SANTA FE COUNTY
GROWTH MANAGEMENT
PLANNING DIVISION
REQUEST FOR PROPOSALS (RFP)

Alignment Study for the Santa Fe Rail Trail Segment 7
NM Commodity Code(s): 90656, 90657 and 92672

RFP NO. 2021-0140-GM/KE
JUNE - 2021
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I. ADVERTISEMENT

SANTA FE COUNTY
ALIGNMENT STUDY FOR SANTA FE
RAIL TRAIL SEGMENT 7
RFP NO. 2021-0140-GM/KE
NM State Commodity Codes:
90656, 90657 and 92672

Santa Fe County and the Santa Fe County planning division is requesting Professional Engineering services, to provide a high level study to determine the most appropriate alignment to complete the Rail Trail segment 7, to include all incidental labor and material. All proposals submitted shall be valid for 90 days subject to action by the County. Santa Fe County reserves the right to reject any and all proposals in part or in whole. A completed proposal shall be submitted in a sealed container indicating the proposal title and number along with the Offeror's name and address clearly marked on the outside of the container or submitted electronically. All proposals must be received by 2:00PM on Thursday, July 1, 2021, at the Santa Fe County Purchasing Division, 102 Grant Avenue, (First Floor), Santa Fe, NM 87501. To combat the spread of COVID-19, it is preferred that submissions of Proposals be submitted utilizing a DropBox. Log into DropBox to obtain a link for vendors to upload proposals. Please utilize this link to upload your proposal https://www.dropbox.com/request/AJ2WNwGlf3tT5pXeEdp. By submitting a proposal for the requested services, each Offeror is certifying that it is a qualified firm and its proposal complies with the requirements stated within the Request for Proposals.

A Pre-Proposal Conference will be held on Wednesday, June 16, 2021 at 10:00AM. This will be an online Web-X Conference, using the link below or by calling (408) 418-9388, the meeting number is 1879 28 0160. The pre-proposal conference is NOT MANDATORY, but attendance is highly recommended.
https://sfco.webex.com/sfco/j.php?MTID=m76f3221476a0af039625026e58f9651d

EQUAL EMPLOYMENT OPPORTUNITY: All qualified Offerors will receive consideration of contract(s) without regard to race, color, religion, sex or national origin, ancestry, age, physical and mental handicap, serious medical condition, disability, spousal affiliation, sexual orientation or gender identity.

Request for Proposals will be available by contacting Karen K. Emery, Procurement Specialist, Senior, 102 Grant Avenue, (First Floor) Santa Fe, New Mexico 87501, or by telephone at (505) 992-6759, or by email at kkemery@santafecountynm.gov, or on our website at http://www.santafecountynm.gov/asd/current_bid_solicitations.

PROPOSALS RECEIVED AFTER THE DATE AND TIME SPECIFIED ABOVE WILL NOT BE CONSIDERED AND WILL BE REJECTED BY SANTA FE COUNTY.

Santa Fe County Published June 6 and 7, 2021
II. INTRODUCTION

A. PURPOSE OF THIS REQUEST FOR PROPOSAL

The Santa Fe County Planning Division is requesting professional engineering services, to provide a high-level study to determine the most appropriate alignment to complete the Rail Trail segment 7, to include all incidental labor and material. The intent of this RFP is to select a qualified engineer to not only provide the alignment study, but to continue with full engineering services (see attachment A) for the design and construction of segment 7 of the Santa Fe Rail Trail.

B. BACKGROUND

The Santa Fe Rail Trail is a regional trail that connects Santa Fe, Eldorado and the US 285 corridor at Lamy. The trail provides both recreational and alternative transportation opportunities. The trail is unusual in that it shares the right-of-way of an active railroad. The Santa Fe Southern Railway is a popular tourist train that operates along the historic Atchison, Topeka and Santa Fe Railway. The trail is a unique amenity for Santa Fe offering amazing views of the Galisteo Basin and an uncommon experience of the historic railway. The Rails to Trail Conservancy recognize the trail nationally.

Construction of the trail has been phased over several years; the improved surface segment of the trail runs from the Santa Fe Depot to Spur Ranch Road. The current phase of construction will complete the trail as designed to the Galisteo Basin Preserve trails, and the newly purchased trailhead site near the point where the railroad tracks cross US 285. Segment 7 is the final portion of the trail that remains to be developed. The final segment of the trail is intended to connect the trailhead site at US 285 to the community of Lamy and the Lamy Depot.

C. SCOPE OF WORK

1. PROJECT SET UP AND COORDINATION

   a) Project initiation and site visit(s): Coordinate with County Planning and Public Works staff to understand the background and history of the project, prevalent interests, issues and concerns, and to discuss and record preliminary design and construction ideas and options.
      1) The contractor shall conduct a thorough site assessment of the existing conditions of the project site, determine design constraints and opportunities, and identify construction considerations.
      2) The contractor shall be responsible for obtaining accurate surveying and geotechnical information related to the project site, as necessary, for proposed alignment(s).
      3) Develop an approach and schedule for the project
      4) The contractor shall be required to communicate on an agreed upon basis with county staff through meetings, phone calls, and emails to provide oral or written progress and status reports for each phase of work.
2. TRAIL ALIGNMENT OPTIONS AND WORK PLAN

   a) Develop Alignment Options in accordance with project history and goals.
   b) Prepare Preliminary Design Phase documents consisting of design criteria, preliminary drawings, outline specifications, and written descriptions of the Project.

      1) Identify if any major concerns exist
      2) Identify any applicable phased options
      3) Develop preliminary cost estimates to include ROW, design, and construction

         Based on the information contained in the Preliminary Design Phase documents, prepare an opinion of probable Construction Cost, and assist in collating the various cost categories which comprise Total Project Costs.
      4) Advise if additional reports, data, information, or services are necessary and assist in obtaining such reports, data, information, or services.

3. IDENTIFY PREFERRED ALIGNMENT, in coordination with County staff

   a) Preferred layout should optimize sustainability, aesthetics, drainage and safety as well as minimize the need for separation barrier fencing
   b) The preferred trail alignment should include trail re-routes, closures of social trails, and where the trail and maintenance/emergency access road are combined and where they are separated.
   c) The contractor shall locate and define erosion control and drainage features such as switchbacks, rolling grade dips, etc. using labeled stakes, flags, tape, and other similar material.
   d) The contractor shall strive to make all or most of the trail ADA compliant and shall identify and locate rest areas for portions of the trail
   e) The contractor shall identify and locate areas requiring remediation due to the obliteration of social trails.
   f) The Contractor shall provide written design recommendations for erosion control at locations where the trail crosses arroyos as necessary.
   g) The contractor shall create and provide a written work plan based on the final on-site trail layout. The work plans should include a written description of the final trail construction for each 1-mile section of trail according to mile markers as shown on plans and/or as indicated by staff. The written description should include specifications for trail surface repairs and improvements, grading, remediation, obliteration of former trails, and features or structures for erosion/drainage control.
   h) The contractor shall create a schedule of total work items required for the trail construction. The schedule should include total work items with quantities and cost estimates.
DELIVERABLES

1. Provide preliminary draft report for alignment options for segment 7 of the Santa Fe Rail Trail that includes alignment options, cost estimates, any major concerns, and reasoning for preferred alignment.

2. Provide a final report, to contain schematic layouts, sketches, and conceptual design criteria with appropriate exhibits to indicate the agreed-to requirements, considerations involved, and those alternate solutions available. Separately itemized solutions should be provided for each of the following if they apply:
   
   a) Opinion of probable Construction Cost,
   b) Proposed allowances for contingencies,
   c) Estimated total costs of design, professional, and related services to be provided by Contractor and its Consultants; and,
   d) On the basis of information furnished by Owner, a summary of allowances for other items and services included within the definition of Total Project Costs.

3. Develop applicable phasing options for the project.

BUDGET INFORMATION:

Contract Amount $50,000.00 inclusive of NM GRT

As funding becomes available, this Agreement may be amended to continue through the process provided for in the Basic Engineering scope of work in Attachment A.

D. INSURANCE REQUIREMENTS

The insurance required by Offeror listed below.

1. General Conditions. Contractor shall submit evidence of insurance as is required herein. Companies authorized to write such insurance in New Mexico shall write policies of insurance.

2. General Liability Insurance, Including Automobile. Contractor shall procure and maintain during the life of this Agreement a comprehensive general liability and automobile insurance policy with liability limits in amounts not less than $1,000,000 combined single limits of liability for bodily injury, including death, and property damage for any one occurrence. Said policies of insurance shall include coverage for all operations performed for County by Contractor; coverage for the use of all owned, non-owned, hired automobiles, vehicles and other equipment, both on and off work; and contractual liability coverage under which this Agreement is an insured contract. County of Santa Fe shall be a named additional insured on the policy.

