

RESOLUTION 2024-006

A RESOLUTION REQUESTING AUTHORIZATION TO MAKE THE BUDGET ADJUSTMENT DETAILED ON THIS FORM

Whereas, the Board of County Commissioners meeting in regular session on January 9, 2024, did request the following budget adjustment:

Department / Division

CSD/DWI

Budget Adjustment Type (drop down): Other

Fiscal Year: 2024 (July 1, 2023 - June 30, 2024)

BUDGETED REVENUES: (use continuation sheet, if necessary)

FUND CODE XXX	DEPARTMENT/ DIVISION XXXX	ACTIVITY BASIC/SUB XXX	ELEMENT/ OBJECT XXXX	LINE DESCRIPTION	INCREASE AMOUNT	DECREASE AMOUNT
241	0479	371	04-00	State/DWI-Prevention	\$ 170,550	
Total					\$ 170,550	\$ -

BUDGETED EXPENDITURES: (use continuation sheet, if necessary)

FUND CODE XXX	DEPARTMENT/ DIVISION XXXX	ACTIVITY BASIC/SUB XXX	ELEMENT/ OBJECT XXXX	LINE DESCRIPTION	INCREASE AMOUNT	DECREASE AMOUNT
241	0479	464	30-04	Travel (Out of State)	\$ 7,500	
241	0479	464	50-03	Contractual/Professional Services	136,050	
241	0479	464	60-09	Educational Supplies	13,000	
241	0479	464	80-95	Inventory Exempt-Computers	14,000	
Total					\$ 170,550	\$ -


Requesting Department Approval: LeAnne Rodriguez

Title: DWI Program Manager

Date: 12/21/2023

Log # 22

Capital/Grants Approval:  Date: 1/2/2024

Budget Administrator:  Date: 1/2/24

Finance Dept Approval:  Date: \_\_\_\_\_

Entered by: \_\_\_\_\_ Date: \_\_\_\_\_

County Mgr Approval:  Date: \_\_\_\_\_

Updated by: \_\_\_\_\_ Date: \_\_\_\_\_

## RESOLUTION 2024- 006

## DETAILED JUSTIFICATION FOR REQUESTING BUDGET ADJUSTMENT

(If applicable, cite the following authority: State Statute, grant name and award date, other laws, regulations, etc.)

1	Please summarize the request and its purpose in the area below. Local DWI (LDWI) funds provide a majority of the funding for the Santa Fe County DWI Program. These funds are generated from excise taxes on the sale of alcohol, collected by the New Mexico Department of Taxation and Revenue, and administered by DFA pursuant to Sections 11-6A-6, NMSA 1978 and Regulations 2.110.4 NMAC. All unexpended funds at the end of the grant period are required to be reverted back to the LDWI Grant Fund, which then DFA uses to grant special application grants, such as DWI Grant Agreement #24-D-G-27 awarded to Santa Fe County in the amount of \$170,550 on October 5, 2023.  The LDWI grant funds need to be expended by June 30, 2024. The LDWI grant requires matching funds equivalent to 10% of the award equaling \$17,055, which is being funded by the LDWI grant agreement #24-D-G-27.				Recurring	Non-Recurring
2	Is this Budget Action for a Recurring or Non Recurring Expense(one-time)					X
3	Does this request impact a revenue source?				Yes	No
					X	
	A. Is this a State Special Appropriation? If Yes, cite Statute and attach a copy					X
	B. Does this include state or federal funds? If YES, please cite and attach a copy of statute, if a special appropriation, or include grant name, number, award date and amount, and attach a copy of a award letter and proposed budget. #24-D-G-27				X	
	C. Is this request a result of Commission action? If YES, please cite and attach a copy of supporting documentation (i.e. Minutes, Resolution, Ordinance, etc.)					X
	D. Is a match required? If Yes, please identify funding source in the line below.				X	
PLEASE PROVIDE THE LINE ITEM OF THE MATCH BELOW						
FUND	DEPARTMENT	ACTIVITY	ELEMENT	CATEGORY /LINE ITEM	AMOUNT	BUDGETED ( Drop Down)
241	0404	464	10-26	DWI Prevention - Term Position		17,055
Total					\$	\$ 17,055

SFC CLERK RECORDED 01/12/2024

RESOLUTION 2024- 006

NOW, THEREFORE, BE IT RESOLVED by the Board of County Commissioners of Santa Fe County that the Local Government Division of the New Mexico Department of Finance and Administration is hereby requested to grant authority to adjust budgets as detailed above.

Approved, Adopted, and Passed This 9 Day of January, 2024.

Santa Fe Board of County Commissioners

Anna Hansen  
Anna Hansen, Chairperson

ATTEST:

Katharine E. Clark  
Katharine E. Clark, County Clerk



COUNTY OF SANTA FE )  
STATE OF NEW MEXICO ) ss  
BCC RESOLUTIONS  
PAGES: 23

I Hereby Certify That This Instrument Was Filed for  
Record On The 12TH Day Of January, 2024 at 04:26:05 PM  
And Was Duly Recorded as Instrument # 2026445  
Of The Records Of Santa Fe County

Witness My Hand And Seal Of Office  
Deputy Katharine E. Clark  
County Clerk, Santa Fe, NM

