

PHA/IHA Board ResolutionApproving Operating Budget or Calculation of
Performance Funding System Operating SubsidyU.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0026 (Exp. 9/30/2006)

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

This information is required by Section 6(c)(4) of the U.S. Housing Act of 1937. The information is the operating budget for the low-income housing program and provides a summary of proposed/budgeted receipts and expenditures, approval of budgeted receipts and expenditures, and justification of certain specified amounts. HUD reviews the information to determine if the operating plan adopted by the PHA and the amounts are reasonable and that the PHA is in compliance with procedures prescribed by HUD. Responses are required to obtain benefits. This information does not lend itself to confidentiality.

Acting on behalf of the Board of Commissioners of the below-named Public Housing Agency (PHA)/Indian Housing Authority (IHA), as its Chairman, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the Board's approval of (check one or more as applicable):

(date)

- ☐ Operating Budget Submitted on: _____
- ☐ Operating Budget Revision Submitted on: _____
- ☒ Calculation of Performance Funding System Submitted on: September 11, 2006
- ☐ Revised Calculation of Performance Funding System Submitted on: _____

I certify on behalf of the: (PHA/IHA Name) Santa Fe County Housing Authority
that:

1. All regulatory and statutory requirements have been met;
2. The PHA has sufficient operating reserves to meet the working capital needs of its developments;
3. Proposed budget expenditures are necessary in the efficient and economical operation of the housing for the purpose of serving low-income residents;
4. The budget indicates a source of funds adequate to cover all proposed expenditures;
5. The calculation of eligibility for Federal funding is in accordance with the provisions of the regulations;
6. All proposed rental charges and expenditures will be consistent with provisions of law;
7. The PHA/IHA will comply with the wage rate requirements under 24 CFR 968.110(e) and (f) or 24 CFR 905.120(c) and (d);
8. The PHA/IHA will comply with the requirements for access to records and audits under 24 CFR 968.110(i) or 24 CFR 905.120(g); and
9. The PHA/IHA will comply with the requirements for the reexamination of family income and composition under 24 CFR 960.209, 990.115 and 905.315.

Finance Department Approval:

Approved as to NS Form:

Finance Department

County Attorney

I hereby certify that all the information stated within, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Board Chairman's Name (type)

Harry B. Montoya

Signature

Date

10-31-06

Previous edition is obsolete

form HUD-52574 (10/95)
ref. Handbook 7575.1

Attest:

County Clerk

Date: 10/31/06

SFC CLERK RECORDED 11/02/2006



COUNTY OF SANTA FE)
STATE OF NEW MEXICO) ss

BCC RESOLUTIONS
PAGES: 10

I Hereby Certify That This Instrument Was Filed for
Record On The 2ND Day Of November, A.D., 2006 at 11:42
And Was Duly Recorded as Instrument # **1457574**
Of The Records Of Santa Fe County

Deputy Valerie Espinoza Witness My Hand And Seal Of Office
County Clerk, Santa Fe, NM

SFC CLERK RECORDED 11/02/2006

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Non-Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED September 11, 2006	Applicant Identifier	
		3. DATE RECEIVED BY STATE		State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier
5. APPLICANT INFORMATION				
Legal Name: Santa Fe County Housing Authority		Organizational Unit:		
Address (give city, county, State, and zip code): 52 Camino de Jacobo Santa Fe, NM 87507		Name and telephone number of person to be contacted on matters involving this application (give area code) Duncan Sill, 505-992-3057		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 85-6000073		7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="display: flex; justify-content: space-between;"> <div> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District </div> <div> H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ </div> </div> <div style="text-align: right; border: 1px solid black; width: 30px; height: 20px; line-height: 20px; margin-left: auto;">B</div>		
8. TYPE OF APPLICATION: <div style="display: flex; justify-content: space-around;"> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> If Revision, enter appropriate letter(s) in box(es) <div style="display: inline-block; border: 1px solid black; width: 20px; height: 20px; margin: 0 5px;"></div> <div style="display: inline-block; border: 1px solid black; width: 20px; height: 20px; margin: 0 5px;"></div> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____		9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <div style="text-align: right;">14-850</div> TITLE: _____		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Operating Subsidy for PHA		
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Santa Fe County, New Mexico				
13. PROPOSED PROJECT		14. CONGRESSIONAL DISTRICTS OF:		
Start Date 1/1/07	Ending Date 12/31/07	a. Applicant b. Project		
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?		
a. Federal	\$ 454,982 ⁰⁰	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____		
b. Applicant	\$ ⁰⁰	b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW		
c. State	\$ ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No		
d. Local	\$ ⁰⁰			
e. Other	\$ ⁰⁰			
f. Program Income	\$ ⁰⁰			
g. TOTAL	\$ 454,982 ⁰⁰			
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.				
a. Type Name of Authorized Representative Robert A. Anaya		b. Title Executive Director		
c. Telephone Number (505) 992-3055		e. Date Signed 9/12/06		