4. **Malpractice/Errors and Omissions Insurance.** Engineer shall procure and maintain during the life of this Agreement professional liability (errors and omissions) insurance with policy limits of not less than $1,500,000.00 per occurrence, $2,500,000.00 per aggregate.

5. **Increased Limits.** If, during the life of this Agreement, the Legislature of the State of New Mexico increases the maximum limits of liability under the Tort Claims Act (NMSA 1978, Sections 41-4-1 through 41-4-29, as amended), Contractor shall increase the maximum limits of any insurance required herein.

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**E. PROCUREMENT SPECIALIST**

The County has designated a Procurement Specialist who is responsible for the conduct of this procurement whose name, address and telephone number is listed below. All deliveries via express carrier should be addressed as follows:

Karen K. Emery, Procurement Specialist Senior  
Santa Fe County Purchasing Division  
102 Grant Avenue, First Floor  
Santa Fe, NM 87501  
Phone: (505) 992-6759  
Email: kkemery@santafecountynm.gov

Any inquiries or requests regarding this procurement should be submitted to the Procurement Specialist in writing. **Offerors may ONLY contact the Procurement Specialist listed above regarding the procurement. Other County employees do not have the authority to respond on behalf of the County.**

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**F. DEFINITION OF TERMINOLOGY**

This section contains definitions and abbreviations that are used throughout this procurement document.

This section contains definitions and abbreviations that are used throughout this procurement document.

“**BCC**” means the Santa Fe County Board of County Commissioners

“**Close of Business**” means 5:00 PM Mountain Standard Time or Mountain Daylight Time, whichever is in effect on the date given.

“**Contract**” or “**Agreement**” means a written agreement for the procurement of items of tangible personal property or services.

“**Contractor**” means a successful Offeror who enters into a binding contract.
“County” means Santa Fe County.

“Determination” means the written documentation of a decision by the Procurement Manager including findings of fact supporting a decision. A determination becomes part of the procurement file.

“Desirable” The terms “may”, “can”, “should”, “preferably”, or “prefers” identify a desirable or discretionary item or factor (as opposed to “mandatory”).

“Evaluation Committee” means a body appointed by the County management to perform the evaluation of Offeror proposals.

“Finalist” is defined as an Offeror who meets all the mandatory specifications of this Request for Proposals and whose score on evaluation factors is sufficiently high to merit further consideration by the Evaluation Committee.

“Mandatory” The terms “must”, “shall”, “will”, “is required”, or “are required”, identify a mandatory item or factor (as opposed to “desirable”). Failure to meet a mandatory item or factor will result in the rejection of the Offeror’s proposal.

“Offeror” is any person, corporation, or partnership who chooses to submit a proposal.

“Procurement Manager” means the person or designee authorized by the County to manage or administer a procurement requiring the evaluation of competitive sealed proposals.

“Purchasing Division” means the Santa Fe County Purchasing Division, Finance Department.

“Request for Proposals” or “RFP” means all documents, including those attached or incorporated by reference, used for soliciting proposals.

“Responsible Offeror” means an Offeror who submits a responsive proposal and who has furnished, when required, information and data to prove that its financial resources, production or service facilities, personnel, service reputation and experience are adequate to make satisfactory delivery of the services or items of tangible personal property described in the RFP.

“Responsive Offer” or “Responsive Proposal” means an offer or proposal, which conforms in all material respects to the requirements set forth in the request for proposals. Material respects of a request for proposals include, but are not limited to, price, quality, quantity or delivery requirements.
## III. CONDITIONS GOVERNING THE PROCUREMENT

ALIGNMENT STUDY FOR SANTA FE RAIL TRAIL

SEGMENT 7

This section of the RFP contains the schedule for the procurement, describes the major procurement events and the conditions governing the procurement.

### A. SEQUENCE OF EVENTS

The Procurement Manager will make every effort to adhere to the following schedule:

<table>
<thead>
<tr>
<th>Action</th>
<th>Responsibility</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Issuance of RFP</td>
<td>Purchasing Division</td>
<td>June 6 &amp; 7, 2021</td>
</tr>
<tr>
<td>2. Pre-Proposal Conference</td>
<td>Owner/Offerors/</td>
<td>June 16, 2021</td>
</tr>
<tr>
<td></td>
<td>Purchasing</td>
<td></td>
</tr>
<tr>
<td>3. Acknowledgement of Receipt Form</td>
<td>Offerors</td>
<td>June 16, 2021</td>
</tr>
<tr>
<td>4. Deadline to Submit Additional Questions</td>
<td>Offerors</td>
<td>June 18, 2021</td>
</tr>
<tr>
<td>5. Response to Written Questions</td>
<td>Purchasing Division</td>
<td>June 23, 2021</td>
</tr>
<tr>
<td>6. Submission of Proposal</td>
<td>Offerors</td>
<td>July 1, 2021</td>
</tr>
<tr>
<td>(2:00 PM)</td>
<td></td>
<td></td>
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<tr>
<td>8. Selection of Finalist</td>
<td>Evaluation Committee</td>
<td>July 14, 2021</td>
</tr>
<tr>
<td>9. Oral Presentation by Finalists (if applicable)</td>
<td>Offeror</td>
<td>TBD</td>
</tr>
<tr>
<td>10. Contract Negotiations</td>
<td>County, Offeror</td>
<td>TBD</td>
</tr>
<tr>
<td>11. Contract Award</td>
<td>Purchasing Division</td>
<td>TBD</td>
</tr>
</tbody>
</table>

**Note:** If the Evaluation Committee makes a selection at the Selection of Finalists, event 9 will not occur.
B. EXPLANATION OF EVENTS

The following paragraphs describe the activities listed in the sequence of events shown in Section III, Paragraph A.

1. Issuance of RFP

The Santa Fe County Growth Management Department and the Purchasing Division are issuing this RFP.

2. Pre-Proposal Conference

A Pre-Proposal Conference and Site Visit are scheduled to occur on the date indicated in the Sequence of Events at Section III.A. Questions may be submitted at the Pre-Proposal Conference and until the date indicated in the Sequence of Events at Section III.A. **All questions must be in writing and e-mailed to kkemery@santafecountynm.com.** A public log will be kept of the names of potential Offerors who attended the Pre-Proposal Conference and the Site Visit.

3. Acknowledgement of Receipt Form

Potential Offerors should hand-deliver, return by facsimile or e-mail the Acknowledgement of Receipt Form provided as Appendix A to have its name and firm placed on the procurement distribution list. The form should be signed by an authorized representative of the organization, dated and returned by close of business on **June 16, 2021.**

The procurement distribution list will be used for the distribution of written responses to questions and any RFP addenda.

4. Deadline to Submit Additional Written Questions

Potential Offerors may submit written questions regarding this RFP until the close of business on the date indicated in the Sequence of Events at Section III.A. **All written questions must be addressed to the Procurement Specialist, listed in Section II.E and sent via facsimile or e-mail. Any contact with any other County staff member or persons other than the Procurement Specialist named in this solicitation may be grounds for disqualification.**

5. Response to Written Questions

Written responses to written questions and any RFP addenda will be distributed on the date indicated in the Sequence of Events at Section III.A, to all potential Offerors whose names appear on the procurement distribution list.
The Procurement Specialist must receive additional written requests for clarification of distributed answers or addenda no later than one (1) day after the answers or addenda were issued.

6. **Submission of Proposal**

**ALL OFFEROR PROPOSALS MUST BE RECEIVED FOR REVIEW AND EVALUATION BY THE PROCUREMENT MANAGER OR DESIGNEE NO LATER THAN 2:00 PM, Thursday, July 1, 2021.** Proposals received after this deadline will not be accepted. The date and time of receipt will be recorded on each proposal. Proposals must be addressed and delivered to the Procurement Manager at the address listed in Section II.E. Proposals must be sealed and labeled on the outside of the package to clearly indicate that they are in response to the County's Request for Proposals No. 2021-0140-GM/KE. Proposals may also be submitted electronically via Dropbox at the link provided below. [https://www.dropbox.com/request/AJ2WNwGi7f3tT5plXeEdp](https://www.dropbox.com/request/AJ2WNwGi7f3tT5plXeEdp). Dropbox submittals are preferred.

A public log will be kept of the names of all Offeror’s who submitted proposals. Pursuant to NMSA 1978, Section 13-1-116, the contents of any proposal shall not be disclosed, so as not to be available to competing Offerors during the negotiation process.

7. **Proposal Evaluation**

The evaluation of proposals will be performed by an Evaluation Committee appointed by the Procurement Specialist. This process will take place during the timeframe indicated in the Sequence of Events at III.A. During this time, the Procurement Specialist may initiate discussions with Offerors who submit responsive or potentially responsive proposals for the purpose of clarifying aspects of the proposals, but proposals may be accepted and evaluated without such discussion. Discussions SHALL NOT be initiated by the Offerors.

8. **Selection of Finalists (If Applicable)**

The Evaluation Committee may select and the Procurement Specialist may notify the finalist Offerors on the date indicated in the Sequence of Events at Section III.A. Only finalists will be invited to participate in the subsequent steps of the procurement if the finalist process is used.

