                                       |             |                      |                                  |
|        | Liquid Money Market Account #5864507489                                     | 10/24/2014           | \$ 30,045,394.76        | 0.21%                                 | N/A         | N/A                  | N/A                              |
|        | <b>TOTAL CERTIFICATES OF DEPOSIT &amp; SAVINGS ACCOUNTS</b>                 |                      | <b>\$ 67,682,756.56</b> |                                       |             |                      |                                  |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                    |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,563.89   | 2.30% | 10 Years       | 8/28/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip # 3133EC6X7                      | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 8/18/2012  | \$ | 613,864.00   | 3.24% | 15 Years       | 8/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/28/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL

\$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

## Patrick "Pat" Varela Treasurer



### FIXED INCOME HOLDINGS

|        |                                                   |            |                      |             |                |            |               |
|--------|---------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called | Federal Home Loan Bank Bond-Cusip #313379T82      | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3XG0                 | 6/28/2012  | \$ 1,496,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0          | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3C32                 | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3C32                 | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3F54                 | 8/27/2012  | \$ 854,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called | Fannie Mae Bond-Cusip #3136G0B42                  | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called | Fannie Mae Bond-Cusip #3136G0B42                  | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3135G0PU1                  | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133ECAM6         | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G16Y0                  | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G13V9                  | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #31358BAA5          | 1/4/2013   | \$ 754,602.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G1AQ2                  | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133ECLB8         | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|        | Financing Corp. Prin. Bond-Cusip #31771EAK7       | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|        | Fing Corp Fico Bond-Cusip #31771JMK3              | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|        | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO          | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|        | Federal Home Loan Mtg. Bond-Cusip #3134G44N5      | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8          | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3          | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3135G0WD1                  | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133EDKP6         | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|        | Tennessee Valley Auth. Note- Cusip #880591EQ1     | 7/21/2014  | \$ 2,031,396.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3133XFGT7      | 7/31/2014  | \$ 550,381.39        | 0.39%       | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Called | Freddie Mac Bond-Cusip #3134G3XG0                 | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/16/2015  | Semi-Annual   |
| Called | Freddie Mac Bond-Cusip #3134G3XG0                 | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/16/2015  | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3130A1P69      | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|        | Freddie Mac Bond-Cusip #3137EADS5                 | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|        | Fannie Mae Bond-Cusip #3135G0QK2                  | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|        | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7      | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|        | Tennessee Valley Authority Bond-Cusip #880591CU4  | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 9 Mo.   | 12/15/2017 | Maturity Date |
|        | Federal Home Loan Mtg. Bond-Cusip #3137EADK2      | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3130A52P3      | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|        | Freddie Mac Bond-Cusip #3134G6XL2                 | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|        | Federal Home Loan Bank Bond-Cusip #3130A5AN9      | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|        | Tennessee Valley Authority Bond-Cusip #880591E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|        | Federal Home Loan Bank Bond-Cusip #3130A5TQ2      | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|        | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9     | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



### MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9                                           | 4 Yrs 7. Mo.   | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

### AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 50,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.99 |

**GRAND TOTAL FIFTH THIRD** **\$ 93,188,487.79**



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account#7908-12101 Phase II GOB Buckman Proj.            | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <i>Money Market Sub-Total All Investments as of September 30, 2015</i>             |                 | <i>\$ 31,007,364.04</i>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|                                                  | <u>Security Description</u>                                                 | <u>Purchase Date</u> | <u>Invested Amount</u> | <u>Effective Annual Interest Rate</u> | <u>Term</u> | <u>Maturity Date</u> | <u>Interest Check to be Paid</u> |
|--------------------------------------------------|-----------------------------------------------------------------------------|----------------------|------------------------|---------------------------------------|-------------|----------------------|----------------------------------|
|                                                  | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015             | \$ 250,000.00          | 1.00%                                 | 36 Months   | 8/1/2018             | Monthly                          |
| Closed                                           | Community Bank - CD # 701477-Santa Fe                                       | 2/1/2015             | \$ -                   | 0.45%                                 | 7 Months    | 9/1/2015             | Monthly                          |
|                                                  | Charter Bank - AKA Washington Federal CD # 81032161-Santa Fe                | 4/2/2014             | \$ 250,000.00          | 0.75%                                 | 2 Years     | 4/4/2016             | Monthly                          |
|                                                  | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015            | \$ 248,000.00          | 0.35%                                 | 24 Months   | 4/18/2017            | Semi-Annual                      |
| New                                              | Century Bank-CD #38009932                                                   | 9/16/2015            | \$ 250,000.00          | 0.50%                                 | 24 Months   | 9/16/2017            | Monthly                          |
| SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT  |                                                                             |                      | \$ 998,000.00          |                                       |             |                      |                                  |
| LOS ALAMOS NATIONAL BANK                         |                                                                             |                      |                        |                                       |             |                      |                                  |
|                                                  | Los Alamos National Bank-Acct #0111883820 Universal Savings Acct. Pool Cash | 5/4/2009             | \$ 17.17               | 0.01%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009            | \$ 1,605,446.30        | 0.01%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010           | \$ 6,058,730.38        | 2.50%                                 | 318 Mo.     | 4/26/2037            | Quarterly                        |
|                                                  | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011           | \$ 1,000.00            | 0.00%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011           | \$ 8,686.14            | 0.00%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011           | \$ 10,000.00           | 0.00%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013            | \$ 8,393.57            | 0.00%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012             | \$ 9,896,334.99        | 0.10%                                 | N/A         | N/A                  | N/A                              |
| FIRST NATIONAL BANK SANTA FE                     |                                                                             |                      |                        |                                       |             |                      |                                  |
| New                                              | FNB-Acct #8100311439 Corrections Maintenance                                | 9/24/2015            | \$ 2,000.00            | 0.00%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #100163610 Fire Department                                         | 9/24/2015            | \$ 2,000.00            | 0.00%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312060 GOB 2009 Series                                        | 9/24/2015            | \$ 832,365.60          | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015            | \$ 28,244.14           | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015            | \$ 513.82              | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015            | \$ 9,057,864.52        | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312346 Road Projects                                          | 9/24/2015            | \$ 95,438.65           | 0.20%                                 | N/A         | N/A                  | N/A                              |
| LANB CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS  |                                                                             |                      | \$ 27,605,015.37       |                                       |             |                      |                                  |
| FIRST NATIONAL BANK OF SANTA FE                  |                                                                             |                      |                        |                                       |             |                      |                                  |
|                                                  | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013            | \$ 692.08              | 0.1%/Var.                             | N/A         | N/A                  | N/A                              |
|                                                  | Liquid Money Market Account #106543421                                      | 1/2/2013             | \$ 3,019,188.41        | 0.1%/Var.                             | N/A         | N/A                  | N/A                              |
| UBS                                              |                                                                             |                      |                        |                                       |             |                      |                                  |
| New                                              | GOB 2013 Series Money Market KK-04168                                       | 9/29/2015            | \$ 6,014,465.94        | 0.12%                                 | N/A         | N/A                  | N/A                              |
| WELLS FARGO                                      |                                                                             |                      |                        |                                       |             |                      |                                  |
|                                                  | Liquid Money Market Account #5664507489                                     | 10/24/2014           | \$ 30,045,394.76       | 0.21%                                 | N/A         | N/A                  | N/A                              |
| TOTAL CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS |                                                                             |                      | \$ 67,682,756.56       |                                       |             |                      |                                  |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security Description</u>                                       | <u>Purchase Date</u> | <u>Invested Amount</u> | <u>Effective Annual Interest Rate</u> | <u>Term</u>       | <u>Maturity Date</u> | <u>Interest Check to be Paid</u> |
|-------------------------------------------------------------------|----------------------|------------------------|---------------------------------------|-------------------|----------------------|----------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                      |                        |                                       |                   |                      |                                  |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014           | \$ 50,108.61           | 0.14%                                 | Approx. 1Yr. 2 MO | 12/15/2015           | Maturity                         |
| Treasury Totals                                                   |                      | \$ 50,108.61           |                                       |                   |                      |                                  |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,563.89   | 2.30% | 10 Years       | 8/26/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,128.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6X7                       | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 6/18/2012  | \$ | 613,864.00   | 3.24% | 15 Years       | 6/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/26/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL \$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

