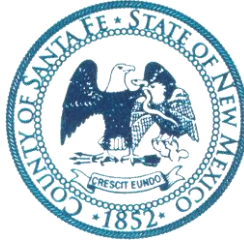


Henry P. Roybal
Commissioner, District 1

Anna Hansen
Commissioner, District 2

Robert A. Anaya
Commissioner, District 3



Anna T. Hamilton
Commissioner, District 4

Ed Moreno
Commissioner, District 5

Katherine Miller
County Manager

May 15, 2018

BANK CUSTODIAL SERVICES

RFP #2018-0254-TR/IC

ADDENDUM #1

Dear Offerors,

This addendum is issued to reflect the following immediately. It shall be the responsibility of the interested bidder to adhere to any changes or revisions to the RFP as identified in this Addendum No. 1. This documentation shall become permanent and made part of the departmental files.

On May 4, 2018 Santa Fe County held the pre-proposal conference for the above referenced Request for Proposals (RFP). Listed below are questions received via email.

Question 1: Please expand on the details of the portfolio as they relate to the number and type of holdings. For example: US Treasury Obligations – 30 holdings @\$30MM; Municipal Securities – 40 holdings @\$15MM.

Response 1:

OPERATIONS ACCOUNT #40260610

FEDERAL FARM CREDIT BONDS	6
FEDERAL NATIONAL MORTGAGE	10
FEDERAL HOME LOAN BANK	27
FANNIE MAE BONDS	1
FREDDIE MAC BONDS	3
TENNESSEE VALLEY AUTH.	2
ABQ. NM GEN. OBL. BONDS	2
US BANK TREASURY MONEY MARKET	1
THE REGENTS OF NEW MEXICO MUNI	1
NM STATE UNIVERSITY MUNI	1
SANDOVAL COUNTY AUTH. BOND	1
LAS CRUCES NM BOND	1

SUB-TOTAL FOR OPERATIONS 56

GOB 2011 REFUNDING #40260630

US TREASURY BILL	1
US BANK TREASURY MONEY MARKET	1
SUB-TOTAL FOR GOB 2011 SERIES	2

GOB 2013 SERIES #40260640

US TREASURY BILL	1
FEDERAL NAT'L MTG.	1
US BANK TREASURY MONEY MARKET	1
SUB-TOTAL FOR GOB 2013 SERIES	3

CORE ACCOUNT HOLDINGS #40260620

FEDERAL HOME LOAN BANK	7
US TREASURY BILL	1
FANNIE MAE BONDS	3
FREDDIE MAC BONDS	1
FEDERAL NATIONAL MORTGAGE	2
US BANK TREASURY MONEY MARKET	1
SUB-TOTAL FOR CORE ACCOUNT HOLDINGS	15

CHARLES SCHWAB

Matured	GOB 2017A	0
	US TREASURY BILL	4
	US TREASURY NOTE	3
	US TREASURY FLOATER	1
Matured	GOB 2015 REFUND	0
	LIQUID	4
	GENERAL	0
	MM GOB 2017A	1
	MM GOB 2015	1
	MM LIQUID	1
	MM GENERAL	1
	SUB-TOTAL FOR SCHWAB ACCOUNTS	16

Holding range from 1MM – 5MM

Question 2: Page 6 of the RFP – for those securities categorized as “O” for Other, are you looking for the Custodian to include these as part of the overall consolidated reporting we provide? We can easily ‘book’ them and reflect an updated monthly value if provided to us.

Response 2: The only “O” pertaining to custodial will be Broker CDs

Question 3: For fee purposes, please tell us the benchmarks being used for performance reporting.

Response 3: 1 yr. Treasury Benchmark.

Question 4: The County Investment Policy is not included in the RFP, when will this be provided?

Response 4: The County Investment Policy can be found on our website at:
https://www.santafecountynm.gov/treasurer/treasurers_office_investments

Please add this Addendum #1 to the original bid documents and refer to bid documents, hereto as such. This and all subsequent addenda will become part of any resulting contract documents and have effects as if original issued. All other unaffected sections will have their original interpretation and remain in full force and effect.

Proponents are reminded that any questions or need for clarification must be addressed to Iris Cordova, Senior Procurement Specialist at icordova@santafecountynm.gov.