9. **Best and Final Offers from Finalists (If Applicable)**

Finalist Offerors may be asked to submit revisions to their proposals for the purpose of obtaining best and final offers by the date indicated in the Sequence of Events at Section III.A.
10. **Oral Presentation by Finalists (If Applicable)**

Finalist Offerors may be required to present their proposals to the Evaluation Committee. The Procurement Specialist will schedule the time for each Offeror presentation. All finalist Offerors will be contacted to schedule presentations providing a location and instructions for the Oral presentations. Each presentation will be limited to one (1) hour in duration.

11. **Contract Negotiations**

The contract will be finalized with the most advantageous Offeror during the timeframe indicated in the Sequence of Events at Section III.A. In the event that mutually agreeable terms cannot be reached within the time specified, the County reserves the right to finalize a contract with the next most advantageous Offeror without undertaking a new procurement process.

12. **Contract Award**

The County anticipates awarding the contract on the date in the Sequence of Events at Section III.A. These dates are subject to change at the discretion of the Santa Fe County Purchasing Specialist or Procurement Manager.

The contract shall be awarded to the Offeror or Offerors whose proposal is most advantageous to the County, taking into consideration the evaluation factors set forth in the RFP. The most advantageous proposal may or may not have received the most points.

13. **Right to Protest**

Any protest by an Offeror must be timely and in conformance with NMSA 1978, Section 13-1-172 and applicable procurement regulations. Protests must be in writing and must include the name and address of the protestor and the request for proposals number. It must also contain a statement of grounds for protest including appropriate supporting exhibits. The protests must be delivered to the Santa Fe County Purchasing Division:

Santa Fe County Procurement Office  
Attn: Bill Taylor, CPO/Procurement Manager  
P.O. Box 276  
Santa Fe, New Mexico 87504

*Protests will not be accepted by facsimile or other electronic means. Protests received after the deadline will not be accepted.*
C. GENERAL REQUIREMENTS

This procurement will be conducted in accordance with Chapter 13, NMSA 1978, NMAC 1.4.1 and the Santa Fe County Procurement Regulations.

1. Acceptance of Conditions Governing the Procurement

Offerors must indicate their acceptance of the Conditions Governing the Procurement section in the letter of transmittal. Submission of a proposal constitutes acceptance of the terms and conditions of the contract template attached hereto as Appendix D.

2. Incurring Cost

Any cost incurred by the Offeror in preparation, transmittal, presentation of any proposal or material submitted in response to this RFP shall be borne solely by the Offeror.

3. Prime Contractor Responsibility

Any contract that may result from this RFP shall specify that the prime contractor is solely responsible for fulfillment of the contract with the County. The County will make contract payments to only the prime contractor.

4. Subcontractors

Use of subcontractors must be clearly explained in the proposal, and major subcontractors must be identified by name. The prime contractor shall be wholly responsible for the performance of the contract with the County whether or not subcontractors are used.

5. Amended Proposals

An Offeror may submit an amended proposal before the deadline for receipt of proposals. Such amended proposal must be a complete replacement of the previously submitted proposal and must be clearly identified as such in the transmittal letter. County personnel will not merge, collate, or assemble proposal materials.

6. Offeror’s Rights to Withdraw Proposal

Offerors will be allowed to withdraw their proposals at any time prior to the deadline for receipt of proposals. The Offeror must submit a written withdrawal request signed by the Offeror and duly authorized representative, addressed to the Procurement Specialist. The approval or denial of withdrawal requests received after the deadline for receipt of the proposals is governed by the applicable procurement regulations.
7. Proposal Offer Firm

Responses to this RFP, including proposal prices, will be considered firm for 90 days after the due date for receipt of proposals or 90 days after receipt of a best and final offer if one is submitted.

8. Disclosure of Proposal Contents

Proposals shall not be opened publicly and shall not be open to public inspection until after an Offeror has been selected for award of a contract.

An Offeror may request in writing non-disclosure of confidential data. Proprietary or confidential data shall be readily separable from the proposal in order to facilitate eventual public inspection of the non-confidential portion of the proposal. Confidential data is normally restricted to confidential financial information concerning the Offeror’s organization and data that qualifies as a trade secret in accordance with the Uniform Trade Secrets Act, 57-3A-1 to 57-3A-7, NMSA 1978. The price of products offered or the cost of services proposed shall not be designated as proprietary or confidential information.

If a request is received for disclosure of data for which an Offeror has made a written request for confidentiality, the Santa Fe County Procurement Manager shall examine the Offeror’s request and make a written determination that specifies which portions of the proposal should be disclosed. Unless the Offeror takes legal action to prevent the disclosure, the proposal will be so disclosed. The proposal shall be open to public inspection subject to any continuing prohibition on the disclosure of confidential data.

9. No Obligation

This procurement in no manner obligates Santa Fe County or any of its departments to the use of any proposed professional services until a valid written contract is awarded and approved by the appropriate authorities.

10. Termination

This RFP may be canceled at any time, and any or all proposals may be rejected in whole or in part when the County determines such action to be in the best interest of the County.

11. Sufficient Appropriation

Any contract awarded, because of this RFP process may be terminated if sufficient appropriations or authorizations do not exist. Such termination will be effected by sending written notice to the contractor. The County's decision as to whether
sufficient appropriations and authorizations are available will be accepted by the contractor as final.

12. **Legal Review**

The County requires that all Offerors agree to be bound by the General Requirements contained in this RFP. Any Offeror concerns must be promptly brought to the attention of the Procurement Specialist.

13. **Governing Law**

This procurement and any agreement with Offerors that may result shall be governed by the laws of the State of New Mexico.

14. **Basis for Proposal**

Only information supplied by the County in writing through the Procurement Specialist or in this RFP should be used as the basis for the preparation of Offeror proposals.

15. **Contract Terms and Conditions**

The contract between the County and the Contractor will follow the format specified by the County and contain the terms and conditions set forth in Appendix D.

16. **Contract Deviations**

Any additional terms and conditions, which may be the subject of negotiation, will be discussed only between the County and the selected Offeror and shall not be deemed an opportunity to amend the proposal.

17. **Offeror Qualifications**

The Evaluation Committee may make such investigations as necessary to determine the ability of the Offeror to adhere to the requirements specified within this RFP. The Evaluation Committee will reject the proposal of any Offeror who is not a responsible Offeror or fails to submit a responsive offer as defined in Sections 13-1-83 and 13-1-85 NMSA, 1978, subject to Procurement Manager approval.

18. **Right to Waive Minor Irregularities**

The Evaluation Committee reserves the right to waive minor technical irregularities. This right is at the sole discretion of the Evaluation Committee subject to the Procurement Manager approval.
19. **Change in Contractor Representatives**

The County reserves the right to require a change in contractor representatives if the assigned representatives are not, in the opinion of the County, meeting the County’s needs adequately. Any change in contractor representative must receive prior County approval.

20. **Notice**

The Procurement Code, Sections 13-1-28 through 13-1-199, NMSA 1978, imposes civil and misdemeanor criminal penalties for its violation. In addition, the New Mexico criminal statutes impose felony penalties for bribes, gratuities and kick-backs.

21. **County Rights**

The County reserves the right to accept all or a portion of an Offeror’s proposal.

22. **Right to Publish**

Throughout the duration of this procurement process and contract term, potential Offerors and contractors must secure from the County written approval prior to the release of any information that pertains to the potential work or activities covered by this procurement or the subsequent contract. Failure to adhere to this requirement may result in disqualification of the Offeror’s proposal or termination of the contract.

23. **Ownership of Proposals**

All documents submitted in response to this Request for Proposals shall become the property of the County. However, any technical or user documentation submitted with the proposals of non-selected Offerors shall be returned after the expiration of the protest period.

24. **Electronic Mail Address Recommended**

A large part of the communication regarding this procurement will be conducted by electronic mail (e-mail). It is recommended that the Offeror should have a valid e-mail address to receive e-mail correspondence.

25. **Preferences in Procurement by Santa Fe County**

a. **New Mexico In-state Preference.**

New Mexico law, Section 13-4-2 NMSA 1978, provides a preference in the award of a public works contract for an “in-state resident contractor”. Application of a resident contractor preference for any Offeror requires the Offeror to provide a copy of a valid and current certificate as a resident
contractor. Certificates are issued by the state taxation and revenue department.

If an Offeror submits with its proposal a copy of a valid and current in-state resident contractor certificate, 5% of the total weight of all evaluation factors used in the evaluation of proposals may be awarded or added to the Offeror's score.

Certification by the department of taxation and revenue for the resident contractor takes into consideration such activities as the business’ payment of property taxes or rent in the state and payment of unemployment insurance on employees who are residents of the state.