Patrick "Pat" Varela Treasurer



## FIXED INCOME HOLDINGS

|        |                                                  |            |                      |             |                |            |               |
|--------|--------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called | Federal Home Loan Bank Bond-Cusip #313379T82     | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3XG0                | 6/28/2012  | \$ 1,496,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0         | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3F54                | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3135G0PU1                 | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133ECAM6        | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G16Y0                 | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G13V9                 | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #31358BAA6         | 1/4/2013   | \$ 754,602.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G1AQ2                 | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133ECLB8        | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|        | Financing Corp. Prin. Bond-Cusip #31771EAK7      | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|        | Fing Corp Fico Bond-Cusip #31771JMK3             | 4/18/2013  | \$ 1,980,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|        | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO         | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|        | Federal Home Loan Mtg. Bond-Cusip #3134G44N5     | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8         | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3         | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3135G0WD1                 | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133EDKP6        | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|        | Tennessee Valley Auth. Note- Cusip #880591EQ1    | 7/21/2014  | \$ 2,031,396.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3133XFGT7     | 7/31/2014  | \$ 550,381.39        | 0.39%       | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Called | Freddie Mac Bond-Cusip #3134G3XG0                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 8/12/2015  | Semi-Annual   |
| Called | Freddie Mac Bond-Cusip #3134G3XG0                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 8/12/2015  | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3130A1P69     | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|        | Freddie Mac Bond-Cusip #3137EADS5                | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|        | Fannie Mae Bond-Cusip #3135G0QK2                 | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|        | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7     | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|        | Tennessee Valley Authority Bond-Cusip #880591CU4 | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 9 Mo.   | 12/15/2017 | Maturity Date |
|        | Federal Home Loan Mtg. Bond-Cusip #3137EADK2     | 4/6/2015   | \$ 2,001,513.89      | 1.28%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3130A52P3     | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|        | Freddie Mac Bond-Cusip #3134G6XL2                | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|        | Federal Home Loan Bank Bond-Cusip #3130A5AN9     | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|        | Tennessee Valley Authority Bond-Cusip #88059E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|        | Federal Home Loan Bank Bond-Cusip #3130A5TQ2     | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|        | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9    | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9                                           | 4 Yrs 7. Mo.   | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 50,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.99 |

GRAND TOTAL FIFTH THIRD \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account #7908-12101 Phase II GOB Buckman Proj.           | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

| Security Description                             |                                                                             | Purchase Date | Invested Amount  | Effective Annual Interest Rate | Term      | Maturity Date | Interest Check to be Paid |
|--------------------------------------------------|-----------------------------------------------------------------------------|---------------|------------------|--------------------------------|-----------|---------------|---------------------------|
|                                                  | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015      | \$ 250,000.00    | 1.00%                          | 36 Months | 8/1/2018      | Monthly                   |
| Closed                                           | Community Bank - CD # 701477-Santa Fe                                       | 2/1/2015      | \$ -             | 0.45%                          | 7 Months  | 9/1/2015      | Monthly                   |
|                                                  | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe                | 4/2/2014      | \$ 250,000.00    | 0.75%                          | 2 Years   | 4/4/2016      | Monthly                   |
|                                                  | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015     | \$ 248,000.00    | 0.35%                          | 24 Months | 4/18/2017     | Semi-Annual               |
| New                                              | Century Bank-CD #38009932                                                   | 9/10/2015     | \$ 250,000.00    | 0.50%                          | 24 Months | 9/16/2017     | Monthly                   |
| SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT  |                                                                             |               | \$ 998,000.00    |                                |           |               |                           |
| LOS ALAMOS NATIONAL BANK                         |                                                                             |               |                  |                                |           |               |                           |
|                                                  | Los Alamos National Bank-Acct #0111883620 Universal Savings Acct. Pool Cash | 5/4/2009      | \$ 17.17         | 0.01%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009     | \$ 1,605,446.30  | 0.01%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0126128330 SFC Studios Guarantee             | 10/26/2010    | \$ 6,058,730.38  | 2.50%                          | 318 Mo.   | 4/26/2037     | Quarterly                 |
|                                                  | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011    | \$ 1,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011    | \$ 6,666.14      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011    | \$ 10,000.00     | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013     | \$ 8,393.57      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012      | \$ 9,896,334.99  | 0.10%                          | N/A       | N/A           | N/A                       |
| FIRST NATIONAL BANK SANTA FE                     |                                                                             |               |                  |                                |           |               |                           |
| New                                              | FNB-Acct #8100311439 Corrections Maintenance                                | 9/24/2015     | \$ 2,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #100163610 Fire Department                                         | 9/24/2015     | \$ 2,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312060 GOB 2009 Series                                        | 9/24/2015     | \$ 832,365.69    | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015     | \$ 28,244.14     | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015     | \$ 513.82        | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015     | \$ 9,057,864.52  | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312346 Road Projects                                          | 9/24/2015     | \$ 95,438.65     | 0.20%                          | N/A       | N/A           | N/A                       |
| LANB CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS  |                                                                             |               | \$ 27,605,015.37 |                                |           |               |                           |
| FIRST NATIONAL BANK OF SANTA FE                  |                                                                             |               |                  |                                |           |               |                           |
|                                                  | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013     | \$ 692.08        | 0.1%/Var.                      | N/A       | N/A           | N/A                       |
|                                                  | Liquid Money Market Account #106543421                                      | 1/2/2013      | \$ 3,019,188.41  | 0.1%/Var.                      | N/A       | N/A           | N/A                       |
| UBS                                              |                                                                             |               |                  |                                |           |               |                           |
| New                                              | GOB 2013 Series Money Market KK-04168                                       | 9/29/2015     | \$ 6,014,465.94  | 0.12%                          | N/A       | N/A           | N/A                       |
| WELLS FARGO                                      |                                                                             |               |                  |                                |           |               |                           |
|                                                  | Liquid Money Market Account #5664507489                                     | 10/24/2014    | \$ 30,045,394.76 | 0.21%                          | N/A       | N/A           | N/A                       |
| TOTAL CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS |                                                                             |               | \$ 67,682,756.56 |                                |           |               |                           |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                    |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,563.89   | 2.30% | 10 Years       | 8/28/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC8X7                       | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 6/18/2012  | \$ | 813,864.00   | 3.24% | 15 Years       | 6/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/26/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL \$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