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Santa Fe County Housing Authority

Program/Activity Receiving Federal Grant Funding

Operating Subsidy for PHA

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Robert A. Anaya

Title

Executive Director

Signature

Date (mm/dd/yyyy)

09/12/2006

STC CLERK RECORDED 11/02/2006

Operating Fund Calculation of Operating Subsidy PHA-Owned Rental Housing

U.S. Department of Housing and
Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0029 (exp. 10/31/2008)

The Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it display a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other

Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

Section 1

1. Name and Address of Public Housing Agency: Housing Authority of the County of Santa Fe 52 Camino De Jacobo Santa Fe, NM 87507		2. Funding Period: 01/01/2007 to 12/31/2007
3. Type of Submission: <input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision No.		
4. ACC Number: FW-5170	5. Fiscal Year End: 12/31 03/31 <input checked="" type="checkbox"/> 06/30 09/30	6. Operating Fund Project Number: NM05000107D
7. DUNS Number: 782901375	HUD Use Only	
	8. ROFO Code: 0602	

Section 2

Calculation of ACC Units for 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:

	ACC Units on 7/1/2005	Units Added to ACC (+)	Units Deleted from ACC (-)	ACC Units on 6/30/2006 (=)
Requested by PHA	199	0	7	192
HUD Modifications				

Line No.	Category	Column A Unit Months		Column B Eligible Unit Months (EUMs)		Column C Resident Participation Unit Months	
		Req'd by PHA	HUD Mod.	Req'd by PHA	HUD Mod.	Req'd by PHA	HUD Mod.

Categorization of Unit Months:

Occupied Unit Months

01	Occupied dwelling units -- by public housing eligible family under lease	1,954		1,954		1,954	
02	Occupied dwelling units -- by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing	0				0	
03	New units -- eligible to receive subsidy during the Funding Period but not included on Lines 01, 02, or 05-13 of this section	0		0		0	
04	New units -- eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy	0		0		0	

Vacant Unit Months

05	Units undergoing modernization	338		338			
06	Special use units	12		12			
06a	Units on Line 02 that are occupied by police officers and that also qualify as special use units			0			
07	Units vacant due to litigation	0		0			
08	Units vacant due to disasters	0		0			
09	Units vacant due to casualty losses	0		0			
10	Units vacant due to changing market conditions	0		0			
11	Units vacant and not categorized above	0					

Other ACC Unit Months

12	Units eligible for asset repositioning fee and still on ACC (occupied or vacant)	0					
13	All other ACC units not categorized above	0					

STC 01 PM RECORD 11/02/2006

Calculations Based on Unit Months:

14	Limited vacancies		0		
15	Total Unit Months	2,304	2,304	1,954	
16	Units eligible for funding for resident participation activities (Line 15C divided by 12)			163	

Special Provision for Calculation of Utilities Expense Level:

17	Unit months for which actual consumption is included on Line 01 of form HUD-52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for the asset repositioning fee		0		
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Section 3

Line No.	Description	Requested by PHA	HUD Modifications
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Part A. Formula Expenses**Project Expense Level (PEL)**