OR

b. **New Mexico Resident Veteran Preference.**

New Mexico law, Section 13-4-2 NMSA 1978, provides a preference in the award of a public works contract for a “resident veteran contractor”. Certification by the department of taxation and revenue for the resident veteran business requires the Offeror to provide evidence of annual revenue and other evidence of veteran status.

An Offeror who wants the veteran contractor preference to be applied to its proposal is required to submit with its proposal the certification from the department of taxation and revenue and the sworn affidavit attached hereto as Appendix C.

If an Offeror submits with its proposal a copy of a valid and current veteran resident contractor certificate, 10%, of the total weight of all the evaluation factors used in the evaluation of proposals may be awarded or added to the Offeror's score.

**The resident contractor preference is not cumulative with the resident veteran contractor preference.**

AND

c. **Santa Fe County Business Preference**

Santa Fe County Ordinance 2012-4 provides for a **County preference** for a “Santa Fe County business.” Application of the County preference in procurement requires an Offeror to obtain and provide a Santa Fe County Business Certificate issued by the Santa Fe County Procurement Manager. Certification by the Procurement Manager takes into consideration the
business’ corporate standing in the state, business licensure or registration, the duration of the business’ primary office location and the payment of taxes.

If an Offeror submits with its proposal a copy of its Santa Fe County Business Certificate issued by the Purchasing Manager, 5% of the total weight of all the evaluation factors used in the evaluation of proposals may be awarded to the Offerors score.

**The Resident Business, Resident Veteran Business or Santa Fe County Business preferences do not apply to procurement of services or goods involving federal funds or federal grant funds.**

26. **Double-Sided Documents**

All submitted bids/proposal documents shall be double-sided, pursuant to Santa Fe County Resolution 2013-7, Adopting Sustainable Resource Management Principles, Section 2. A. *Waste Reduction and Reuse*…” all documents are to be double-sided, including those that are generated by outside entities using County funds and by consultants and contractors doing business with the County”.

27. **Living Wage**

Contractor shall comply with the requirements of Santa Fe County Ordinance No. 2014-1 (Establishing a Living Wage).
IV. RESPONSE FORMAT AND ORGANIZATION

A. NUMBER OF RESPONSES

Offerors shall submit only one response to this RFP.

B. NUMBER OF COPIES

Offerors shall deliver one original and four identical copies of their proposal to the location specified in Section II, Paragraph E on or before the closing date and time for receipt of proposals. If submitting responses electronically via Dropbox only one copy is required.

C. PROPOSAL FORMAT

All proposals shall be limited to fifteen (15) pages with exception to professional licenses and certifications, which shall be added as appendices. The document shall be typewritten on standard 8 1/2 x 11 paper, with a font no smaller than 12 pt. pitch, with nominal 1” margins and normal line spacing. Proposals shall be bound with tabs delineating each section. The submission of Proposals will be accepted electronically utilizing a DropBox. Please utilize this link to upload your proposal submission.
https://www.dropbox.com/request/AJ2WNwGIf3tT5plXeEdp

1. Proposal Organization

The proposal must be organized and indexed in the following format and must contain, as a minimum, all listed items in the sequence indicated.

   a) Letter of Transmittal
   b) Response to County Terms and Conditions
   c) Table of Contents (optional)
   d) Response to Specifications – Evaluation Factors – (page limit)
   e) Response to County Terms and Conditions
   f) Copy of insurance certificate
   g) Campaign Contribution Disclosure Statement

Within each section of their proposal, Offerors should address the items in the order in which they appear in this RFP under Section V.B. EVALUATION FACTORS. All forms provided in the RFP must be thoroughly completed and included in the appropriate section of the proposal.

Any proposal that does not adhere to these requirements may be deemed non-responsive and rejected on that basis.

The proposal summary may be included by Offerors to provide the Evaluation Committee with an overview of the technical and business features of the proposal; however, this material will not be used in the evaluation process unless specifically referenced from other portions of the Offeror’s proposal.
Offerors may attach other materials that they feel may improve the quality of their responses. However, these materials should be included as items in a separate appendix.

2. **Letter of Transmittal**

   Each proposal must be accompanied by a letter of transmittal. The letter of transmittal MUST:

   a) Identify the submitting person or organization, Company name, address, phone number and e-mail;

   b) Identify the name and title of the person authorized by the organization to contractually obligate the organization;

   c) Identify the name, title and telephone number of the person authorized to negotiate the contract on behalf of the organization;

   d) Identify the names, titles and telephone numbers of persons to be contacted for clarification;

   e) **Explicitly** indicate Acceptance of the Conditions Governing the Procurement stated in Section III, Paragraph C.1;

   f) Be signed by the person authorized to contractually obligate the organization;

   g) Acknowledge receipt of any and all amendments to this RFP;

   h) Acknowledge and acceptance of the terms and conditions of the Agreement attached as Appendix D.

**THIS SECTION LEFT INTENTIONALLY BLANK**

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V. SPECIFICATIONS

Offerors should respond in the form of a thorough narrative to each mandatory specification. The narratives along with required supporting materials will be evaluated and awarded points accordingly.

A. INFORMATION

Time Frame

The contract is scheduled to begin July 2021. Santa Fe County intends on awarding a contract with an initial term of one year.

B. EVALUATION CRITERIA

A brief explanation of each mandatory specification is listed below. Offerors are encouraged to fully address each category completely, based on the RFP’s scope of work and deliverables, as points are assigned for responses to each.

1. Introduction

- Describe the vendor’s vision/mission and business philosophy.
- Provide a brief history of Offeror’s firm in the State of New Mexico.

2. Specialized Design and Technical Competence

- Provide information about the vendor’s specific technical experience with providing services comparable to the Scope of Work required in this RFP.
- Include years of experience providing Engineering services of comparable size and complexity for similar government entities.
- Provide evidence of the vendor’s knowledge, skills and ability to design and implement a high level study in trail alignment.

3. Evidence of Understanding Scope of Work

- Provide an in-depth response and understanding of the requested scope of work.
- Include an itemized description of services to be provided that correlates to the scope of work. Include information regarding a work plan.
- Discuss challenges that might be expected based on this service, including existing conditions, location, site or any other factors.
- Identify any limitations or restrictions in providing the required services.
- Include information for any subcontractor or consultant that the Offeror has indicated to be part of the project team including what areas of work they will perform.
- Any services that cannot be provided as required shall be noted.
4. **Capacity and Capability**

- Provide information about the vendor’s approach in providing the required study and the capacity to implement the services and deliverables in a timely manner;
- Demonstrate experience and knowledge of developing a regional trail alignment.
- Include your team’s organization and working relationships.

5. **Past Record of Performance**

- Describe contracts and other agreements with government agencies or private industry where Offeror demonstrated control of costs, performed quality work and met schedules and timelines;
- Provide a minimum of three related projects completed within the last three years where the Offeror provided similar services with name of client(s) point-of-contact and telephone number and e-mail;
- Describe any particular difficulties confronted in past projects and how the Offeror addressed and resolved the issues.

6. **Proximity to or familiarity with the area in which the project is located.**

- Describe the Offeror’s familiarity with the Santa Fe Rail Trail, Galisteo Basin area and the area of Lamy;
- Describe any issues or problems that may arise with respect to the project site location within Santa Fe County.

7. **The amount of design work that will be produced by a New Mexico business within this state.**

- Indicate the volume by percentage of professional services to be provided by residents or citizens of New Mexico.

8. **The volume of work previously done for Santa Fe County, which is not seventy-five percent complete with respect to basic professional design services.**

- A Project Listing form is attached to this RFP. Offerors must complete the form (Appendix F) and list all projects/contracts involving basic survey work or services that are completed or pending for Santa Fe County within the last five (5) years. For each listed project or contract, indicate the percentage of completion of services.

The following point deduction formula will be applied for projects/contracts that are less than 75% complete:
C. PREFERENCES

If a proposal contains an In-State Resident Business Certificate or Resident Veterans Business Certificate and/or Santa Fe County Business Certificate, the applicable preference will be applied.

Proposal contains a valid N.M. Resident Business Certificate……………………………………..50 points

OR

Proposal contains a valid Resident Veteran Business Certificate………………………………..100 points

AND

Proposal contains a valid Santa Fe County Business Certificate………………………………..50 points

VI. EVALUATION OF PROPOSALS

A. EVALUATION SCORING

The County will evaluate responsive proposals and assign a numerical score in each category, not to exceed the maximum allowed score for that category, as determined through the Offeror’s attention to the factor detailed in the following sections. The amount of discussion to be applied to each listed topic is an individual choice of the Offeror; however, discussion should be detailed enough to inform and educate the Evaluation Committee Members.