Patrick "Pat" Varela Treasurer



## FIXED INCOME HOLDINGS

|        |                                                   |            |                      |             |                |            |               |
|--------|---------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called | Federal Home Loan Bank Bond-Cusip #313379T82      | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3XG0                 | 6/28/2012  | \$ 1,498,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0          | 8/7/2012   | \$ 995,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3C32                 | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3C32                 | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|        | Freddie Mac Bond-Cusip #3134G3F54                 | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called | Fannie Mae Bond-Cusip #3136G0B42                  | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called | Fannie Mae Bond-Cusip #3136G0B42                  | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3135G0PU1                  | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133ECAM6         | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G16Y0                  | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G13V9                  | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #31358BAA6          | 1/4/2013   | \$ 754,602.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3136G1AQ2                  | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133ECLB8         | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|        | Financing Corp. Prin. Bond-Cusip #31771EAK7       | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|        | Fing Corp Fico Bond-Cusip #31771JMK3              | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|        | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO          | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|        | Federal Home Loan Mtg. Bond-Cusip #3134G44N5      | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8          | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|        | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3          | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|        | Fannie Mae Bond-Cusip #3135G0WD1                  | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|        | Federal Farm Credit Bond-Cusip #3133EDKP6         | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|        | Tennessee Valley Auth. Note- Cusip #880591EQ1     | 7/21/2014  | \$ 2,031,396.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3133XFGT7      | 7/31/2014  | \$ 550,381.39        | 0.39        | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Called | Freddie Mac Bond-Cusip #3134G3XG0                 | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/18/2015  | Semi-Annual   |
| Called | Freddie Mac Bond-Cusip #3134G3XG0                 | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/18/2015  | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3130A1P69      | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|        | Freddie Mac Bond-Cusip #3137EADS5                 | 8/1/2014   | \$ 503,730.35        | 0.85%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|        | Fannie Mae Bond-Cusip #3135G0QK2                  | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|        | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7      | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|        | Tennessee Valley Authority Bond-Cusip #880591CU4  | 3/18/2015  | \$ 2,100,515.95      | 99.38%      | 2 Yrs 9 Mo.    | 12/15/2017 | Maturity Date |
|        | Federal Home Loan Mtg. Bond-Cusip #3137EADK2      | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|        | Federal Home Loan Bank Bond-Cusip #3130A52P3      | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|        | Freddie Mac Bond-Cusip #3134G6XL2                 | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|        | Federal Home Loan Bank Bond-Cusip #3130A5AN9      | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|        | Tennessee Valley Authority Bond-Cusip #880591E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|        | Federal Home Loan Bank Bond-Cusip #3130A5TQ2      | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|        | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9     | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #84711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.18%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9%                                          | 4 Yrs 7 Mo.    | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052%                                        | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3 Mo.    | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 50,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.99 |

GRAND TOTAL FIFTH THIRD \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account#7908-12101 Phase II GOB Buckman Proj.            | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <i>Money Market Sub-Total All Investments as of September 30, 2015</i>             |                 | <i>\$ 31,007,364.04</i>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

| Security Description                             |                                                                             | Purchase Date | Invested Amount  | Effective Annual Interest Rate | Term      | Maturity Date | Interest Check to be Paid |
|--------------------------------------------------|-----------------------------------------------------------------------------|---------------|------------------|--------------------------------|-----------|---------------|---------------------------|
|                                                  | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015      | \$ 250,000.00    | 1.00%                          | 36 Months | 8/1/2018      | Monthly                   |
| Closed                                           | Community Bank - CD # 701477-Santa Fe                                       | 2/1/2015      | \$ -             | 0.45%                          | 7 Months  | 9/1/2015      | Monthly                   |
|                                                  | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe                | 4/2/2014      | \$ 250,000.00    | 0.75%                          | 2 Years   | 4/4/2016      | Monthly                   |
|                                                  | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015     | \$ 248,000.00    | 0.35%                          | 24 Months | 4/18/2017     | Semi-Annual               |
| New                                              | Century Bank-CD #38009932                                                   | 9/16/2015     | \$ 250,000.00    | 0.50%                          | 24 Months | 9/10/2017     | Monthly                   |
| SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT  |                                                                             |               | \$ 998,000.00    |                                |           |               |                           |
| LOS ALAMOS NATIONAL BANK                         |                                                                             |               |                  |                                |           |               |                           |
|                                                  | Los Alamos National Bank-Acct #0111883820 Universal Savings Acct. Pool Cash | 5/4/2009      | \$ 17.17         | 0.01%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009     | \$ 1,605,446.30  | 0.01%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010    | \$ 6,058,730.38  | 2.50%                          | 318 Mo.   | 4/26/2037     | Quarterly                 |
|                                                  | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011    | \$ 1,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011    | \$ 8,668.14      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011    | \$ 10,000.00     | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013     | \$ 8,393.57      | 0.00%                          | N/A       | N/A           | N/A                       |
|                                                  | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012      | \$ 9,896,334.99  | 0.10%                          | N/A       | N/A           | N/A                       |
| FIRST NATIONAL BANK SANTA FE                     |                                                                             |               |                  |                                |           |               |                           |
| New                                              | FNB-Acct #8100311439 Corrections Maintenance                                | 9/24/2015     | \$ 2,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #100163610 Fire Department                                         | 9/24/2015     | \$ 2,000.00      | 0.00%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312080 GOB 2009 Series                                        | 9/24/2015     | \$ 832,365.69    | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015     | \$ 28,244.14     | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015     | \$ 513.82        | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015     | \$ 9,057,864.52  | 0.20%                          | N/A       | N/A           | N/A                       |
| New                                              | FNB-Acct #8100312346 Road Projects                                          | 9/24/2015     | \$ 95,438.65     | 0.20%                          | N/A       | N/A           | N/A                       |
| LANB CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS  |                                                                             |               | \$ 27,605,015.37 |                                |           |               |                           |
| FIRST NATIONAL BANK OF SANTA FE                  |                                                                             |               |                  |                                |           |               |                           |
|                                                  | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013     | \$ 692.08        | 0.1%/Var.                      | N/A       | N/A           | N/A                       |
|                                                  | Liquid Money Market Account #106543421                                      | 1/2/2013      | \$ 3,019,188.41  | 0.1%/Var.                      | N/A       | N/A           | N/A                       |
| UBS                                              |                                                                             |               |                  |                                |           |               |                           |
| New                                              | GOB 2013 Series Money Market KK-04168                                       | 9/29/2015     | \$ 6,014,465.94  | 0.12%                          | N/A       | N/A           | N/A                       |
| WELLS FARGO                                      |                                                                             |               |                  |                                |           |               |                           |
|                                                  | Liquid Money Market Account #5664507489                                     | 10/24/2014    | \$ 30,045,394.76 | 0.21%                          | N/A       | N/A           | N/A                       |
| TOTAL CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS |                                                                             |               | \$ 67,682,756.56 |                                |           |               |                           |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                    |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,563.89   | 2.30% | 10 Years       | 8/28/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.85% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6X7                       | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 6/18/2012  | \$ | 613,864.00   | 3.24% | 15 Years       | 6/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/28/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL

\$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

## Patrick "Pat" Varela Treasurer



### FIXED INCOME HOLDINGS

|         |                                                   |            |                      |             |                |            |               |
|---------|---------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called  | Federal Home Loan Bank Bond-Cusip #313379T82      | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/18/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3XG0                 | 8/28/2012  | \$ 1,486,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0          | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                 | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                 | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3F54                 | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                  | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                  | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0PU1                  | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECAM6         | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G16Y0                  | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G13V9                  | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #31358BAA6          | 1/4/2013   | \$ 754,802.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G1AQ2                  | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECLB8         | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|         | Financing Corp. Prin. Bond-Cusip #31771EAK7       | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|         | Fing Corp Fico Bond-Cusip #31771JMK3              | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO          | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G44N5      | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8          | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3          | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0WD1                  | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133EDKP6         | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|         | Tennessee Valley Auth. Note- Cusip #880591EQ1     | 7/21/2014  | \$ 2,031,396.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3133XFGT7      | 7/31/2014  | \$ 550,381.39        | 0.39        | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G3XG0                 | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/18/2015  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G3XG0                 | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/18/2015  | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A1P69      | 8/1/2014   | \$ 500,220.89        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|         | Freddie Mac Bond-Cusip #3137EADS5                 | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2018 | Maturity Date |
|         | Fannie Mae Bond-Cusip #3135G0QK2                  | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7      | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #880591CU4  | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 9 Mo.   | 12/15/2017 | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3137EADK2      | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A52P3      | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|         | Freddie Mac Bond-Cusip #3134G6XL2                 | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5AN9      | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #880591E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5TQ2      | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|         | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9     | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 8/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,558.81              | 1.9                                           | 4 Yrs 7 Mo.    | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3 Mo.    | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 50,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.99 |

GRAND TOTAL FIFTH THIRD \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account #7908-12101 Phase II GOB Buckman Proj.           | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|                                                  | <u>Security Description</u>                                                 | <u>Purchase Date</u> | <u>Invested Amount</u> | <u>Effective Annual Interest Rate</u> | <u>Term</u> | <u>Maturity Date</u> | <u>Interest Check to be Paid</u> |
|--------------------------------------------------|-----------------------------------------------------------------------------|----------------------|------------------------|---------------------------------------|-------------|----------------------|----------------------------------|
|                                                  | Guadalupe Credit Union - CD, #11034009                                      | 8/1/2015             | \$ 250,000.00          | 1.00%                                 | 36 Months   | 8/1/2018             | Monthly                          |
| Closed                                           | Community Bank - CD # 701477 Santa Fe                                       | 2/1/2015             | \$ -                   | 0.45%                                 | 7 Months    | 9/1/2015             | Monthly                          |
|                                                  | Charter Bank - AKA Washington Federal CD # 81032161-Santa Fe                | 4/2/2014             | \$ 250,000.00          | 0.75%                                 | 2 Years     | 4/4/2016             | Monthly                          |
|                                                  | New Mexico Bank & Trust - CD # 132001340                                    | 4/18/2015            | \$ 248,000.00          | 0.35%                                 | 24 Months   | 4/18/2017            | Semi-Annual                      |
| New                                              | Century Bank-CD #38009932                                                   | 9/16/2015            | \$ 250,000.00          | 0.50%                                 | 24 Months   | 9/16/2017            | Monthly                          |
| SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT  |                                                                             |                      | \$ 998,000.00          |                                       |             |                      |                                  |
| LOS ALAMOS NATIONAL BANK                         |                                                                             |                      |                        |                                       |             |                      |                                  |
|                                                  | Los Alamos National Bank-Acct #0111883820 Universal Savings Acct. Pool Cash | 5/4/2009             | \$ 17.17               | 0.01%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund        | 12/3/2009            | \$ 1,605,446.30        | 0.01%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee             | 10/26/2010           | \$ 6,058,730.38        | 2.50%                                 | 318 Mo.     | 4/26/2037            | Quarterly                        |
|                                                  | Los Alamos National Bank-Acct #0136415401 County Manager's Account          | 10/14/2011           | \$ 1,000.00            | 0.00%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA              | 10/17/2011           | \$ 6,666.14            | 0.00%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG                | 10/17/2011           | \$ 10,000.00           | 0.00%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.    | 12/6/2013            | \$ 8,393.57            | 0.00%                                 | N/A         | N/A                  | N/A                              |
|                                                  | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority              | 8/7/2012             | \$ 9,896,334.99        | 0.10%                                 | N/A         | N/A                  | N/A                              |
| FIRST NATIONAL BANK SANTA FE                     |                                                                             |                      |                        |                                       |             |                      |                                  |
| New                                              | FNB-Acct #8100311435 Corrections Maintenance                                | 9/24/2015            | \$ 2,000.00            | 0.00%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #100163610 Fire Department                                         | 9/24/2015            | \$ 2,000.00            | 0.00%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312080 GOB 2009 Series                                        | 9/24/2015            | \$ 832,365.69          | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312486 GOB 2011 Refunding Series                              | 9/24/2015            | \$ 28,244.14           | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312559 GOB 2013 Series A                                      | 9/24/2015            | \$ 513.82              | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                            | 9/24/2015            | \$ 9,057,864.52        | 0.20%                                 | N/A         | N/A                  | N/A                              |
| New                                              | FNB-Acct #8100312346 Road Projects                                          | 9/24/2015            | \$ 95,438.05           | 0.20%                                 | N/A         | N/A                  | N/A                              |
| LANB CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS  |                                                                             |                      | \$ 27,605,015.37       |                                       |             |                      |                                  |
| FIRST NATIONAL BANK OF SANTA FE                  |                                                                             |                      |                        |                                       |             |                      |                                  |
|                                                  | GOB 2013 Series MMSA # 107035055                                            | 9/25/2013            | \$ 692.08              | 0.1%/Var.                             | N/A         | N/A                  | N/A                              |
|                                                  | Liquid Money Market Account #106543421                                      | 1/2/2013             | \$ 3,019,188.41        | 0.1%/Var.                             | N/A         | N/A                  | N/A                              |
| UBS                                              |                                                                             |                      |                        |                                       |             |                      |                                  |
| New                                              | GOB 2013 Series Money Market KK-04168                                       | 9/29/2015            | \$ 6,014,455.94        | 0.12%                                 | N/A         | N/A                  | N/A                              |
| WELLS FARGO                                      |                                                                             |                      |                        |                                       |             |                      |                                  |
|                                                  | Liquid Money Market Account #5664507489                                     | 10/24/2014           | \$ 30,045,394.76       | 0.21%                                 | N/A         | N/A                  | N/A                              |
| TOTAL CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS |                                                                             |                      | \$ 67,682,756.56       |                                       |             |                      |                                  |