SPECIFIC RECORD 01/11/2024

SFC Agreement No. 2024-0111-CSD/BT

STATE OF NEW MEXICO  
DEPARTMENT OF FINANCE AND ADMINISTRATION  
LOCAL GOVERNMENT DIVISION  
DWI GRANT PROGRAM

DWI GRANT AGREEMENT  
Program No. 24-D-G-27

**THIS GRANT AGREEMENT** is made and entered into by and between the Department of Finance and Administration, State of New Mexico, acting through the Local Government Division, Bataan Memorial Building, Suite 201, Santa Fe, New Mexico 87501, hereinafter called the **“Division,”** and the **County of Santa Fe,** hereinafter called the **“Grantee,”** collectively called **“the Parties.”**

**WITNESSETH:**

**WHEREAS,** this Grant Agreement is made by and between the Department of Finance and Administration, State of New Mexico, acting through the Local Government Division, and the Grantee, pursuant to the Local Driving While Intoxicated (“LDWI”) Grant Program Act Sections 11-6A-1 through 11-6A-6, NMSA 1978, as amended (the “Act”) and the LDWI Grant Program Regulations 2.110.4 NMAC (the “Regulations”); and

**WHEREAS,** on October 5, 2023, the DWI Grant Council awarded the Grantee **\$170,550.00** to support programs, services and activities to reduce the incidence of driving while intoxicated, alcoholism, and alcohol abuse in New Mexico (“Program”); and

**NOW, THEREFORE,** the Parties mutually agree as follows:

**ARTICLE I - SCOPE OF WORK**

- A. The Grantee agrees that it will implement, in all respects, the activities outlined in its Program Description, attached hereto as Exhibit “A” and made a part of this Grant Agreement.
- B. The Grantee agrees to make no change to the Program Description herein described without first submitting a written request to the Division and obtaining the Division's written approval of the proposed change.

**ARTICLE II - LENGTH OF GRANT AGREEMENT**

- A. The term of this Grant Agreement shall become effective **October 5, 2023** and will terminate on **June 30, 2024.**
- B. The General Appropriation Act of 2022, Section 3, General Provisions (C) states: “Amounts set out in Section 4 of the General Appropriation Act of 2023, or so much as may be necessary, are appropriated from the indicated source for expenditure in the fiscal year 2024 for the objects expressed”. Per Section 11-6A-6(E) of the Act, any unexpended funds at the end of a fiscal year revert to the Local DWI Grant Fund.

SFC CLERK RECORDED 01/12/2024

### **ARTICLE III - REPORTS**

#### **A. Evaluation**

1. The Grantee agrees to systematically collect, analyze and use data to examine programs, initiatives and policies by looking at both the process and the outcomes to assess their effectiveness. The Grantee agrees to review and update the existing evaluation plan periodically throughout the fiscal year.
2. The Grantee agrees that data entered into the DWI Screening Program, which includes the Managerial Data Set (MDS) Database, is complete, accurate and allows the Department of Finance and Administration's (DFA) designated evaluation contractor to develop and implement an evaluation system and/or to provide relevant reports derived from the available data.
3. In order that the Division may adequately evaluate the progress of the Local DWI grant program statewide, the Grantee shall provide within 30 days, upon request of DFA's evaluator(s), information and access to program records and records of contractors working for the Grantee, provided that such information will not contain any "individually identifiable health information" as defined by the Standards for Privacy of Individually Identifiable Health Information, 45 CFR Parts 160 and 164, the Regulations promulgated by the Department of Health and Human Services pursuant to the Health Insurance Portability and Accountability Act of 1996 ("HIPAA" or "HIPAA Regulations").

#### **B. Progress Reports**

1. In order that the Division may adequately evaluate the progress of the Grant Agreement, the Grantee shall provide periodic quarterly Progress Reports to the Division. The Progress Reports shall contain a narrative and/or bulleted highlights of successes and challenges, a detailed budget breakdown of expenditures to date which includes expenditure back-up documentation, a summary of screening fees collected and/or expended, the DWI Screening Program Quarterly Report, the MDS Quarterly Report, LDWI Planning Council meeting agendas and minutes, attached hereto as Exhibit "B" (Quarterly Progress Report and Certification), and such other information following the objectives of the Grantee's evaluation as may be of assistance to the Division in its evaluation. The first quarterly Progress Report is due **January 31, 2024**.
2. Grantee assures that Progress Reports submitted to the Division will not contain any "individually identifiable health information" in accordance with HIPAA.
3. One copy of the corresponding quarterly Progress Report shall be submitted to the Division no later than **January 31, 2024**, and **May 1, 2024** for review and comment.

#### **C. Final Report**

1. The Grantee shall submit to the Division one copy of the Final Report for this Program. The Final Report shall include the information called for in Article III,

Paragraph B(1) and B(2) for the fourth quarter. This requirement shall survive the termination of this Grant Agreement.

2. The Final Report and final reimbursement shall include sufficient detail to evaluate the effectiveness of each program component in the Program and shall be submitted no later than **July 10, 2024.**

D. **Annual Report**

1. The Grantee shall submit to the Division one copy of the Annual Report for this Program. The Annual Report shall include the data from the DWI Screening Program, including the demographic profile of the DWI offender and the MDS data reports for the entire term of the Grant Agreement, highlights for the period, and other information requested by the Division, in the format provided by the Division. This requirement shall survive the termination of this Grant Agreement.
2. The Annual Report shall be submitted no later than **July 31, 2024.**