Proposals will be scored based upon a comparison of the information submitted by each Offeror against the evaluation factors outlined below. Each Evaluation Factor is assigned the following points:
Evaluation Factors

1) Introduction ........................................................................................................... 75 points
2) Specialized Design and Technical Competence ........................................... 250 points
3) Evidence of Understanding .............................................................................. 250 points
4) Capacity and Capability .................................................................................... 150 points
5) Past Record of Performance ............................................................................ 125 points
6) Proximity to or Familiarity with Site Location ............................................... 50 points
7) New Mexico Produced Work .......................................................................... 50 points
8) Volume of Work Previously Done for County ............................................. 50 points

TOTAL POINTS (Before preferences are applied) 1,000

8) NM Resident Certification ................................................................. 50 points
9) Resident Veteran Certification .............................................................. 100 points
10) Santa Fe County Business Certification ............................................... 50 points

B. EVALUATION PROCESS

The evaluation process will follow the steps listed below:

1. All proposals will be reviewed for compliance with the mandatory specifications stated within the RFP. Proposals deemed non-responsive will be eliminated from further consideration.

2. The Procurement Specialist may contact the Offeror for clarification of the response as specified in Section III, Paragraph B.7.

3. The Evaluation Committee may use other sources of information to perform the evaluation as specified in Section III, Paragraph C.18.

4. Responsive proposals will be evaluated on the factors in Section V that have been assigned a point value. The responsible Offerors with the highest scores will be selected as finalist Offerors ‘shortlisted’ based upon the proposals submitted. If an oral presentation is recommended, the ‘shortlisted’ firms will be provided questions by the Selection Committee for their “Oral Presentations.” Each
presentation will be evaluated by the Selection Committee. The oral presentation that receives the highest points and is most advantageous to the County, taking into consideration the evaluation factors in Section VI, will be recommended for contract award as specified in Section III, Paragraph B.11. Only the points from the Oral Presentation will be calculated for most & highest qualified firms. Points from the “shortlisted” evaluations will only be used if there is a tie resulting from the Oral Presentations. Please note, however, that a serious deficiency in the response to any one factor may be grounds for rejection regardless of overall score.
APPENDIX A

ACKNOWLEDGEMENT OF RECEIPT FORM
Alignment Study for Santa Fe Rail Trail Segment 7
2021-0140-GM/KE

In acknowledgement of receipt of this Request for Proposal, the undersigned agrees that he/she has received a complete copy, beginning with the title page and table of contents, and ending with Appendix D.

The acknowledgement of receipt should be signed and returned to the Procurement Specialist no later than close of business on June 16, 2021. Only potential Offerors who elect to return this form completed with the indicated intention of submitting a proposal will receive copies of all Offeror written questions and the County's written responses to those questions as well as RFP amendments, if any are issued.

FIRM: _____________________________________________________

REPRESENTED BY: ____________________________________________

TITLE: ______________________________ PHONE NO.: ______________

E-MAIL: ___________________________ FAX NO.: ____________________

ADDRESS: ____________________________________________________

CITY: __________________________ STATE: ________ ZIP CODE: __________

SIGNATURE: __________________________________ DATE: _____________

This name and address will be used for all correspondence related to the Request for Proposal.

Firm does/does not (circle one) intend to respond to this Request for Proposals.

Karen K. Emery, Procurement Specialist Senior
Santa Fe County Purchasing Division
102 Grant Avenue (First Floor)
Santa Fe, New Mexico 87501
(505) 992-6759
Email: kkemery@santafecountynm.gov
APPENDIX B

CAMPAIGN CONTRIBUTION DISCLOSURE FORM

Pursuant to the Procurement Code, Sections 13-1-28, et seq., NMSA 1978 and NMSA 1978, §13-1-191.1 (2006), as amended by Laws of 2007, Chapter 234, any prospective contractor seeking to enter into a contract with any state agency or local public body must file this form with that state agency or local public body. This form must be filed even if the contract qualifies as a small purchase or a sole source contract. The prospective contractor must disclose whether they, a family member or a representative of the prospective contractor has made a campaign contribution to an applicable public official of the state or a local public body during the two years prior to the date on which the contractor submits a proposal or, in the case of a sole source or small purchase contract, the two years prior to the date the contractor signs the contract, if the aggregate total of contributions given by the prospective contractor, a family member or a representative of the prospective contractor to the public official exceeds two hundred and fifty dollars ($250) over the two year period.

Furthermore, the state agency or local public body may cancel a solicitation or proposed award for a proposed contract pursuant to Section 13-1-181 NMSA 1978 or a contract that is executed may be ratified or terminated pursuant to Section 13-1-182 NMSA 1978 of the Procurement Code if: 1) a prospective contractor, a family member of the prospective contractor, or a representative of the prospective contractor gives a campaign contribution or other thing of value to an applicable public official or the applicable public official’s employees during the pendency of the procurement process or 2) a prospective contractor fails to submit a fully completed disclosure statement pursuant to the law.

The state agency or local public body that procures the services or items of tangible personal property shall indicate on the form the name or names of every applicable public official, if any, for which disclosure is required by a prospective contractor.

THIS FORM MUST BE INCLUDED IN THE REQUEST FOR PROPOSALS AND MUST BE FILED BY ANY PROSPECTIVE CONTRACTOR WHETHER OR NOT THEY, THEIR FAMILY MEMBER, OR THEIR REPRESENTATIVE HAS MADE ANY CONTRIBUTIONS SUBJECT TO DISCLOSURE.

The following definitions apply:

“Applicable public official” means a person elected to an office or a person appointed to complete a term of an elected office, who has the authority to award or influence the award of the contract for which the prospective contractor is submitting a competitive sealed proposal or who has the authority to negotiate a sole source or small purchase contract that may be awarded without submission of a sealed competitive proposal.

“Campaign Contribution” means a gift, subscription, loan, advance or deposit of money or other thing of value, including the estimated value of an in-kind contribution, that is made to or received by an applicable public official or any person authorized to raise, collect or expend contributions on that official’s behalf for the purpose of electing the official to

The following definitions apply:
statewide or local office. “Campaign Contribution” includes the payment of a debt incurred in an election campaign, but does not include the value of services provided without compensation or unreimbursed travel or other personal expenses of individuals who volunteer a portion or all of their time on behalf of a candidate or political committee, nor does it include the administrative or solicitation expenses of a political committee that are paid by an organization that sponsors the committee.

“Family member” means spouse, father, mother, child, father-in-law, mother-in-law, daughter-in-law or son-in-law of (a) a prospective contractor, if the prospective contractor is a natural person; or (b) an owner of a prospective contractor.

“Pendency of the procurement process” means the time period commencing with the public notice of the request for proposals and ending with the award of the contract or the cancellation of the request for proposals.

“Prospective contractor” means a person or business that is subject to the competitive sealed proposal process set forth in the Procurement Code or is not required to submit a competitive sealed proposal because that person or business qualifies for a sole source or a small purchase contract.

“Representative of a prospective contractor” means an officer or director of a corporation, a member or manager of a limited liability corporation, a partner of a partnership or a trustee of a trust of the prospective contractor.

Name(s) of Applicable Public Official(s) if any: _________________________
(Completed by State Agency or Local Public Body)

DISCLOSURE OF CONTRIBUTIONS BY PROSPECTIVE CONTRACTOR:

Contribution Made By: __________________________________________

Relation to Prospective Contractor: ______________________________________

Date Contribution(s) Made: ______________________________________

Amount(s) of Contribution(s) ______________________________________

Nature of Contribution(s) ______________________________________

Purpose of Contribution(s) ______________________________________

(Attach extra pages if necessary)
Signature _______________________________ Date _______________________________

Title (position)

--OR--

NO CONTRIBUTIONS IN THE AGGREGATE TOTAL OVER TWO HUNDRED FIFTY DOLLARS ($250) WERE MADE to an applicable public official by me, a family member or representative.

Signature _______________________________ Date _______________________________

Title (Position)
APPENDIX C
RESIDENT VETERANS PREFERENCE CERTIFICATION

____________________________ (NAME OF CONTRACTOR) hereby certifies the following in regard to application of the resident veterans’ preference to this procurement.

Please check the box if applicable:

☐ I declare under penalty of perjury that my business prior year revenue starting January 1 ending December 31 is less than $1M allowing me the 10% preference discount on this solicitation. I understand that knowingly giving false or misleading information about this fact constitutes a crime.

“I agree to submit a report or reports to the State Purchasing Division of the General Services Department declaring under penalty of perjury that during the last calendar year starting January 1 and ending on December 31, the following to be true and accurate:

“In conjunction with this procurement and the requirements of this business application for a Resident Veteran Business Preference/Resident Veteran Contractor Preference under Sections 13-1-21 or 13-1-22 NMSA 1978, which awarded a contract which was on the basis of having such veteran’s preference, I agree to report to the State Purchasing Division of the General Services Department the awarded amount involved. I will indicate in the report the award amount as a purchase from a public body or as a public works contract from a public body as the case may be.”

“I understand that knowingly giving false or misleading information on this report constitutes a crime”.

I declare under penalty of perjury that this statement is true to the best of my knowledge. I understand that giving false or misleading statements about material fact regarding this matter constitutes a crime.