## Santa Fe County Investment Schedule - Treasuries

### Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                    |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA2Q0                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM8 Wells Fargo Securities | 8/28/2012  | \$ | 998,563.89   | 2.30% | 10 Years       | 8/26/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.65% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip # 3133EC6X7                      | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 8/18/2012  | \$ | 813,864.00   | 3.24% | 15 Years       | 8/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/26/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL \$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

Patrick "Pat" Varela Treasurer



## FIXED INCOME HOLDINGS

|         |                                                  |            |                      |             |                |            |               |
|---------|--------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called  | Federal Home Loan Bank Bond-Cusip #313379T62     | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3XG0                | 6/28/2012  | \$ 1,496,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0         | 8/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3F54                | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0PU1                 | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECAM6        | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G16Y0                 | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G13V9                 | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #31358BAA6         | 1/4/2013   | \$ 754,602.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G1AQ2                 | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECLB8        | 4/18/2013  | \$ 829,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|         | Financing Corp. Prin. Bond-Cusip #31771EAK7      | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|         | Fing Corp Fico Bond-Cusip #31771JMK3             | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2018 | Maturity Date |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO         | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G44N5     | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8         | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3         | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0WD1                 | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133EDKP6        | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|         | Tennessee Valley Auth. Note-Cusip #880591EQ1     | 7/21/2014  | \$ 2,031,396.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3133XFGT7     | 7/31/2014  | \$ 550,381.39        | 0.39        | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G3XG0                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/18/2015  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G3XG0                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/4/2015   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A1P69     | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|         | Freddie Mac Bond-Cusip #3137EADS5                | 8/1/2014   | \$ 503,730.35        | 0.85%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|         | Fannie Mae Bond-Cusip #3135G0QK2                 | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7     | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #880591CU4 | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 9 Mo.   | 12/15/2017 | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3137EADK2     | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A52P3     | 5/14/2015  | \$ 2,000,000.00      | 1.00%-6.00% | 5 Years        | 5/14/2020  | Maturity Date |
|         | Freddie Mac Bond-Cusip #3134G6XL2                | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5AN9     | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #88059E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5TQ2     | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|         | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9    | 8/10/2015  | \$ 2,000,000.00      | 1.85%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

## Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.18%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9                                           | 4 Yrs 7 Mo.    | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 60,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.99 |

GRAND TOTAL FIFTH THIRD \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.06              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7885-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account #7908-12101 Phase II GOB Buckman Proj.           | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



# Santa Fe County Investment Schedule - CDs and Savings Account

## Patrick "Pat" Varela Treasurer

**CERTIFICATES OF DEPOSIT**

|                                                  | Security<br>Description                                                    | Purchase<br>Date | Invested<br>Amount | Effective<br>Annual<br>Interest Rate | Term      | Maturity<br>Date | Interest Check<br>to be Paid |
|--------------------------------------------------|----------------------------------------------------------------------------|------------------|--------------------|--------------------------------------|-----------|------------------|------------------------------|
|                                                  | Guadalupe Credit Union - CD, #11034009                                     | 8/1/2015         | \$ 250,000.00      | 1.00%                                | 36 Months | 8/1/2018         | Monthly                      |
| Closed                                           | Community Bank - CD # 701477-Santa Fe                                      | 2/1/2015         | \$ -               | 0.45%                                | 7 Months  | 9/1/2015         | Monthly                      |
|                                                  | Charter Bank - AKA Washington Federal CD # 61032161-Santa Fe               | 4/2/2014         | \$ 250,000.00      | 0.75%                                | 2 Years   | 4/4/2016         | Monthly                      |
|                                                  | New Mexico Bank & Trust - CD # 132001340                                   | 4/18/2015        | \$ 248,000.00      | 0.35%                                | 24 Months | 4/18/2017        | Semi-Annual                  |
| New                                              | Century Bank-CD #38009932                                                  | 9/16/2015        | \$ 250,000.00      | 0.50%                                | 24 Months | 9/16/2017        | Monthly                      |
| SUB-TOTAL MISCELLANEOUS CERTIFICATES OF DEPOSIT  |                                                                            |                  | \$ 998,000.00      |                                      |           |                  |                              |
| LOS ALAMOS NATIONAL BANK                         |                                                                            |                  |                    |                                      |           |                  |                              |
|                                                  | Los Alamos National Bank-Acct #011883820 Universal Savings Acct. Pool Cash | 5/4/2009         | \$ 17.17           | 0.01%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct #0118082720-SF Affordable Housing Fund       | 12/3/2009        | \$ 1,605,448.30    | 0.01%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct #0128128330 SFC Studios Guarantee            | 10/26/2010       | \$ 6,058,730.38    | 2.50%                                | 318 Mo.   | 4/26/2037        | Quarterly                    |
|                                                  | Los Alamos National Bank-Acct #0136415401 County Manager's Account         | 10/14/2011       | \$ 1,000.00        | 0.00%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct #0136490101 SFC Region III HIDTA             | 10/17/2011       | \$ 6,666.14        | 0.00%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct #0136492801 SFC Region III JAG               | 10/17/2011       | \$ 10,000.00       | 0.00%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct. #0158837001 Flexible Spending Trust Acct.   | 12/6/2013        | \$ 8,393.57        | 0.00%                                | N/A       | N/A              | N/A                          |
|                                                  | Los Alamos National Bank-Acct#0145213401 SFC Housing Authority             | 8/7/2012         | \$ 9,896,334.99    | 0.10%                                | N/A       | N/A              | N/A                          |
| FIRST NATIONAL BANK SANTA FE                     |                                                                            |                  |                    |                                      |           |                  |                              |
| New                                              | FNB-Acct #8100311435 Corrections Maintenance                               | 9/24/2015        | \$ 2,000.00        | 0.00%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #100163610 Fire Department                                        | 9/24/2015        | \$ 2,000.00        | 0.00%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312060 GOB 2009 Series                                       | 9/24/2015        | \$ 832,365.69      | 0.20%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312486 GOB 2011 Refunding Series                             | 9/24/2015        | \$ 28,244.14       | 0.20%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312559 GOB 2013 Series A                                     | 9/24/2015        | \$ 513.82          | 0.20%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312974 GOB 2015 Series Refund Bond                           | 9/24/2015        | \$ 9,057,864.52    | 0.20%                                | N/A       | N/A              | N/A                          |
| New                                              | FNB-Acct #8100312346 Road Projects                                         | 9/24/2015        | \$ 95,438.05       | 0.20%                                | N/A       | N/A              | N/A                          |
| LANB CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS  |                                                                            |                  | \$ 27,605,015.37   |                                      |           |                  |                              |
| FIRST NATIONAL BANK OF SANTA FE                  |                                                                            |                  |                    |                                      |           |                  |                              |
|                                                  | GOB 2013 Series MMSA # 107035055                                           | 9/25/2013        | \$ 692.08          | 0.1%/Var.                            | N/A       | N/A              | N/A                          |
|                                                  | Liquid Money Market Account #106543421                                     | 1/2/2013         | \$ 3,019,188.41    | 0.1%/Var.                            | N/A       | N/A              | N/A                          |
| UBS                                              |                                                                            |                  |                    |                                      |           |                  |                              |
| New                                              | GOB 2013 Series Money Market KK-04168                                      | 9/29/2015        | \$ 6,014,465.94    | 0.12%                                | N/A       | N/A              | N/A                          |
| WELLS FARGO                                      |                                                                            |                  |                    |                                      |           |                  |                              |
|                                                  | Liquid Money Market Account #5664507489                                    | 10/24/2014       | \$ 30,045,394.76   | 0.21%                                | N/A       | N/A              | N/A                          |
| TOTAL CERTIFICATES OF DEPOSIT & SAVINGS ACCOUNTS |                                                                            |                  | \$ 67,682,756.56   |                                      |           |                  |                              |