**ARTICLE IV - CONSIDERATION AND METHOD OF PAYMENT**

- A. In consideration of the Grantee's satisfactory completion of all work and services required to be performed under the terms of this Grant Agreement, and in compliance with all other Grant Agreement requirements herein stated, the Division shall pay the Grantee a sum not to exceed **One Hundred Seventy Thousand Five Hundred Fifty Dollars and no Cents (\$170,550.00).** The funds are to be expended in accordance with the proposed budget attached as Exhibits "C" and "C (1)", which by this reference are fully incorporated into this Grant Agreement. It is understood and agreed that the Grantee's expenditure of these monies shall not deviate from the line items of the budget without the prior written approval of the Division.
- B. It is understood and agreed that if any portion of the funds set forth in Paragraph IV (A) are not expended at the completion of this Grant Agreement period for the purpose designated in this Grant Agreement, the unexpended funds shall revert to the Division for disposition.
- C. All payments will be made on a reimbursement of actual cost basis upon receipt by the Division of individual quarterly Progress Reports accompanied by the following completed forms: Request for Payment Form, attached hereto as Exhibit "D" and "D(1)"; and Detailed Breakdown By Budget Line Item Form, including Screening Fees Collected, attached hereto as Exhibit "G." Request for Payment Forms shall specify all in-kind administrative costs and any capital expenditures.
- D. Payment shall be made only for those services specified in this Grant Agreement and not funded by any other public-entity funding source. **The Grantee shall not bill the Division for the same service or services billed to another funding agency or source.**

**ARTICLE V - MODIFICATION AND TERMINATION**

- A. The Division, by written notice to the Grantee, shall have the right to immediately

SFC CLERK RECORDED 01/12/2024

terminate this Grant Agreement at any time if, in the judgment of the Division, the provisions of this Grant Agreement are violated or the activities described in the Program Description do not progress satisfactorily. In this regard, the Division may demand refund of all or part of the funds dispersed to the Grantee.

- B. The Parties may modify any and all terms and conditions of the Grant Agreement by mutual written agreement.
- C. Early Termination for Convenience: Except as provided in Article X, Appropriations, and Article V, Paragraph A, above, either the Division or Grantee may terminate this Grant Agreement by providing the other party with a minimum of thirty (30) days' advance, written notice of the termination.
- D. Liability in the Event of Early Termination: In the event of early termination of this Grant Agreement by either party, the Division's sole liability shall be to reimburse Grantee in accordance with this Grant Agreement for qualifying expenditures that were:
  - a. Incurred pursuant to a legally binding agreement entered into by Grantee before Grantee's receipt of the Division's notice of early termination or the issuance by the Grantee of a notice of early termination;
  - b. Incurred on or before the termination date in the notice of early termination;
  - c. For permissible purposes under this Grant Agreement's Program Description and procured and executed in accordance with applicable law; and
  - d. The subject of a Request for Payment Form properly and timely submitted in accordance with Article IV of this Grant Agreement.

#### **ARTICLE VI - CERTIFICATION**

The Grantee hereby assures and certifies that it will comply with all State regulations, policies, guidelines, and requirements with respect to the acceptance and use of State funds. Also, the Grantee gives assurances and certifies with respect to the grant that:

- A. It has the legal authority to receive and expend the funds as described in the Program Description.
- B. It shall meet all requirements of the Act and the Regulations and all other New Mexico State laws and regulations as they pertain to all activity conducted under this Grant Agreement and provide verification thereof to the Division.
- C. It shall finance all costs of the Program, including all Program overruns.
- D. Every treatment facility, program or other provider it contracts with to perform the activities that are subject to this Grant Agreement, shall, at all times, comply with all applicable State and federal laws and regulations and any and all licensure requirements governing treatment facilities, programs, or providers. All Contracts shall contain the following provision: "The Contractor agrees to comply, at all times, with all applicable State and federal laws and regulations and any and all licensure requirements governing its program and facility." The Grantee agrees it shall be solely liable for the failure of any of its providers to meet and comply with all applicable State and federal laws and licensure requirements governing the treatment provider or the program.

- E. It shall comply with the State Procurement Code, Sections 13-1-28 through 13-1-199, NMSA 1978. All professional services, activities or programs provided through a service provider must be implemented through a professional service contract. **The Grantee will submit all Program related contracts, and agreements to the Division for review and approval prior to execution. Amendments to existing contracts must also be submitted to the Division for review and approval prior to execution.**

Without limiting the foregoing, Grantee shall be **required** to complete a request-for-proposal (RFP) for contracts over \$60,000; provided, however, that if the Grantee's governing body's guidelines have more stringent requirements, the Grantee's governing body's guidelines must be followed. Sole Source contracts can be utilized if written justification is provided confirming that the organization is the only one in the area that can provide the services, which are uniquely and substantially related to the intended purpose of the contract. The Grantee shall be required to submit to the Division written documentation describing the reason(s) for sole source contracting prior to entering into the contract. Grantee shall adhere to all applicable provisions and requirements set forth in the State Procurement Code.

- F. It will adhere to all financial and accounting requirements of the Department of Finance and Administration.
- G. It will comply with all applicable conditions and requirements prescribed by the Division in relation to receipt of State DWI grant funds.
- H. It shall not at any time utilize or convert any equipment or property acquired or developed pursuant to this Grant Agreement for any use other than those specified in the scope of work as defined in the Grant Agreement without the prior approval of the Division.
- I. No member, officer, employee, or family member(s) of the Grantee, or its designees or agents, no member of the governing body of the locality in which the program is situated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the program during his/her tenure or for one year thereafter, shall have any interest, direct or indirect, in any contract, or the process thereof, for work to be performed in connection with the program assisted under the grant, and the Grantee shall incorporate, in all such contracts, a provision prohibiting such interest pursuant to the purposes of this certification.
- J. It will comply with all applicable HIPAA requirements and regulations.