_________________________________________      ___________________
(Signature of Business Representative) * (Date)

*Must be an authorized signatory of the Business.

The representations made in checking the boxes constitutes a material representation by the business that is subject to protest and may result in denial of an award or un-award of the procurement involved if the statements are proven to be incorrect.

SIGNED AND SEALED THIS_________DAY OF ________________, 2021.

________________________________________
NOTARY PUBLIC

My Commission Expires: ________________________________
APPENDIX D
SAMPLE ENGINEERING AGREEMENT

AGREEMENT BETWEEN SANTA FE COUNTY AND ENGINEER
FOR PROFESSIONAL ENGINEERING SERVICES

PROJECT: Engineering Preliminary Study for Santa Fe Rail Trail Segment 7
CONTRACT NO.: 2021-0140-GM/KE

PROJECT LOCATION:

SANTA FE COUNTY
ADMINISTRATIVE SERVICES DEPARTMENT
PURCHASING DIVISION

2013 EDITION, Part A of Two Parts
Changes, additions, deletions and/or any modifications other than those agreed upon execution of this contract without the written consent of Santa Fe County shall render this document null and void.

THIS AGREEMENT is made and entered into on this ________ day of _________, 2021, by and between SANTA FE COUNTY (hereinafter the “County”) and (Name of Engineer), licensed to do business in the State of New Mexico, (hereinafter the “Engineer”).

“County”:

Katherine Miller, County Manager
Santa Fe County
PO Box 276
Santa Fe, New Mexico 87504-0276
TELEPHONE: 505-986-6200
FAX: 505-985-2740

“Engineer”:

Name
Company Name
Street Address
City, State and Zip Code
TELEPHONE:
E-Mail:
RECITALS

WHEREAS, the County needs the services of a professional licensed engineer for professional engineering services to provide a high level study to determine the most appropriate alignment to complete the Rail Trail segment 7; and

WHEREAS, in accordance with Section 13-1-112, NMSA 1978, of the Procurement Code, and the Santa Fe County Purchasing Regulations and Policy Manual, the County issued Request for Proposal (RFP) No. 2021-0140-GM/KE; and

WHEREAS, the County requires the services of the Engineer, and the Engineer is willing to provide these services and both parties wish to enter into this Agreement.

NOW THREFORE, in consideration of the premises and mutual obligations herein in Parts A and Part B of this Agreement, the parties hereto do mutually agree as follows:

1. SCOPE OF WORK (taken from RFP)

2. COMPENSATION, INVOICING, AND SET-OFF

A. In consideration of its obligations under this Agreement, the Engineer shall be compensated as follows: (Attach Exhibit A).

B. The Engineer shall submit a written request for payment, on the form attached hereto as Exhibit B (Pay Request Form), when payment is due under this Agreement. Upon the County’s receipt of the written request, the County shall issue a written certification of complete or partial acceptance or rejection of the contractual items or services for which payment is sought. The Engineer acknowledges and agrees that the County may not make any payment hereunder unless and until the County has issued a written certification accepting the contractual items or services. Within 30 days of the issuance of a written certification accepting the contractual items or services, the County shall tender payment for the accepted items or services. In the event the County fails to tender payment within 30 days of the written certification accepting the items or services, the County shall pay late payment charges of one and 1.5% per month, until the amount due is paid in full.

C. In the event the Engineer breaches this Agreement, the County may, without penalty, withhold any payments due the Engineer for the purpose of set-off until such time as the County determines the exact amount of damages it suffered as a result of the breach.

D. Payment under the Agreement shall not foreclose the right of the County to recover excessive or illegal payment.

E. The County will provide or make available to Contractor, at no cost to
Contractor, such GIS data or digital files as are necessary for Contractor to complete the Scope of Work under this Agreement. Such GIS data files (e.g. shapefile, mosaic, images), if available for the project, will include without limitation GIS data files pertaining to areas within half of a mile on either side of the project reach corridor and any other GIS data or records that the Contractor deems necessary for the Contractor to satisfactorily complete the Scope of Work under this Agreement. Contractor agrees to use the GIS data or digital files solely for purposes of completion of the Scope of Work under this Agreement. Contractor agrees to provide the County with, or share, at no cost to the County electronic copies of any digital mapping files (aka GIS data) produced by the Contractor under this Agreement. GIS data, if generated for the project, shall be provided by the Contractor in ESRI feature classes file geodatabase or shapefile formats compatible with ArcGIS 10.3.1. All GIS datasets will reference the New Mexico State Plane Coordinate System, Central Zone, NAD1983_HARN in units of U.S. Survey Feet (NAD_1983_HARN_StatePlane_New_Mexico_FIPS_3002_Feet). Contractor understands and acknowledges that the County assumes no liability for the accuracy of the GIS data or digital files, or errors associated with the GIS data or digital files, or the use of GIS data or digital files provided by the County. Contractor is solely responsible for confirming the accuracy of GIS data or digital files obtained from the County under this Agreement.

3. ADDITIONAL SERVICES

A. Additional Services are services that are in addition to but not included as part of Basic Services, provided that the Engineer is not obligated to perform or furnish such services as part of the Engineer’s Basic Services. These Additional Services shall be provided when authorized in advance in writing by the County, and shall be paid for by the County as provided in paragraph 3 and Exhibit A. Attached as Exhibit D and incorporated into this Agreement is the Amendment for Engineering Additional Services form.

4. ENGINEERING BASIC SERVICES:

The Engineering Basic Services as described in Attachment A, will be phased as part of this Agreement as monies become available.

5. EXHIBITS LIST

<table>
<thead>
<tr>
<th>Exhibit</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exhibit A</td>
<td>Compensation and Schedule</td>
</tr>
<tr>
<td>Exhibit B</td>
<td>Engineer Pay Request</td>
</tr>
<tr>
<td>Exhibit C</td>
<td>Amendment for Basic Services</td>
</tr>
<tr>
<td>Exhibit D</td>
<td>Amendment for Additional Services</td>
</tr>
<tr>
<td>Exhibit E</td>
<td>Amendment for Consultant Services</td>
</tr>
</tbody>
</table>
IN WITNESS WHEREOF, the parties have duly executed this Agreement as of the date of last signature of the parties below.

SANTA FE COUNTY

________________________
Katherine Miller
Santa Fe County Manager

Date

Approved as to form:

________________________
Gregory S. Shaffer
Santa Fe County Attorney

Date

Finance Division:

________________________
Yvonne S. Herrera
Finance Director

Date

ENGINEER

________________________
Signature

Date

print name and title
1. **SCOPE OF WORK**

Engineer shall provide the services set forth in Part A Section 2 (Scope of Work) of this Agreement and as provided in Exhibit A.

2. **EFFECTIVE DATE AND TERM**

The Agreement shall, upon due execution by all parties, become effective as of the date of last signature by the parties on Part A and shall terminate four years later, unless earlier terminated pursuant to Section 4 (Termination) or Section 5 (Appropriations and Authorizations) of these General Terms and Conditions.

3. **ADDITIONAL SERVICES**

   A. The parties agree that all tasks set forth in Section 1 (Scope of Work) above and Section 4 (Engineer’s Basic Services) of Part A of the Agreement, shall be completed in full, to the satisfaction of the County, for the amount set forth in Section 3 (Compensation, Invoicing, and Set-Off) of Part A of this Agreement, and for no other cost, amount, fee, or expense.

   B. The County may from time-to-time request changes in the Scope of Work to be performed hereunder. Such changes, including any increase or decrease in the amount of the Contractor’s compensation, which are mutually agreed upon by and between the County and the Engineer, shall be incorporated in written amendments to this Agreement.

4. **TERMINATION**

   A. **Termination of Agreement for Cause.** Either party may terminate the Agreement based upon any material breach of this Agreement by the other party. The non-breaching party shall give the breaching party written notice of termination specifying the grounds for the termination. The termination shall be effective 30 days from the breaching party’s receipt of the notice of termination, during which time the breaching party shall have the right to cure the breach. If, however, the breach cannot with due diligence be cured within 30 days, the breaching party shall have a reasonable time to cure the breach, provided that, within 30 days of its receipt of the written notice of termination, the breaching party began to cure the breach and advised then non-breaching party in writing that it intended to cure.

   B. **Termination for Convenience of the County.** The County may, in its discretion, terminate this Agreement at any time for any reason by giving the Engineer written notice of termination. The notice shall specify the effective date of termination, which shall not be less than 15 days from the Engineer’s receipt of the notice. The County shall pay the Engineer for acceptable work, determined in accordance with the specifications and standards set forth in this Agreement, performed before the effective date of termination but shall not be liable for any work or service performed
after the effective date of termination.