# Santa Fe County Investment Schedule - Treasuries

## Patrick "Pat" Varela Treasurer



| <u>Security<br/>Description</u>                                   | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>        | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|-------------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|--------------------|--------------------------|--------------------------------------|
| FIFTH THIRD INVESTMENT IN GOVERNMENT AGENCIES (BONDS) AND T-BILLS |                          |                            |                                               |                    |                          |                                      |
| US Treasury Bill-Cusip #912828UC2 Core Account                    | 10/28/2014               | \$ 50,108.61               | 0.14%                                         | Approx. 1Yr. 2 MO. | 12/15/2015               | Maturity                             |
| Treasury Totals                                                   |                          | \$ 50,108.61               |                                               |                    |                          |                                      |



# Santa Fe County Investment Schedule - Core Account 7575-3300

Patrick "Pat" Varela Treasurer



|                                                                 |            |    |              |       |                |            |             |
|-----------------------------------------------------------------|------------|----|--------------|-------|----------------|------------|-------------|
| Federal Farm Credit Bond-Cusip #3133EA200                       | 9/27/2012  | \$ | 1,000,000.00 | 2.07% | 9 Years        | 9/27/2021  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SK4                    | 10/15/2012 | \$ | 500,000.00   | 2.20% | 9 Years        | 10/15/2021 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0TM3                                | 8/3/2012   | \$ | 500,194.44   | 2.00% | 8 Years 10 Mo. | 10/26/2021 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 997,510.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380D87                    | 8/8/2012   | \$ | 500,000.00   | 2.25% | 10 Years       | 8/8/2022   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380B30                    | 8/15/2012  | \$ | 1,000,000.00 | 2.20% | 10 Years       | 8/15/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380DJ3                    | 8/22/2012  | \$ | 1,500,000.00 | 2.24% | 10 Years       | 8/22/2022  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3136G0XM6 Wells Fargo Securities | 8/28/2012  | \$ | 998,583.89   | 2.30% | 10 Years       | 8/28/2022  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380SR9                    | 10/18/2012 | \$ | 1,000,000.00 | 1.25% | 10 Years       | 10/18/2022 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381BJ3                    | 11/28/2012 | \$ | 1,000,000.00 | 2.19% | 10 Years       | 11/28/2022 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EAD39                       | 8/3/2012   | \$ | 1,000,126.67 | 2.28% | 10 Years 6 Mo. | 2/1/2023   | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381CK9                    | 12/10/2012 | \$ | 998,893.33   | 2.33% | 11 Years       | 12/7/2023  | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3138G13X5                        | 12/27/2012 | \$ | 1,000,000.00 | 2.25% | 11 Years       | 12/27/2023 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3138G0VE8                        | 8/20/2012  | \$ | 987,500.00   | 2.25% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Fannie Mae Bond-Cusip #3136G0VE8                                | 8/20/2012  | \$ | 992,000.00   | 2.33% | 12 Years 3 Mo. | 11/20/2024 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EA2R8                       | 10/1/2012  | \$ | 1,000,000.00 | 2.74% | 13 Years       | 10/1/2025  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/28/2012 | \$ | 1,000,515.28 | 2.85% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381B95                    | 11/27/2012 | \$ | 1,000,441.67 | 2.85% | 13 Years       | 11/21/2025 | Semi-Annual |
| Federal Home Loan Mtg. Bond-Cusip #3134G3A75                    | 8/21/2012  | \$ | 995,500.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Freddie Mac Bond-Cusip #3134G3A75                               | 8/21/2012  | \$ | 500,000.00   | 2.55% | 13 Years 3 Mo. | 11/21/2025 | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6X7                       | 12/17/2012 | \$ | 1,000,430.00 | 2.58% | 13 Years       | 12/11/2025 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313379PB9                    | 8/18/2012  | \$ | 813,864.00   | 3.24% | 15 Years       | 8/14/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313380PA9                    | 9/27/2012  | \$ | 1,000,000.00 | 3.00% | 15 Years       | 9/27/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381E35                    | 11/28/2012 | \$ | 1,000,097.22 | 1.75% | 15 Years       | 11/28/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381DB8                    | 12/10/2012 | \$ | 999,748.33   | 2.98% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381EF8                    | 12/7/2012  | \$ | 1,000,000.00 | 2.69% | 15 Years       | 12/7/2027  | Semi-Annual |
| Federal Farm Credit Bond-Cusip #3133EC6T6                       | 12/17/2012 | \$ | 1,000,324.44 | 2.92% | 15 Years       | 12/13/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Home Loan Bank Bond-Cusip #313381FB6                    | 12/17/2012 | \$ | 1,000,000.00 | 2.90% | 15 Years       | 12/17/2027 | Semi-Annual |
| Federal Nat'l Mtg. Bond-Cusip #3138G12P3                        | 12/10/2012 | \$ | 1,000,000.00 | 3.00% | 18 Years       | 12/10/2030 | Semi-Annual |