#### **ARTICLE VII - RETENTION OF RECORDS**

All Program records must adhere to the New Mexico State Records Center and Archives Rule for Functional Retention and Disposition Schedule, 1.21.2 NMAC. The Grantee shall keep such records as will fully disclose the amount and disposition of the total funds from all sources budgeted for the Grant Agreement period, the purpose for which such funds were used, the amount and nature of all contributions from other sources, and such other records as the Division shall prescribe.

SFC CLERK RECORDED 01/12/2024



## **ARTICLE VIII - REPRESENTATIVES**

- A. The Grantee hereby designates the person listed below as the official Grantee Representative responsible for overall supervision of the approved Program:

Name: LeAnne Rodriguez  
Title: DWI Coordinator  
Address: 100 Catron Street  
Santa Fe, NM 87501  
  
Phone: (505)992-9831  
Email: [lrodriguez@snatafecountynm.gov](mailto:lrodriguez@snatafecountynm.gov)

- B. The Division designates the person listed below as its Program Manager, responsible for overall administration of this Grant Agreement, including compliance and monitoring of Grantee:

Name: Julie Krupcale  
Title: DWI Bureau Chief  
Address: Department of Finance and Administration  
Local Government Division  
Bataan Memorial Building, Suite 203  
Santa Fe, NM 87501  
  
Phone: (505) 629-2845  
Email: [julie.krupcale@dfa.nm.gov](mailto:julie.krupcale@dfa.nm.gov)

## **ARTICLE IX - SPECIAL CONDITIONS**

- A. The Grantee shall budget and expend a minimum of **10 percent** of the total DWI grant funding awarded for the twelve-month period in local match/in-kind monies. The Grantee shall not budget administrative expenses except as in-kind match pursuant to the DWI Grant Council's administrative policy. The Grantee hereby budgets **\$17,055 Dollars and No Cents (\$17,055.00) (10%)** as its matching funds commitment.
- B. The Grantee shall not budget, nor at any time exceed, expenditures greater than **ten percent** of its overall grant funding for capital purchases incurred during the grant period.
- C. The Grantee shall submit to the Division written copies of the description of the **treatment program protocol updates by November 30, 2023**, for review and comment. All changes and modifications made to the treatment program, including its materials, shall be reported to the Division for its review and comment, as necessary.
- D. The Grantee shall submit to the Division written copies of the description of any **screening program protocol updates by November 30, 2023**, for review and comment. All changes and modifications made to the screening program, including its materials, shall be reported to the Division for its review and comment.

- E. The Grantee shall submit to the Division written copies of the description of any **compliance monitoring program protocol updates by November 30, 2023**, for review and comment. All changes and modifications made to the compliance monitoring program, including its materials, shall be reported to the Division for its review and comment.
- F. The Grantee shall submit any **LDWI Planning Council by-law updates by November 30, 2023**.
- G. **The Grantee shall enter screening and tracking data online in the DWI Screening Program. Data shall be entered and maintained, at a minimum on a quarterly basis.**
- H. **The Grantee shall enter the prevention and enforcement goals and activities online in the MDS database. Data shall be entered and maintained on a quarterly basis.**
- I. The Grantee shall be solely responsible for fiscal or other sanctions, penalties, or fines occasioned as a result of its own violation or alleged violation of requirements applicable to performance of this Grant Agreement. The Grantee shall be liable for its acts or failure to act in accordance with this Grant Agreement, subject to the immunities and limitations of the New Mexico Tort Claims Act, Sections 41-4-1 through 41-4-27, NMSA 1978.

#### **ARTICLE X - APPROPRIATIONS**

The terms of this Grant Agreement are contingent upon sufficient appropriations and authorization being made by the Legislature of New Mexico for the performance of the Grant Agreement. If sufficient appropriations and authorizations are not made by the Legislature, the Division may ***immediately*** terminate this Grant Agreement, in whole or in part, regardless of any existing legally binding third party contracts entered into by or between Grantee and a third party, by giving Grantee written notice of such early termination. The Division's decision as to whether sufficient appropriations are available shall be accepted by the Grantee and shall be final and non-appealable. The Grantee shall include a substantively identical clause in all contracts between the Grantee and third parties that are (i) funded in whole or part by funds made available under this Grant Agreement and (ii) entered into between the effective date of this Grant Agreement and the Termination Date or early termination date.

#### **ARTICLE XI – REQUIRED TERMINATION CLAUSE IN CONTRACTS FUNDED IN WHOLE OR PART BY FUNDS MADE AVAILABLE UNDER THIS GRANT AGREEMENT**

Grantee shall include the following or a substantially similar termination clause in all contracts that are (i) funded in whole or part by funds made available under this Grant Agreement and (ii) entered into after the effective date of this Grant Agreement:

“This contract is funded in whole or in part by funds made available under a Department of Finance and Administration, Local Government Division (Division) grant agreement. If the Division terminates the grant agreement, the County of Santa Fe may terminate this contract by providing contractor written notice of such termination in accordance with the notice provisions in this contract. In the event of termination pursuant to this paragraph, the County of Santa Fe's only liability shall be to pay contractor for acceptable goods and/or services delivered and accepted prior to the termination date.”

SFC CLERK RECORDED 01/12/2024

IN WITNESS WHEREOF, the Grantee and the Division do hereby execute this Grant Agreement.