5. APPROPRIATIONS AND AUTHORIZATIONS

This Agreement is contingent upon sufficient appropriations and authorizations being made for performance of this Agreement by the Santa Fe Board of County Commissioners and/or, if state funds are involved, the Legislature of the State of New Mexico. If sufficient appropriations and authorizations are not made in this or future fiscal years, this Agreement shall terminate upon written notice by the County to the Engineer. Such termination shall be without penalty to the County, and the County shall have no duty to reimburse the Engineer for expenditures made in the performance of this Agreement. The County is expressly not committed to expenditure of any funds until such time as they are programmed, budgeted, encumbered, and approved for expenditure by the County. The County’s decision as to whether sufficient appropriations and authorizations have been made for the fulfillment of this Agreement shall be final and not subject to challenge by the Engineer in any way or forum, including a lawsuit.

6. INDEPENDENT CONTRACTOR, SUBCONTRACTING AND PERSONNEL

A. Independent Contractor. The Engineer and its agents and employees are independent contractors and are not employees or agents of the County. Accordingly, the Engineer and its agents and employees shall not accrue leave, participate in retirement plans, insurance plans, or liability bonding, use County vehicles, or participate in any other benefits afforded to employees of the County. Except as may be expressly authorized elsewhere in this Agreement, the Engineer has no authority to bind, represent, or otherwise act on behalf of the County and agrees not to purport to do so.

B. Subcontracting. The Engineer shall not subcontract or delegate any portion of the services to be performed under this Agreement without the advance written approval of the County. Any attempted subcontracting or delegating without the County’s advance written approval shall be null and void and without any legal effect.

C. Personnel.

1) All work performed under this Agreement shall be performed by the Engineer or under its supervision.
2) The Engineer represents that it has, or will secure at its own expense, all personnel required to discharge its obligations under this Agreement. Such personnel (i) shall not be employees of or have any contractual relationships with the County and (ii) shall be fully qualified and licensed or otherwise authorized or permitted under federal, state, and local law to perform such work.

7. ASSIGNMENT

The Engineer shall not assign or transfer any interest in this Agreement or assign any claims for money due or to become due under this Agreement without the advance written approval of the
County. Any attempted assignment or transfer without County’s advance written approval shall be null and void and without any legal effect.

8. RELEASE

Upon its receipt of all payments due under this Agreement, Engineer releases County, its Elected Officials, officers, agents and employees from all liabilities, claims, and obligations whatsoever arising from or under or relating to this Agreement.

9. CONFIDENTIALITY

Any confidential information provided to or developed by the Engineer in the performance of this Agreement shall be kept confidential and shall not be made available to any individual or organization by the Engineer without prior approval from the County.

10. PUBLICATION, REPRODUCTION, AND USE OF MATERIAL; COPYRIGHT

County has the unrestricted right to publish, disclose, distribute and otherwise use, in whole or in part, any reports, data, or other material prepared under or pursuant to this Agreement. To the extent any material is copyrightable, the County shall own such copyright.

11. CONFLICT OF INTEREST

Engineer represents that it has no and shall not require any interest, direct or indirect, that would conflict in any manner or degree with the performance of its obligations under the Agreement.

12. NO ORAL MODIFICATIONS; WRITTEN AMENDMENTS REQUIRED

The Agreement may not be modified, altered, changed, or amended orally but, rather, only by an instrument in writing executed by the parties hereto. Engineer specifically acknowledges and agrees that County shall not be responsible for any changes to Section 1 (Scope of Work), Part A of the Agreement unless such changes are set forth in a duly executed written amendment to the Agreement.

13. ENTIRE AGREEMENT; INTEGRATION

The Agreement incorporates all the agreements, covenants, and understandings between the parties hereto concerning the subject matter hereof, and all such agreements, covenants and understandings have been merged into the written Agreement. No prior or contemporaneous agreement, covenant or understandings verbal or otherwise, of the parties or their agents shall be valid or enforceable unless embodied in the Agreement.

14. EXHIBITS AND ATTACHMENTS: INCORPORATION BY REFERENCE

All exhibits, attachments, riders, and addenda referred to in the Agreement, including but not limited to the Exhibits referred to in this Agreement, as listed in Paragraph 7 (Exhibits List) in
Part A of this Agreement, are hereby incorporated into this Agreement by reference and made a part hereof as though set forth in full in this Agreement to the extent they are consistent with its conditions and terms.

15. NOTICE OF PENALTIES

The Procurement Code, Section 13-1-28 through 13-1-199 NMSA 1978 imposes civil and criminal penalties for its violation. In addition, New Mexico criminal statutes felony penalties for bribes, gratuities, and kickbacks.

16. EQUAL EMPLOYMENT OPPORTUNITY COMPLIANCE

A. Engineer agrees to abide by all Federal, State, and local laws, ordinances, and rules and regulations pertaining to equal opportunity and unlawful discrimination. Without in any way limiting the foregoing general obligation, Engineer specifically agrees not to discriminate against any person with regard to employment with Engineer or participation in any program or activity offered pursuant to this Agreement on the grounds of race, age, religion, color, national origin, ancestry, sex, physical or mental handicap, serious medical condition, spousal affiliation, sexual orientation, or gender identity.

B. Engineer acknowledges and agrees that failure to comply with this Section shall constitute a material breach of the Agreement.

17. COMPLIANCE WITH APPLICABLE LAW; CHOICE OF LAW

A. In performing its obligations hereunder, Engineer shall comply with all applicable laws, ordinances, and regulations.

B. This Agreement shall be construed in accordance with the substantive laws of the State of New Mexico, without regard to its choice of law rules.

18. RECORDS AND INSPECTIONS

A. To the extent their books and records relate to (i) their performance of the Agreement or any subcontract entered into pursuant to it or (ii) cost or pricing data (if any) set forth in the Agreement or that was required to be submitted to County as part of the procurement process, Engineer agrees to (i) maintain such books and records during the term of the Agreement for a period of six (6) years from the date of final payment under the Agreement; (ii) allow County or its designee to audit such books and records at reasonable times and upon reasonable notice; and (iii) to keep such books and records in accordance with generally accepted accounting principles (“GAAP”).

B. To the extent their books and records relate to (i) their performance of the Agreement or any subcontract entered into pursuant to it or (ii) cost or pricing data (if any) set forth in the Agreement or that was required to be submitted to County as part of the procurement process, Engineer also agrees to require any subcontractor it may hire
to perform its obligations under this Agreement to (i) maintain such books and records during the term of the Agreement and for a period of six (6) years from the date of final payment under the subcontract:(ii) to allow county or its designee to audit such books and records at reasonable times and upon reasonable not notice; and (iii) to keep such books and records in with GAAP.

19. INDEMNIFICATION

A. Engineer shall defend, indemnify, and hold harmless County and its Elected Officials, agents, and employees from any losses, liabilities, damages, demands, suits causes of action, judgments, costs or expenses (including but not limited to court costs and attorneys’ fees) resulting from or directly or indirectly arising out of Engineer’s performance or non-performance of its obligations under this Agreement, including but not limited to Engineer’s breach of any representation or warranty made herein.

B. County shall have the right to approve any counsel retained by Engineer to defend any demand, suit, or cause of action in which County is named, such approval not to be unreasonably withheld. Engineer agrees (i) that County shall have the right to control and participate in the defense of any such demand, suit, or cause of action concerning matters that relate to the County and (ii) that such suit will not be settled without County’s consent, such consent not to be unreasonably withheld. If in County’s judgment, a conflict exists between the interests of County and Engineer such demand, suit, or cause of action, County may retain its own counsel, whose fees shall be paid by Engineer.

C. Engineer’s obligations under this section shall not be limited by the provisions of any insurance policy Engineer is required to maintain under this Agreement.

20. SEVERABILITY

If any term or condition of the Agreement shall be held invalid or non-enforceable by any court of competent jurisdiction, the remainder of the Agreement shall not be affected and shall be valid and enforceable to the fullest extent of the law.

21. NOTICES

Any notice required to be given to either party by the Agreement shall be in writing and shall be delivered in person, by courier service or by U.S. mail, either first class or certified, return receipt requested, postage prepaid, as follows:

To the County: Santa Fe County
Office of the County Attorney
102 Grant Avenue
Santa Fe, New Mexico 87501
To the Engineer:

22. ENGINEER’S REPRESENTATIONS AND WARRANTIES

A. It is a corporation duly organized and in good standing under the laws of the state of New Mexico.

B. This Agreement has been duly authorized by the Engineer, the person executing this Agreement has authority to do so, and once executed by the Engineer, this Agreement shall constitute a binding obligation of the Engineer.

C. This Agreement and Engineer’s obligations hereunder do not conflict with Engineer’s articles of incorporation or by-laws or any corporate resolution adopted by Engineer for filed with the NM Secretary of State.

23. LIMITATION OF LIABILITY

County’s liability to Engineer for any breach of this Agreement by County shall be limited to direct damages and shall not exceed the maximum amount of compensation specified in Section 3 (Compensation and Invoicing) Part A, of the Agreement. In no event shall County be liable to Engineer for special or consequential damages, even if County was advised of the possibility of such damages prior to entering into the Agreement.