CORE ACCOUNT TOTAL \$ 28,085,709.27



# Santa Fe County Investment Schedule - Operational Account

## Patrick "Pat" Varela Treasurer



### FIXED INCOME HOLDINGS

|         |                                                  |            |                      |             |                |            |               |
|---------|--------------------------------------------------|------------|----------------------|-------------|----------------|------------|---------------|
| Called  | Federal Home Loan Bank Bond-Cusip #313379T82     | 6/28/2012  | Trans to Fifth Third | 1.77%       | 7 Years        | 9/16/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3XG0                | 6/28/2012  | \$ 1,486,250.00      | 1.50%       | 7 Years        | 6/28/2019  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G0VM0         | 6/7/2012   | \$ 996,740.00        | 1.00%       | 7 Years        | 7/30/2019  | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 999,000.00        | 1.50%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3C32                | 8/22/2012  | \$ 998,000.00        | 1.53%       | 7 Years 3 Mo.  | 11/22/2019 | Semi-Annual   |
|         | Freddie Mac Bond-Cusip #3134G3F54                | 8/27/2012  | \$ 654,017.50        | 1.52%       | 7 Years        | 8/27/2019  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
| Called  | Fannie Mae Bond-Cusip #3136G0B42                 | 10/5/2012  | Trans to Fifth Third | 1.01%       | 7 Years        | 9/26/2015  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0PU1                 | 10/11/2012 | \$ 1,000,000.00      | 1.00%       | 5 Years        | 10/11/2017 | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECAM6        | 12/19/2012 | \$ 1,000,000.00      | 1.12%       | 6 Years        | 12/19/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G16Y0                 | 12/26/2012 | \$ 1,000,000.00      | 1.07%       | 6 Years        | 12/26/2018 | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G13V9                 | 12/27/2012 | \$ 999,450.00        | 1.03%       | 7 Years        | 12/27/2019 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #31358BAA6         | 1/4/2013   | \$ 754,602.19        | 2.02%       | 6 Years 1 Mo.  | 2/1/2019   | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3136G1AQ2                 | 1/30/2013  | \$ 3,000,000.00      | 0.70%       | 5 Years        | 1/30/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133ECLB8        | 4/18/2013  | \$ 929,362.95        | 0.47%       | 3 Years 3 Mo.  | 7/12/2016  | Semi-Annual   |
|         | Financing Corp. Prin. Bond-Cusip #31771EAK7      | 4/18/2013  | \$ 2,893,344.00      | 0.79%       | 4 Years 7 Mo.  | 11/30/2017 | Maturity Date |
|         | Fing Corp Fico Bond-Cusip #31771JMK3             | 4/18/2013  | \$ 1,960,372.00      | 0.55%       | 3 Years 7 Mo.  | 11/30/2016 | Maturity Date |
|         | Federal Nat'l Mtg. Bond-Cusip #3136G1KVO         | 5/15/2013  | \$ 1,448,000.00      | 0.75%       | 5 Years        | 5/15/2018  | Semi-Annual   |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G44N5     | 5/28/2013  | \$ 5,000,000.00      | 0.75%       | 4 Years 6 Mo.  | 11/28/2017 | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0WJ8         | 3/10/2014  | \$ 1,977,144.00      | 1.22%       | 4 Years 2 Mo.  | 5/21/2018  | Semi-Annual   |
|         | Federal Nat'l Mtg. Bond-Cusip #3135G0MZ3         | 3/19/2014  | \$ 2,002,711.28      | 0.85%       | 3 Years 5 Mo.  | 8/28/2017  | Semi-Annual   |
|         | Fannie Mae Bond-Cusip #3135G0WD1                 | 4/14/2014  | \$ 1,997,816.67      | 1.27%       | 4 Years        | 4/17/2018  | Semi-Annual   |
|         | Federal Farm Credit Bond-Cusip #3133EDKP6        | 5/1/2014   | \$ 1,000,000.00      | 1.07%       | 3 Years 4 Mo.  | 9/1/2017   | Semi-Annual   |
|         | Tennessee Valley Auth. Note- Cusip #880591EQ1    | 7/21/2014  | \$ 2,031,398.80      | 1.48%       | 4 Years 3 Mo.  | 10/15/2018 | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3133XFGT7     | 7/31/2014  | \$ 550,381.39        | 0.39%       | 1 Yr. 8 Mo.    | 3/11/2016  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G38F2                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/19/2015  | Semi-Annual   |
| Matured | Freddie Mac Bond-Cusip #3134G3126                | 7/31/2014  | Trans to Fifth Third | 0.18%       | 1 Yr. 2 Mo.    | 9/14/2015  | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A1P69     | 8/1/2014   | \$ 500,220.69        | 0.24%       | 1 Yr. 2 Mo.    | 10/30/2015 | Maturity Date |
|         | Freddie Mac Bond-Cusip #3137EADS5                | 8/1/2014   | \$ 503,730.35        | 0.65%       | 2 Yrs. 2 Mo.   | 10/14/2016 | Maturity Date |
|         | Fannie Mae Bond-Cusip #3135G0QK2                 | 1/21/2015  | \$ 3,010,520.83      | 1.57%       | 4 Yrs. 9 Mo.   | 10/4/2019  | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3134G5ZJ7     | 2/12/2015  | \$ 2,998,323.00      | 1.22%       | 3 Years        | 1/30/2018  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #880591CU4 | 3/18/2015  | \$ 2,100,515.95      | 99.36%      | 2 Yrs. 9 Mo.   | 12/15/2017 | Maturity Date |
|         | Federal Home Loan Mtg. Bond-Cusip #3137EADK2     | 4/6/2015   | \$ 2,001,513.89      | 1.29%       | 4 Yrs. 4 Mo.   | 8/1/2019   | Semi-Annual   |
|         | Federal Home Loan Bank Bond-Cusip #3130A52P3     | 5/14/2015  | \$ 2,000,000.00      | 1.00%-8.00% | 5 Years        | 5/14/2020  | Maturity Date |
|         | Freddie Mac Bond-Cusip #3134G6XL2                | 5/21/2015  | \$ 2,998,500.00      | 1.32%       | 3 Years 3 Mo.  | 8/21/2018  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5AN9     | 5/27/2015  | \$ 3,000,000.00      | 1.05%-3.50% | 5 Years        | 5/27/2020  | Maturity Date |
|         | Tennessee Valley Authority Bond-Cusip #88059E4G6 | 5/22/2015  | \$ 2,440,006.50      | 1.25%       | 3 Years 10 Mo. | 3/15/2019  | Maturity Date |
|         | Federal Home Loan Bank Bond-Cusip #3130A5TQ2     | 8/4/2015   | \$ 3,000,000.00      | 1%-4.00     | 5 Years        | 7/22/2020  | Maturity Date |
|         | Federal Home Loan Mtg. Corp.-Cusip #3134G7NV9    | 8/10/2015  | \$ 2,000,000.00      | 1.65%       | 5 Years        | 8/10/2020  | Semi-Annual   |



