



Santa Fe County Treasurer's Office

2018 / 2019

Broker/ Dealer List



FIRM	CONTACT	CRD#	PHONE	ADDRESS	E-MAIL
Sun Trust	Lauren Drennan	5921382	901-842-3799 901-857-2223	850 Ridge Lake Blvd Suite 400 Memphis, TN 38120	lauren.drennan@suntrust.com www.suntrustrh.com
Raymond James	Rosa Schulte	4414840	901-347-1726 901-246-3124	28202 Cabot Road, Suite 300 Laguna Niguel, CA 92677	rosa.schulte@raymondjames.com www.raymondjames.com
INTL FCSTONE Financial Inc.	Meghan Ann Suriani	5158957	877-391-8810 516-398-8880	10 Exchange Place, Suite 1005 Jersey City, NJ 07302	meghan.suriani@intlfcstone.com www.intlfcstone.com
Mutual Securities, INC.	Rene Molina	1322709	949-715-5515x21 866-899-5544	220 Park Ave. Suite 1 Laguna Beach, CA 92651	rene.molina@mutualsecurities.com www.gxecute.com
Piper Jaffray	Victor Ume-ukeje	1512919	415-616-1662 800-774-8488	345 California Street, Suite 2300 San Francisco, CA 94104	victor.e.ume-ukeje@pic.com www.piperjaffray.com
Shearson Financial Services, LLC	Robert Hirsch	1721702	281-392-2310 888-890-6626	6750 West Loop South, Suite 235 Bellaire, TX 77401	bhirsch4@bloomberg.net bhirsch@shearsonllc.com
Wells Fargo Securities	Brandon Hodge	4282465	415-644-9027 801-336-8989	45 Fremont Street San Francisco, CA 94105	brandon.m.mhodge@wellsfargo.com www.wellsfargo.com/com/securities
Baird	Cathleen Gillies	1703208	414-244-4615 415-613-8493	777 East Wisconsin Avenue Milwaukee, WI 53202	cgillies@rwbaird.com www.rwbaird.com
BOK Financial Securities	Justin Close	5601555	918-594-7735 800-722-4707	Bank of Oklahoma Tower, SE Plaza Tulsa, OK 74192	jclose@bokf.com.com https://securities.bokfinancial.com
Vining Sparks	Brandon L. Westbrook	4066180	901-766-3142 901-489-2262	775 Ridge Lake Blvd. Memphis, TN 381201	bwestbrook@viningsparks.com www.viningsparks.com
Mesirow Financial, Inc.	Proctor Robison	3114962	801-246-1743 801-860-9146 800-574-6634	Mesirow Financial, Inc. 353 N. Clark Street Chicago, IL 60654	probison@mesirofinancial.com www.mesirofinancial.com