**THIS GRANT AGREEMENT** has been approved by:

**SANTA FE COUNTY**

By:   
Authorized Signatory

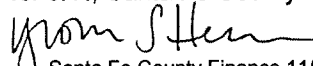
Gregory S. Shaffer, County Manager

(Type or Print Name and Position Title)

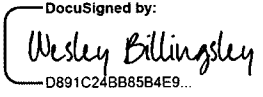
11/5/2023

Date

Approved as to form by Roberta D. Joe, Assistant County Attorney  
for J.Y., Santa Fe County Attorney 10/23/2023

  
Santa Fe County Finance 11/2/2023

**DEPARTMENT OF FINANCE AND ADMINISTRATION  
LOCAL GOVERNMENT DIVISION**

By:   
Wesley Billingsley, Director

11/8/2023

Date

## EXHIBIT "A"

### PROJECT DESCRIPTION

**Name of Grantee: Santa Fe County**  
**Grant No.: 24-D-G-27**  
**Grant Amount: \$170,550.00**

#### **Grantee will provide DWI program activities in the following areas:**

##### **1. Prevention:**

Prevention is the active process that promotes the personal, physical and social well-being of individuals, families and communities to reinforce positive behaviors and healthy lifestyles. The term "prevention" is reserved for interventions that occur before the initial onset of a disorder. Prevention programs shall focus on the prevention of alcoholism, alcohol abuse, underage drinking, alcohol related domestic violence and DWI.

Prevention activities funded by LDWI will be shown to increase life skills and/or decrease risk factors that positively impact the rates of DWI and/or alcohol abuse. LDWI funds may be used to support the assessment, capacity, planning, implementation, and evaluation of such activities. Staff development (such as training required for certification) is an allowable prevention activity.

While funds for prevention can be budgeted in any allowable budget category, all funds spent on prevention should be in support of prevention activities identified and approved as part of a systematic planning process.

Prevention activities funded with LDWI grant funds should be either evidence-based or promising activities. DWI programs must be able to document compliance with this requirement.

##### **2. Enforcement:**

Overtime for officers to support sobriety checkpoints, directed patrols and underage drinking enforcement will be supported through the LDWI grant program.

##### **3. Screening:**

The grant **requires** a county-wide screening program that addresses all municipal, district and magistrate court referrals related to DWI. Other referrals addressing DWI-related issues may also be handled from schools and the probation and parole system. Programs must use the DFA-approved screening program.

The program **shall** use screening fees to self-fund the screening costs to the fullest extent possible. The fee structure shall include an appropriate sliding-fee schedule, based on earning capacity of offenders, to assist those offenders who are unable to pay the full fees.

The screening program shall not be provided by an alcoholism treatment program serving the judicial districts involved in order to avoid conflict of interest or screening bias. *See* NMSA 1978, § 43-3-11(D).

##### **4. Coordination, Planning, and Evaluation:**

The grant supports Coordination, Planning and Evaluation administered by a professional responsible for oversight of all LDWI program efforts: monitoring all activities; budgeting, planning and funding requests; development, maintenance and reporting of all reporting requirements; evaluation of the grant program progress and impact; submission of all required financial and program reports; staffing the LDWI Planning Council; attendance at DWI Grant Council meetings and at the LDWI Bureau workshops.

SFC CLERK RECORDED 01/12/2024

**EXHIBIT "B" QUARTERLY REPORT CHECKLIST AND CERTIFICATION  
DISTRIBUTION/DWI GRANT**

Grantee: \_\_\_\_\_

Quarter: \_\_\_\_\_

To be completed by DWI Coordinator	To be completed by LDWI Program Manager
<b>Grant:</b> <input type="checkbox"/> Exhibit D & D(1) <input type="checkbox"/> Exhibit G <input type="checkbox"/> Exhibit G In-Kind <input type="checkbox"/> Backup Documentation	<b>Grant:</b> _____ <b>Received complete:</b> _____ <input type="checkbox"/> Exhibit D & D(1) <input type="checkbox"/> Exhibit G <input type="checkbox"/> Exhibit G In-Kind <input type="checkbox"/> Backup Documentation
<b>Distribution:</b> <input type="checkbox"/> Exhibit F & F(1) <input type="checkbox"/> Exhibit G <input type="checkbox"/> Exhibit G In-Kind <input type="checkbox"/> Backup Documentation <input type="checkbox"/> Backup Documentation of screening fees collected & spent	<b>Distribution:</b> _____ <b>Received complete:</b> _____ <input type="checkbox"/> Exhibit F & F(1) <input type="checkbox"/> Exhibit G <input type="checkbox"/> Exhibit G In-Kind <input type="checkbox"/> Backup Documentation <input type="checkbox"/> Backup Documentation of screening fees collected & spent
<input type="checkbox"/> Exhibit I – Enforcement Activity Report and/or <input type="checkbox"/> Exhibit I (B) – Full Time Officer Report	<input type="checkbox"/> Exhibit I – Enforcement Activity Report and/or <input type="checkbox"/> Exhibit I (B) – Full Time Officer Report
<input type="checkbox"/> Review & Attach MDS Report from NOBLE	<input type="checkbox"/> Review MDS Report
<input type="checkbox"/> Review & Attach Database Quarterly Report from NOBLE	<input type="checkbox"/> Review Database Quarterly Report
<input type="checkbox"/> Review and confirm active users in NOBLE	<input type="checkbox"/> Active users in NOBLE confirmed
<input type="checkbox"/> Planning Council Meeting Agenda and Minutes (Signed) <input type="checkbox"/> Planning Council Meeting Sign in Sheet(s)	<input type="checkbox"/> Planning Council Meeting Agenda and Minutes (Signed) <input type="checkbox"/> Planning Council Meeting Sign in Sheet(s)
<input type="checkbox"/> Successes/Challenges	<input type="checkbox"/> Successes/Challenges
<input type="checkbox"/> Evaluation Update Narrative	<input type="checkbox"/> Evaluation Update Narrative