01	PUM project expense level (PEL)	\$269.38	
02	Inflation factor	1.02900	
03	PUM inflated PEL (Part A, Line 01 times Line 02)	\$277.19	
04	PEL (Part A, Line 03 times Section 2, Line 15, Column B)	\$638,646	

Utilities Expense Level (UEL)

05	PUM utilities expense level (UEL) (from Line 26 of form HUD-52722)	\$83.82	
06	UEL (Part A, Line 05 times Section 2, Line 15, Column B)	\$193,121	

Add-Ons

07	Self-sufficiency	\$0	
08	Energy loan amortization	\$0	
09	Payment in lieu of taxes (PILOT)	\$14,071	
10	Cost of independent audit	\$17,589	
11	Funding for resident participation activities	\$4,075	
12	Asset management fee <input type="checkbox"/> Eligible for an Asset Management Fee	\$0	
13	Information technology fee	\$4,608	
14	Asset repositioning fee	\$0	
15	Costs attributable to changes in federal law, regulation, or economy	\$0	
16	Total Add-Ons (Sum of Part A, Lines 07 through 15)	\$40,343	
17	Total Formula Expenses (Part A, Line 04 plus Line 06 plus Line 16)	\$872,110	

Part B. Formula Income

01	PUM formula income	\$166.54	
02	PUM change in utility allowances	\$0.00	
03	PUM adjusted formula income (Sum of Part B, Lines 01 and 02)	\$166.54	
04	Total Formula Income (Part B, Line 03 times Section 2, Line 15, Column B)	\$383,708	

Part C. Other Formula Provisions

01	Moving-to-Work (MTW)	\$0	
02	Transition funding	-\$33,420	
03	Other	\$0	
04	Total Other Formula Provisions (Sum of Part C, Lines 01 through 03)	-\$33,420	

Part D. Calculation of Formula Amount

01	Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04)	\$454,982	
02	Cost of independent audit (Same as Part A, Line 10)	\$17,589	
03	Formula amount (Greater of Part D, Lines 01 or 02)	\$454,982	

Part E. Calculation of Operating Subsidy (HUD Use Only)

01	Formula amount (Same as Part D, Line 03)		
02	Adjustment due to availability of funds		
03	HUD discretionary adjustments		
04	Funds Obligated for Period (Part E, Line 01 minus Line 02 minus Line 03)		
	Appropriation symbol(s):		

Section 4

Remarks (provide section, part and line numbers):

Section 5

Certifications

- ☒ In accordance with 24 CFR 990.215, I hereby certify that Housing Authority of the County of Santa Fe is in compliance with the annual income reexamination requirements and that rents and utility allowance calculations have been or will be adjusted in accordance with current HUD requirements and regulations.
- ☐ In accordance with 24 CFR 990.190(f), I hereby certify that Housing Authority of the County of Santa Fe has fewer than 250 units and has elected to transition to asset management and therefore is eligible to receive an asset management fee.
- ☒ I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Signature of Authorized PHA Representative & Date:

Signature of Authorized HUD Representative & Date:

X

SEC CLERK RECORDED 11/02/2006

Operating Fund
Calculation of Utilities Expense Level
 PHA-Owned Rental Housing

**U.S. Department of Housing and
 Urban Development**
 Office of Public and Indian Housing

OMB Approval No. 2577-0029 (exp. 10/31/2008)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

Section 1 - General Information

1. Name of Public Housing Agency: Santa Fe County Housing Authority		2. Funding Period: 1/1/ <u>2007</u> to 12/31/ <u>2007</u>		3. Type of Submission: <input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision No. _____		4. Unit Change Indicator: <input type="checkbox"/> Yes		5. Frozen Rolling Base: <input type="checkbox"/> Yes		6. Rate Reduction Incentive: <input type="checkbox"/> Yes	
7. ACC Number: FW5170		8. Operating Fund Project Number: N M 0 5 0 0 0 1 0 7 D		9. Fiscal Year End: <input type="checkbox"/> 12/31 <input type="checkbox"/> 3/31 <input checked="" type="checkbox"/> 6/30 <input type="checkbox"/> 9/30				10. ROFO Code (HUD Use Only):		11. DUNS Number: 12. 782901375	