# Santa Fe County Investment Schedule - Operational Account Cont.

Patrick "Pat" Varela Treasurer



## MUNI TAXABLE HOLDINGS

| <u>Security<br/>Description</u>                              | <u>Purchase<br/>Date</u> | <u>Invested<br/>Amount</u> | <u>Effective<br/>Annual<br/>Interest Rate</u> | <u>Term</u>    | <u>Maturity<br/>Date</u> | <u>Interest Check<br/>to be Paid</u> |
|--------------------------------------------------------------|--------------------------|----------------------------|-----------------------------------------------|----------------|--------------------------|--------------------------------------|
| New Mexico Authority Bond-Cusip # 64711NUU7                  | 6/11/2014                | \$ 520,000.00              | 0.62%                                         | 2 Years        | 6/15/2016                | Maturity Date                        |
| New Mexico Authority Bond-Cusip #64711NUV5                   | 6/11/2014                | \$ 500,000.00              | 1.16%                                         | 3 Years        | 6/15/2017                | Maturity Date                        |
| Sandoval County Authority Bond-Cusip # 800051AW4             | 8/5/2014                 | \$ 483,585.89              | 1.50%                                         | 3 Years 10 Mo. | 6/1/2018                 | Semi-Annually                        |
| New Mexico St. University Board of Regions Cusip # 647421BE5 | 9/9/2014                 | \$ 571,556.81              | 1.9                                           | 4 Yrs 7. Mo.   | 4/1/2019                 | Semi-Annually                        |
| Albuquerque NM General Obligation Bond-Cusip #0135182M6      | 3/18/2015                | \$ 587,847.22              | 1.052                                         | 4 Yrs. 7 Mo    | 7/1/2019                 | Semi-Annually                        |
| Albuquerque Bernalillo CNTY Revenue Bond-Cusip #013493GH8    | 4/27/2015                | \$ 1,147,760.00            | 1.35%                                         | 4 Yrs 3. Mo.   | 7/1/2019                 | Semi-Annually                        |

## AT A GLANCE

|                   |                  |
|-------------------|------------------|
| TREASURY TOTAL    | \$ 50,108.61     |
| CORE TOTAL        | \$ 28,085,709.27 |
| MUNI TOTAL        | \$ 3,810,749.92  |
| OPERATIONAL TOTAL | \$ 61,241,919.99 |

GRAND TOTAL FIFTH THIRD \$ 93,188,487.79



# Santa Fe County Investment Schedule - LGIP Account

## Patrick "Pat" Varela Treasurer



| LOCAL GOVERNMENT INVESTMENT POOL                                                   | BALANCE<br>DATE | CURRENT LGIP<br>BALANCES | PRIOR LGIP<br>BALANCES |
|------------------------------------------------------------------------------------|-----------------|--------------------------|------------------------|
| Santa Fe County Treasurer-Account #7081-1326 Pool Cash                             | 9/30/2015       | \$ 5,860.63              | \$ 121.35              |
| Santa Fe County Treasurer-Account #7574-2902 Fire Protect Rev. Bond                | 9/30/2015       | \$ 28.81                 | \$ 3.93                |
| Santa Fe County Treasurer-Account #7579-2971                                       | 9/30/2015       | \$ 421.44                | \$ 37.22               |
| Santa Fe County Treasurer-Account #7580-2972                                       | 9/30/2015       | \$ 175.36                | \$ 16.12               |
| Santa Fe County Treasurer-Account #7724-4186 SFC 2001A GOB                         | 9/30/2015       | \$ 1,044.98              | \$ 22.99               |
| Santa Fe County Treasurer-Account #7765-5257                                       | 9/30/2015       | \$ 428.16                | \$ 37.57               |
| Santa Fe County Treasurer-Account #7813-9104 SFC 2005A GOB                         | 9/30/2015       | \$ 1,418.08              | \$ 32.68               |
| Santa Fe County Treasurer-Account #7832-10580 SFC 2007A GOB                        | 9/30/2015       | \$ 6,776.58              | \$ 143.99              |
| Santa Fe County Treasurer-Account #7864-11172 SFC 2007B GOB                        | 9/30/2015       | \$ 1,341.30              | \$ 30.33               |
| Santa Fe County Treasurer-Account #7865-11608 SFC Affordable Housing Fund          | 9/30/2015       | \$ 464.76                | \$ 10.77               |
| Santa Fe County Treasurer-Account #7904-12031 2008 GRT Judicial Rev. Bond          | 9/30/2015       | \$ 8,960.65              | \$ 184.74              |
| Santa Fe County Treasurer-Account #7908-12101 Phase II GOB Buckman Proj.           | 9/30/2015       | \$ 0.05                  | \$ 0.05                |
| <b>Total LGIP Investments as of September 30, 2015</b>                             |                 | <b>\$ 26,920.78</b>      | <b>\$ 641.74</b>       |
| <i>Fifth Third Money Market # 40260610 Operations Acct. 09/30/2015</i>             |                 | <i>\$ 8,718,447.45</i>   |                        |
| <i>Fifth Third Money Market # 40260630 GOB 2011 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,882,766.36</i>   |                        |
| <i>Fifth Third Money Market # 40260640 GOB 2013 Series Acct. 09/30/2015</i>        |                 | <i>\$ 9,096,523.18</i>   |                        |
| <i>Fifth Third Money Market # 40260620 Core Acct. 09/30/2015</i>                   |                 | <i>\$ 3,309,627.05</i>   |                        |
| <b>Money Market Sub-Total All Investments as of September 30, 2015</b>             |                 | <b>\$ 31,007,364.04</b>  |                        |
| <b>Los Alamos National Bank Cash Balance as of September 30, 2015</b>              |                 | <b>\$ 12,707,634.23</b>  |                        |
| <b>Estimated Grand Total All Investments &amp; Cash Balance September 30, 2015</b> |                 | <b>\$ 204,613,163.40</b> |                        |