Under penalty of law, I hereby certify that all payments made from LDWI grant and distribution monies were verified and accounted for by locally implemented policies and controls; no "individually identifiable health information" as defined by the HIPAA Regulations has been included in the report; and that to the best of my knowledge and belief, the information contained in this report is correct and true and that no other funding source is reimbursing these specific expenditures.

\_\_\_\_\_  
Program Representative Signature\_\_\_\_\_  
Print Name\_\_\_\_\_  
Date\_\_\_\_\_  
County/City Official Signature\_\_\_\_\_  
Print Name\_\_\_\_\_  
Date

---

FOR DFA USE ONLY

I certify that I have reviewed the attached documents for accuracy.

\_\_\_\_\_  
LDWI Program Manager Signature\_\_\_\_\_  
Print Name\_\_\_\_\_  
Date

Exhibit C

**Local DWI Grant Program  
Revenue/Expenditure Summary**

**Grantee**  
**Santa Fe County**

**Grant No.: 24-D-G-27**

**Total Grant**  
**\$170,550.00**

REVENUES BY SOURCE		EXPENDITURES BY LINE ITEM	Grant	In-Kind Match**	TOTAL
		<b>PROGRAM</b>			
Local DWI Program Grant	170,550.00	Personnel Services	0.00	13,055.00	13,055.00
		Employee Benefits	0.00	4,000.00	4,000.00
In-Kind Match:		Travel (In-State)	0.00	0.00	0.00
Program Generated Fees		Travel (Out-of-State)	5,000.00	0.00	5,000.00
County	17,055.00	Supplies	13,000.00	0.00	13,000.00
City		Operating Costs	0.00	0.00	0.00
Judicial/Courts		Contractual Services	138,050.00	0.00	138,050.00
Other (list):		Minor Equipment	14,500.00	0.00	14,500.00
		Capital Purchases*	0.00	0.00	0.00
<b>TOTAL REVENUES</b>	<b>187,605.00</b>	<b>TOTAL EXPENDITURES</b>	<b>170,550.00</b>	<b>17,055.00</b>	<b>187,605.00</b>

Administrative is allowed only as In-Kind Match

\*Capital purchases must have prior approval from DFA/LDWI.

\*\* In-Kind Match must be at least 10% of Grant Expenditure total

10%= 17,055.00

Revised: July 2022

RECEIVED 11/12/2024

Exhibit C (1)

**LOCAL DWI GRANT PROGRAM**  
**Request For Payment/Financial Status Report**  
**by Component**

**Grant:**

	<b><u>Budget</u></b>
Prevention	113,000.00
Enforcement	25,000.00
Screening	0.00
Treatment: Outpatient/Jailbased	0.00
Compliance Monitoring/Tracking	0.00
Coordination, Planning & Evaluation	32,550.00
Alternative Sentencing	0.00
<b>Totals:</b>	<b>170,550.00</b>

ck 170,550.00

Santa Fe County  
 100 Catron Ave  
 Santa Fe, NM 87501

505-992-9849  
 24-D-G-27

**In-Kind Match:**

	<b><u>Budget</u></b>
Prevention	0.00
Enforcement	0.00
Screening	0.00
Treatment: Outpatient/Jailbased	0.00
Compliance Monitoring/Tracking	0.00
Coordination, Planning & Evaluation	17,055.00
Alternative Sentencing	0.00
<b>Totals:</b>	<b>17,055.00</b>

ck 17,055.00

**Tot. Bud. Expd:** 187,605.00 ck 187,605.00

Revised: July 2022

**LOCAL DWI GRANT PROGRAM**  
Request For Payment/Financial Status Report

Exhibit D

Payment Request No.: 1

<b>I. A. Grantee:</b> Santa Fe County <b>B. Address:</b> 100 Catron Ave Santa Fe, NM 87501  <b>C. Telephone No.:</b> 505-992-9849 <b>D. Grant No.:</b> 24-D-G-27					<b>II. Payment:</b> <b>A. Grant Award:</b> \$170,550.00 <b>B. Funds Received To Date:</b> \$0.00 <b>C. Amount Requested This Payment:</b> \$0.00 <b>D. Grant Balance:</b> \$170,550.00  <b>III. Report Period Ending:</b> Enter Date---->				
Grant					In-Kind Match				
Budget Line Items	Approved Budget	Expenditures This Request	Remaining Budget	Total YTD Expenditures	Budget Line Items	Approved Budget	Expenditures This Request	Remaining Budget	Total YTD Expenditures
Personnel Services	0.00	0.00	0.00	0.00	Personnel Services	13,055.00	0.00	13,055.00	0.00
Employee Benefits	0.00	0.00	0.00	0.00	Employee Benefits	4,000.00	0.00	4,000.00	0.00
Travel (In-State)	0.00	0.00	0.00	0.00	Travel (In-State)	0.00	0.00	0.00	0.00
Travel (Out-of-State)	5,000.00	0.00	5,000.00	0.00	Travel (Out-of-State)	0.00	0.00	0.00	0.00
Supplies	13,000.00	0.00	13,000.00	0.00	Supplies	0.00	0.00	0.00	0.00
Operating Costs	0.00	0.00	0.00	0.00	Operating Costs	0.00	0.00	0.00	0.00
Contractual Services	138,050.00	0.00	138,050.00	0.00	Contractual Services	0.00	0.00	0.00	0.00
Minor Equipment	14,500.00	0.00	14,500.00	0.00	Minor Equipment	0.00	0.00	0.00	0.00
Capital Purchases*	0.00	0.00	0.00	0.00	Capital Purchases*	0.00	0.00	0.00	0.00
<b>TOTALS</b>	<b>170,550.00</b>	<b>0.00</b>	<b>170,550.00</b>	<b>0.00</b>		<b>17,055.00</b>	<b>0.00</b>	<b>17,055.00</b>	<b>0.00</b>