24. NO THIRD-PARTY BENEFICIARIES

This Agreement was not intended to and does not create any rights in any persons not a party to the Agreement.

25. INSURANCE

A. General Conditions. Engineer shall submit evidence of insurance as is required herein. Policies of insurance shall be written by companies authorized to write such insurance in New Mexico.

B. General Liability Insurance, Including Automobile. Engineer shall procure and maintain during the life of this Agreement a comprehensive general liability and automobile insurance policy and liability limits in amounts not less than $1,050,000 combined single limits of bodily injury, including death, and property damage for any one occurrence. Said policies of insurance shall include coverage for all operations performed for County by Engineer; coverage for the use of all owned, non-owned, hired automobiles, vehicles and other equipment both on and off work; and contractual liability coverage under which this Agreement is an insured contract.
Santa Fe County shall be named additional insured on the policy.

C. Workers’ Compensation Insurance. Engineer shall comply with the provisions of the Workers’ Compensation Act.

D. Malpractice/Errors and Omissions Insurance. Engineer shall procure and maintain during the life of this Agreement professional liability (errors and omissions) insurance with policy limits of not less than $1,500,000.00 per occurrence, $2,500,000.00 per aggregate.

E. Increased Limits. If, during the life of this Agreement, the Legislature of the State of New Mexico increases the maximum limits of liability under the Tort Claims Act (NMSA 1978, Sections 41-4-1 through 41-4-29, as amended), Engineer shall increase the maximum limits of any insurance required herein.

26. PERMITS, FEES, AND LICENSES

Engineer shall procure all permits and licenses, pay all charges, fees and royalties, and give all notices necessary and incidental to the due and lawful performance of its obligations hereunder.

27. FACSIMILE SIGNATURES

The parties hereto agree that a facsimile signature has the same force and effect as an original for all purposes.

28. NEW MEXICO TORT CLAIMS ACT

No provision of the Agreement modifies or waives any sovereign immunity or limitation of liability enjoyed by County or its “public employees: at common law or under the New Mexico Tort Claims Act, NMSA 1978, Section 41-4-1, et seq.

29. CAMPAIGN CONTRIBUTION DISCLOSURE FORM

The Engineer agrees to compute and submit simultaneous and execution of this Agreement a Campaign Contribution Disclosure Form approved by the County.

30. SURVIVAL

The provisions of following paragraphs shall survive termination of the Agreement: INDEMNIFICATION; RECORDS AND INSPECTION; RELEASE, CONFIDENTIALITY, PUBLICATION, REPRODUCTION, AND USE OF MATERIAL; COPYRIGHT; COMPLIANCE WITH APPLICABLE LAW; CHOICE OF LAW; NO THIRD-PARTY BENEFICIARIES; and SURVIVAL.
APPENDIX E

ATTACHMENT A

If the County wishes to proceed with Engineering Services with the Firm that has completed an appropriate alignment study, the Engineer would be required to provide the following services:

6. ENGINEER’S BASIC SERVICES
The Engineer shall provide the following Basic Services:

A. Study and Report Phase.
   1) The Engineer shall consult with the County to define and clarify the requirements for the Project.
   2) Advise the County of any need for the County to provide additional data or services which are not a part of the Engineer’s Basic Services.
   3) Identify and analyze requirements of governmental agencies having jurisdiction to approve the portions of the Project designed or specified by the Engineer.
   4) Prepare draft Study and Report and brief and obtain the written approval of the County for the draft Study and Report, before commencing work on the Preliminary Design, Study and Report Phase.

B. Preliminary Design, Study and Report Phase
   1) Convene a meeting with the County and other interested parties to review the Project site. Advise County if additional data, reports, or services are necessary and assist County in obtaining such data, reports, or services.
   2) Develop and complete a Development Plan for the area in schematic form for review with County. The plans shall allow for phased construction if necessary.
   3) Upon approval of the schematic Development Plan documents by the County, the Engineer will develop and complete preliminary Design Plans and a construction cost estimate within 30 days of authorization to proceed and review with the County. If necessary, revise Preliminary Design Phase documents in response to the County’s comments.
   4) From the approved Scope of Work the Engineer as applicable shall produce a study consisting of drawings and other documents necessary to illustrate the general planning concepts, probable Engineering system, types of materials needed and preliminary alternatives, a breakdown of the budget on current area, volume, or other unit costs, and the approximate dimensions of the project area. The Engineer shall brief and obtain the written approval of the County for the Preliminary Design, Study and Report Phase drawings and documents.
   5) The Engineer shall obtain written approval from the County for the proposed Preliminary Design as modified by any comment during review before commencing work on the Final Design Phase.
C. Final Design Phase

Upon approval of Preliminary Design Phase documents by the County, the Engineer shall:

1) Prepare Final Design Plans, documents, project specifications, and develop a construction cost estimate.
2) Prepare a statement that identifies the need for additional data, surveys, or tests.
3) Submit to the County for review of written approval a statement of Probable Construction Cost at the completion of the Final Design Phase. Should the Engineer conclude at any time that the budget and Scope of Work to accomplished are incompatible; the County shall be notified immediately in writing with proposed recommendations to reconcile the incompatibility.
4) Convene a meeting with the County and other interested parties to review the Final Design Plans, Project Specifications and Cost Estimate. If necessary revise such final Design Phase documents, Project Specifications, and Cost Estimate.
5) Upon approval of final Design Phase documents by the County, prepare and deliver Design Phase document, Project Specifications and Cost Estimate.
6) Deliver signed/sealed construction drawings and bid documents for submittal for permits.
7) Assist the County at all regulatory review meetings, present design, respond to review questions, revise plans if necessary to obtain approvals.
8) Provide County with three full size and three half size sets of the 100% construction plan, electronic copy of the Project specifications and cost estimate. AutoCAD and PDF copies of the construction drawings shall be provided to the County upon request.

D. Bidding and Negotiating Phase

The Engineer shall:

1) If requested, assist the County in obtaining bids or proposals and awarding and preparing contracts for construction.
2) Attend pre-bid conference and assist in preparing addenda.
3) Assist the County to clarify and answer any questions about the bidding or proposal documents during the bidding or proposal process.
4) Identify any changes during the bidding or proposal process that may require addenda.
5) Submit all proposed addenda, including all revised drawings and sections, for approval by the County prior to distribution. The Engineer shall allow sufficient time for County review and acceptance of each addendum.
6) Assist the County to issue addenda as required to all bidders or Offerors.
7) Assist the County to identify the apparent successful bidder or proposal and provide written recommendation to accept or reject the bids and/or related
E. Construction Phase

The Engineer shall:

1) Participate in a pre-construction conference.
2) Provide a minimum of one weekly or one monthly on site observation(s) during the Construction Phase to protect the County against defects and deficiencies in construction, in addition to critical inspections, reviews and evaluations required by the Scope of Work. The results of all on site observations shall be documented in field reports submitted to the County within seven days of each site visit.
3) Determine, certify, and make recommendations to the County for payment of amounts owing to the construction contractor subject to the County’s approval, based on observations at the site and on evaluations of the construction contractor’s application for payment.
4) Assist the County in reviewing change orders.
5) Conduct final site visit with the County to determine if completed work is acceptable and issue a Notice of Substantial Completion.
6) Finalize “As-Built” plans to include construction contractor’s mark-ups.

F. Project Closeout and 11 Month Warranty Inspection Phase

1) General. The Engineer shall submit to the County recommendations regarding the completion of the construction. The Engineer shall obtain from the construction contractor as applicable all releases, waivers of lien, guarantees, warranties, maintenance data, bonds, and acknowledgement receipts of any contraction contractors record drawing. The Engineer shall obtain and deliver to the County a signed receipt for all materials turned over by the Contractor.
2) Eleven Month Inspection and Report. The Engineer shall 11 months after Substantial Completion of the project, schedule a meeting with the County to evaluate the construction site, inspect the Engineer’s Design to identify any defects in material products and workmanship. The Engineer shall provide a written report of this activity to the County with in seven calendar days. The County through the Engineer shall notify the construction contractor of any corrective action noted in the report. The Engineer shall obtain and deliver to the County a signed receipt of all corrective action completed to the satisfaction of the Engineer and County.

G. Attached as Exhibit C and incorporated into this Agreement is the Amendment for Engineering Basic Services form.
7. REIMBURSABLES

A. Reimbursable expenses are those beyond Basic Services compensation and are the actual expenditures made by the Engineer or its employees, in the interest of the Project. Reimbursable expenses are in accordance with the agreed upon compensation as identified in Exhibit A (Compensation and Schedule).

B. Reimbursable expenses, if allowed by this Agreement, shall be compensated at direct cost not to exceed the reimbursable amounts as indicated in Exhibit A of this Agreement, unless otherwise modified by written amendment.
APPENDIX F

VOLUME OF WORK

PREVIOUSLY DONE FOR SANTA FE COUNTY

PROJECT LISTING FORM

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