Line No.	Description	Sewerage and Water	Electricity	Gas	Fuel (Specify Type, e.g., oil, coal, wood)			Total
A	B	C	D	E	F	G	H	I

Section 2 - Current Consumption Level

01	Actual consumption (12-month period 7/1/05 to 6/30/06)	11,186,653	174,906	20,251				
01a	Unit of consumption (e.g., gallons, kWh, therms)	Gallons	kWh	therms				

Section 3 - Rolling Base Consumption Level

02	Rolling base year 1 - actual consumption (12-month period 7/1/04 to 6/30/05)	10,967,307	169,812	19,287				
03	Rolling base year 2 - actual consumption (12-month period 7/1/03 to 6/30/04)	6,439,150	178,266	23,479				
04	Rolling base year 3 - actual consumption (12-month period 7/1/02 to 6/30/03)	12,221,412	129,537	17,827				
05	Total consumption during 3-year rolling base period (Line 02 + Line 03 + Line 04)	29,627,869	477,615	60,593				

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Operating Fund Project Number:

06	Average rolling base consumption (Line 05 ÷ 3)	9,875,956	159,205	20,198				
07	Actual consumption for new units	0	0	0				
08	Rolling Base Consumption (Line 06 + Line 07)	9,875,956	159,205	20,198				
Section 4 – Base Consumption								
09	Base Consumption (Lesser of Line 01 or Line 08)	9,875,956	159,205	20,198				
Section 5 – Utility Consumption Incentive								
10	Actual consumption > rolling base (If Line 01 is greater than Line 08, enter the difference as positive; if not, enter 0)	1,309,698	15,701	53				
11	Actual consumption < rolling base (If Line 01 is less than Line 08, enter the difference as positive; if not, enter 0)	0	0	0				
12	75%/25% Split (Line 10 x 0.25)	32,745	3,925	13				
13	75%/25% Split (Line 11 x 0.75)	0	0	0				
Section 6 – Payable Consumption								
14	Annualization of consumption for new units	0	0	0				
15	Payable consumption (Sum of Line 09, Line 12, Line 13 and Line 14)	9,908,700	163,130	20,211				
Section 7 – Actual Utility Costs and Average Rate								
16	Actual utility costs (12-month period 7/1/05 to 6/30/06)	\$147,581	\$22,278	\$21,043				
17	Actual average utility rate (Line 16 ÷ Line 01)	0.013193	0.127371	1.039109				
Section 8 – Base Utilities and Inflation/Deflation Factor								
18	Base utilities expense level – whole dollars (Line 15 x Line 17)	\$130,722	\$20,778	\$21,001				\$172,501
19	Surcharges for excess consumption of PHA-supplied utilities (12-month period 7/1/___ to 6/30/___) – whole dollars							0

Operating Fund Project Number:
NM05000107D

20	Base utilities expense level							\$172,501
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	minus surcharges (Line 18 minus Line 19)						
21	Utilities inflation/deflation factor						1.1195
Section 9 – Calculation of Utilities Expense Level							
22	Utilities expense level adjusted for inflation/deflation – whole dollars (Line 20 x Line 21)						\$193,115
23	Energy rate incentive						0
24	Utilities expense level – whole dollars (Line 22 + Line 23)						\$193,115
25	Eligible unit months (from the original form HUD-52723, Column B, Line 15 plus Line 17 minus Line 04)						2304
26	Utilities Expense Level – PUM (Line 24 ÷ Line 25)						\$83.82
Section 10 – Remarks (provide section, part and line numbers)							

Instructions to form HUD-52722 – Calculation of Utilities Expense Level

General

Previous editions are obsolete

This form is used to calculate the utilities expense level component of eligibility for operating subsidy pursuant to 24 CFR Parts 990.170 – 990.185. It is used for PHA-owned rental housing projects and PHA units in mixed housing developments when operating subsidy is requested for such developments under the Operating Fund Program.