170,550.00

CERTIFICATION: Under penalty of law, I hereby certify to the best of my knowledge and belief, the above information is correct, expenditures are properly documented, required matching funds have been spent/obligated in the reported amount, and the copies of all required documentation are attached, or on file for review. The documentation for this payment is true and reflects correct copies of the originals. All payment requests listed are not funded by any other funding source. The service provider shall not bill the grantee and another funding source for the same client at the same time.

Grantee Fiscal Officer (Printed Name and Title)

Grantee Representative (Printed Name and Title)

Grantee Fiscal Officer (Signature)

Date

Grantee Representative (Signature)

Date

(DFA-Local Government Division Use Only)

Local Government Division Fiscal Officer

Date

Local Government Division Program Manager

Date

Revised: July 2023

SFC CLERK RECORDED 01/12/2024



**LOCAL DWI GRANT PROGRAM**  
**Request for Payment/Financial Status Report**  
**Breakdown By Component**

Exhibit D (1)  
0

Grantee: Santa Fe County  
Grant No.: 24-D-G-27  
Request No. 1

Total Grant Funds Requested This Request: 0.00  
Total In-Kind Match This Request: 0.00  
Total Expenditures Reported This Request: 0.00

**Grant:**

	<u>Budget</u>	<u>This Request</u>	<u>Remaining Budget</u>	<u>Expenditures YTD</u>
Prevention	113,000.00	0.00	113,000.00	0.00
Enforcement	25,000.00	0.00	25,000.00	0.00
Screening	0.00	0.00	0.00	0.00
Treatment: Outpatient/Jailbased	0.00	0.00	0.00	0.00
Compliance Monitoring/Tracking	0.00	0.00	0.00	0.00
Coordination, Planning & Evaluation	32,550.00	0.00	32,550.00	0.00
Alternative Sentencing	0.00	0.00	0.00	0.00
<b>Totals:</b>	<b>170,550.00</b>	<b>0.00</b>	<b>170,550.00</b>	<b>0.00</b>

**In-Kind Match:**

	<u>Budget</u>	<u>This Request</u>	<u>Remaining Budget</u>	<u>Expenditures YTD</u>
Prevention	0.00	0.00	0.00	0.00
Enforcement	0.00	0.00	0.00	0.00
Screening	0.00	0.00	0.00	0.00
Treatment: Outpatient/Jailbased	0.00	0.00	0.00	0.00
Compliance Monitoring/Tracking	0.00	0.00	0.00	0.00
Coordination, Planning & Evaluation	17,055.00	0.00	17,055.00	0.00
Alternative Sentencing	0.00	0.00	0.00	0.00
<b>Totals:</b>	<b>17,055.00</b>	<b>0.00</b>	<b>17,055.00</b>	<b>0.00</b>

Total Expenditures This Reimbursement: 0.00  
Total Expenditures Year to Date: 0.00

Checks: 0.00  
↔ 0.00

## Exhibit G- Grant

## Exhibit G - Grant

### Detailed Breakdown By Line Item

#### LOCAL DWI PROGRAM

County/ Municipality: \_\_\_\_\_  
Grant No.: \_\_\_\_\_  
Request No.: \_\_\_\_\_

Total Grant Funds Requested This Request:	0.00
Total In-Kind Match This Request:	0.00
Total Expenditures Reported This Request:	0.00

**Grant Expenditures:**

## ADMINISTRATIVE

***Administrative expenses are not allowed.***

## PROGRAM

## Personnel Services

<u>Pay Period</u>	<u>Name</u>	<u>Job title</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
<b>Total Personnel Services:</b>				0.00	

## Employee Benefits

<u>Pay Period</u>	<u>Name</u>	<u>Job title</u>	<u>Document Identifier</u>	<u>Description</u>	<u>Amount</u>	<u>Component</u>
<b>Total Employee Benefits:</b>					<b>0.00</b>	

**Travel (In-State)**

<u>Date of Travel &amp; Location</u>	<u>Traveler's Name</u>	<u>Purpose of Travel</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
					<b>Total Travel (In-State):</b>	<b>0.00</b>

SFC CLERK RECORDED 01/12/2024

## Exhibit G- Grant

**Travel (Out-of-State)**

<u>Date of Travel &amp; Location</u>	<u>Traveler's Name</u>	<u>Purpose of Travel</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
Total Travel (Out-of-State):						0.00

**Supplies (\*List Prevention Giveaways/Promotional Items separately below)**

<u>Date Incurred</u>	<u>Vendor</u>	<u>Description</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
Total Supplies:						0.00

**\*Prevention Giveaways/Promotional Items**


**Operating Costs**

<u>Date(s) Incurred</u>	<u>Vendor</u>	<u>Description</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
Total Operating Costs:						0.00

**Contractual Services**

<u>Period Covered</u>	<u>Vendor</u>	<u>Description</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
Total Contractual Services:						0.00

**Minor Equipment**

<u>Date Incurred</u>	<u>Vendor</u>	<u>Description</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
Total Minor Equipment:						0.00

## Exhibit G- Grant

**Capital Purchases**

<u>Date Incurred</u>	<u>Vendor</u>	<u>Description</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
<b>Total Capital Purchases:</b>						<u>0.00</u>

**Total Grant Fund Reimbursement Request:**Check: 0.00 0.00

I hereby certify to the best of my knowledge and belief, the above information is correct, expenditures are properly documented, required matching funds have been spent/obligated in the reported amount, and that copies of all required documentation are attached. The documentation for this payment is true and reflects correct copies of the originals. I certify that the items listed in this report have not been billed or reported previously to the Local DWI Grant & Distribution program. I certify that all payment requests listed are not funded by any other funding source and that the service provider shall not bill this grant/distribution fund and any other funding source for the same service provided to the same client at the same time.

\_\_\_\_\_  
Grantee Representative (Signature)\_\_\_\_\_  
Title\_\_\_\_\_  
Date

SFC CLERK RECORDED 01/12/2024

Exhibit G - Grant In-Kind Match

**Exhibit G - Grant In-Kind Match**  
Detailed Breakdown By Line Item  
LOCAL DWI PROGRAM

County/ Municipality: 0  
Grant No.: 0  
Request No.: 0

Total Grant Funds Requested This Request: 0.00  
Total In-Kind Match This Request: 0.00  
Total Expenditures Reported This Request: 0.00

**In-Kind Match Expenditures:**

**PROGRAM**

**Personnel Services**

<u>Pay Period</u>	<u>Name</u>	<u>Job Title</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
Total Personnel Services:				0.00	

**Employee Benefits**

<u>Pay Period</u>	<u>Name</u>	<u>Job Title</u>	<u>Document Identifier</u>	<u>Description</u>	<u>Amount</u>	<u>Component</u>
Total Employee Benefits:					0.00	

**Travel (In-State)**

<u>Date of Travel &amp; Location</u>	<u>Traveler's Name</u>	<u>Purpose of Travel</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
Total Travel (In-State):					0.00	

**Travel (Out-of-State)**

<u>Date of Travel &amp; Location</u>	<u>Traveler's Name</u>	<u>Purpose of Travel</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>
Total Travel (Out-of-State):					0.00	

**Supplies**

<u>Date Incurred</u>	<u>Vendor</u>	<u>Description</u>	<u>Check Date</u>	<u>Document Identifier</u>	<u>Amount</u>	<u>Component</u>

Exhibit G - Grant In-Kind Match

Total Supplies:

0.00

Operating Costs

Date(s) Incurred	Vendor	Description	Check Date	Document Identifier	Amount	Component

Total Operating Costs:

0.00

Contractual Services

Date(s) Incurred	Vendor / Contractor	Description	Check Date	Document Identifier	Amount	Component

Total Contractual Services:

0.00

Minor Equipment

Date Incurred	Vendor	Description	Check Date	Document Identifier	Amount	Component

Total Minor Equipment:

0.00

Capital Purchases

Date Incurred	Vendor	Description	Check Date	Document Identifier	Amount	Component

Total Capital Purchases:

0.00

Total In-Kind Match:

Check: 0.00

0.00

I hereby certify to the best of my knowledge and belief, the above information is correct, expenditures are properly documented, required matching funds have been spent/obligated in the reported amount, and that copies of all required documentation are attached. The documentation for this payment is true and reflects correct copies of the originals. I certify that the items listed in this report have not been billed or reported previously to the Local DWI Grant & Distribution program. I certify that all payment requests listed are not funded by any other funding source and that the service provider shall not bill this grant/distribution fund and any other funding source for the same service provided to the same client at the same time.

Grantee Representative (Signature)

Title

Date

SFC CLERK RECORDED 01/12/2024

**EXHIBIT "I" ENFORCEMENT ACTIVITY REPORT  
DFA/LOCAL GOVERNMENT DIVISION LDWI PROGRAM**

**GRANTEE:** \_\_\_\_\_ **Report Period:** \_\_\_\_\_

**COMPLETE ONE FORM FOR EACH TYPE OF ACTIVITY CONDUCTED**

**ENFORCEMENT ACTIVITIES:** ☐ Underage Enforcement ☐ Checkpoint ☐ Directed Patrol  
Other \_\_\_\_\_

<b>Agency:</b> _____		<b>Date Activity Conducted:</b> _____		<b>Number of Officers</b> _____
<b>Location of Activity:</b> _____		<b>Start Time:</b> _____	<b>End Time:</b> _____	<b>Additional Funds Used For Activity</b>  <b>Source(s):</b> _____  <b>Total Amount:</b> \$ _____
<b># DWI Arrests</b>	_____	<b># Under 21 Possession Consumption Citations:</b>	_____	
<b># Other Arrests</b>	_____	<b># Open Container Citations</b>	_____	
<b># Written Warnings</b>	_____	<b># Other Citations</b>	_____	

Officer Name	Dates Worked	Total Hours Worked	Hourly Rate	Total Paid
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
<b>Totals</b>		<b>0.00</b>		<b>\$ -</b>